

Maximal compact subgroups of $\mathrm{Sp}_4(\mathbf{k})$ and $\mathrm{GSp}_4(\mathbf{k})$

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1 Maximal compact subgroups

Let k be a locally compact field of characteristic 0, G a connected linear algebraic group defined over k and G_k the locally compact group of k -rational points of G .

The following result can be found in [Bruhat] and [Satake].

Theorem 1.1. *G_k has a maximal compact subgroup if and only if G is reductive. In this case, any compact subgroup of G_k is contained in a maximal compact subgroup.*

We assume G is reductive, and let

S = maximal k -split torus of G ,

Z = centralizer of S in G ,

P = minimal parabolic subgroup of G over k of a Levi subgroup Z ,

U = unipotent radical of P .

In the case of $k = \mathbf{R}$, the following result is well known.

Theorem 1.2. *If $k = \mathbf{R}$, then two maximal compact subgroups of G_k are conjugate by an inner automorphism. If K is a maximal compact subgroup of G_k , then one has the following decompositions:*

$$G_k = K \cdot Z_k \cdot U_k \quad (\text{Iwasawa decomposition})$$

$$= K \cdot Z_k \cdot K \quad (\text{Cartan decomposition})$$

This theorem does not true if k is a p -adic field.

Let k be a p -adic field. The following problems occurred in the early of 1960's.

- How many maximal compact subgroups of G_k up to conjugacy are there?
- Does G_k possess a maximal compact subgroup satisfying both Iwasawa and Cartan decompositions?

These problems were studied by many authors:

1960 – 1964 Shimura, Tsukamoto, Bruhat, Hijikata in classical groups

1965 Iwahori and Matsumoto in Chevalley groups

1966 – 1987 Bruhat and Tits in full generality

The main results of Bruhat–Tits theory are stated as follows.

Theorem 1.3. *Let \mathcal{B} be the Bruhat–Tits building associated with $G_{\mathbf{k}}$. For a point $\mathbf{x} \in \mathcal{B}$, $G_{\mathbf{k}}^{\mathbf{x}}$ denotes the stabilizer of \mathbf{x} in $G_{\mathbf{k}}$.*

- (1) *For a maximal compact subgroup K of $G_{\mathbf{k}}$, there is a point $\mathbf{x} \in \mathcal{B}$ such that $K = G_{\mathbf{k}}^{\mathbf{x}}$.*
- (2) *If $\mathbf{x} \in \mathcal{B}$ is a point contained in a facet of minimal dimension, then $G_{\mathbf{k}}^{\mathbf{x}}$ is a maximal compact subgroup of $G_{\mathbf{k}}$.*
- (3) *The number $m(G_{\mathbf{k}})$ of maximal compact subgroups of $G_{\mathbf{k}}$ up to conjugacy is finite.*
- (4) *If G is simply connected, then every maximal compact subgroup of $G_{\mathbf{k}}$ is the stabilizer of a vertex (= 0-dimensional facet) of \mathcal{B} , and $m(G_{\mathbf{k}})$ is equal to the number of vertices of a chamber in \mathcal{B} . Precisely,*

$$m(G_{\mathbf{k}}) = \prod_{i=1}^{\ell} (\text{rank}_{\mathbf{k}}(G_i) + 1)$$

where G_1, \dots, G_{ℓ} are \mathbf{k} -simple factors of G .

- (5) *\mathcal{B} has special points. The stabilizer of every special point of \mathcal{B} is a maximal compact subgroup, which is called a special maximal compact subgroup. Every special maximal compact subgroup satisfies both Iwasawa and Cartan decompositions.*

Remark If G is semisimple but not simply connected, then it is possible to happen a case where $\mathbf{x} \in \mathcal{B}$ is not a vertex but $G_{\mathbf{k}}^{\mathbf{x}}$ is a maximal compact subgroup of $G_{\mathbf{k}}$. For example, in the case of PGL_n , every chamber has n vertices. Stabilizers of vertices are maximal compact subgroups and they are mutually conjugate in $\mathrm{PGL}_n(\mathbf{k})$. However, $m(\mathrm{PGL}_n(\mathbf{k}))$ is equal to the number of divisors of n .

Remark The building \mathcal{B} is a union of translations of an apartment A by the action of $G_{\mathbf{k}}$, i.e.,

$$\mathcal{B} = \bigcup_{g \in G_{\mathbf{k}}} gA.$$

Let \overline{C} be a closed chamber in A . For a given point $\mathbf{x} \in \mathcal{B}$, there is a $g \in G_{\mathbf{k}}$ such that $g^{-1}\mathbf{x} \in \overline{C}$. Then $G_{\mathbf{k}}^{\mathbf{x}}$ and $G_{\mathbf{k}}^{g^{-1}\mathbf{x}}$ are conjugate. To classify conjugacy classes of maximal compact subgroups, it is sufficient to consider only stabilizers of points in \overline{C} .

2 Bruhat–Tits theory of $\mathrm{Sp}_4(\mathbf{k})$

Let \mathbf{k} be a p -adic field, \mathfrak{o} the maximal compact subring of \mathbf{k} and \mathfrak{p} the maximal ideal of \mathfrak{o} .

2.1 Sp_4 and its minimal parabolic subgroup

Let $G = \mathrm{Sp}_4$ be a symplectic group, i.e.,

$$G_{\mathbf{k}} = \left\{ g \in \mathrm{GL}_4(\mathbf{k}) : {}^t g \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix} g = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix} \right\}.$$

We fix a maximal split torus S and a maximal unipotent subgroup U as follows:

$$S_{\mathbf{k}} = Z_{\mathbf{k}} = \left\{ h(s, t) = \begin{pmatrix} s & 0 & 0 & 0 \\ 0 & t & 0 & 0 \\ 0 & 0 & s^{-1} & 0 \\ 0 & 0 & 0 & t^{-1} \end{pmatrix} : s, t \in \mathbf{k}^{\times} \right\}$$

$$U_{\mathbf{k}} = \left\{ \begin{pmatrix} 1 & w & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & -w & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & x & y \\ 0 & 1 & y & z \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} : w, x, y, z \in \mathbf{k} \right\}$$

Then $P = SU$ is a minimal parabolic subgroup of G over \mathbf{k} .

2.2 Rational characters and cocharacters of S

Define k -rational characters $\mathbf{e}_1, \mathbf{e}_2 : S \rightarrow \mathbf{G}_m$ by

$$\mathbf{e}_1(h(s, t)) = s, \quad \mathbf{e}_2(h(s, t)) = t.$$

Then $\{\mathbf{e}_1, \mathbf{e}_2\}$ is a basis of $X^*(S) = \mathrm{Hom}_{\mathbf{k}}(S, \mathbf{G}_m)$.

Cocharacters $\mathbf{e}_1^{\vee}, \mathbf{e}_2^{\vee} : \mathbf{G}_m \rightarrow S$ are defined by

$$\mathbf{e}_1^{\vee}(s) = h(s, 1), \quad \mathbf{e}_2^{\vee}(s) = h(1, s),$$

which give the dual basis of $\{\mathbf{e}_1, \mathbf{e}_2\}$ in $X_*(S) = \mathrm{Hom}_{\mathbf{k}}(\mathbf{G}_m, S)$.

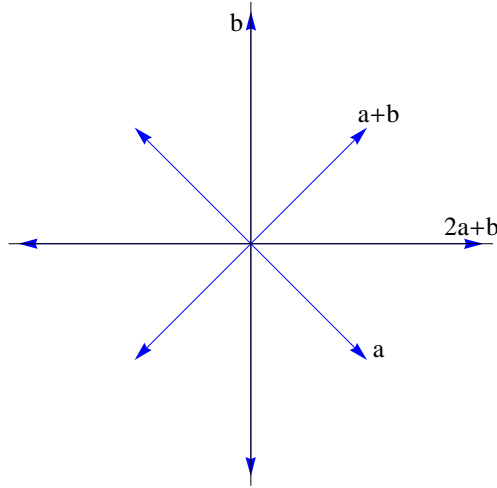
2.3 Affine root system and root subgroups

Define $\mathbf{a}, \mathbf{b} \in X^*(S)$ by

$$\mathbf{a} = \mathbf{e}_1 - \mathbf{e}_2, \quad \mathbf{b} = 2\mathbf{e}_2.$$

The relative root system Φ and the affine root system Φ_{aff} of (G, S) over k are given by

$$\Phi = \{\pm\mathbf{a}, \pm\mathbf{b}, \pm(\mathbf{a} + \mathbf{b}), \pm(2\mathbf{a} + \mathbf{b})\}, \quad \Phi_{\text{aff}} = \Phi \times \mathbf{Z}.$$



We fix a one-parameter subgroup $u_c : k \rightarrow G_k$ for each $\mathbf{c} \in \Phi$ such that

$$h \cdot u_c(x) \cdot h^{-1} = u_c(\mathbf{c}(h)x) \quad \text{for } h \in S_k,$$

e.g., for positive roots,

$$u_{\mathbf{a}}(x) = \begin{pmatrix} 1 & x & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & -x & 1 \end{pmatrix}, \quad u_{\mathbf{b}}(x) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & x \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix},$$

$$u_{\mathbf{a}+\mathbf{b}}(x) = \begin{pmatrix} 1 & 0 & 0 & x \\ 0 & 1 & x & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad u_{2\mathbf{a}+\mathbf{b}}(x) = \begin{pmatrix} 1 & 0 & x & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

For each affine root $\delta = (\mathbf{c}, n) \in \Phi_{\text{aff}}$, the root subgroup X_δ is defined to be

$$X_\delta = u_{\mathbf{c}}(\mathfrak{p}^n).$$

2.4 Apartment and chambers

The apartment A is an affine space under the real vector space $X_*(S) \otimes_{\mathbf{Z}} \mathbf{R}$. By \mathbf{R} -linear extension of the natural pairing

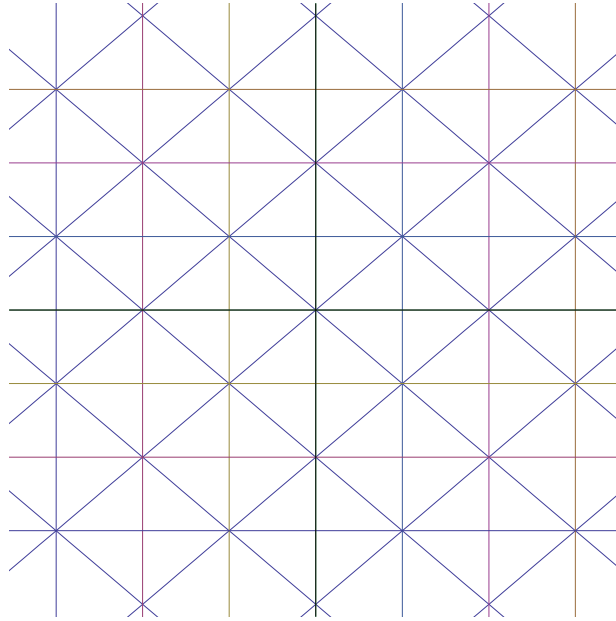
$$\langle \cdot, \cdot \rangle : X^*(S) \times X_*(S) \longrightarrow \mathbf{Z}$$

each affine root $\delta = (\mathbf{c}, n) \in \Phi_{\text{aff}}$ defines an affine function:

$$\delta : A \longrightarrow \mathbf{R} : \delta(\mathbf{x}) = \langle \mathbf{c}, \mathbf{x} \rangle + n.$$

The null set $\delta^{-1}(0)$ is an affine hyperplane of A . In our case, $\dim A = 2$ and $\delta^{-1}(0)$ is a line of the form:

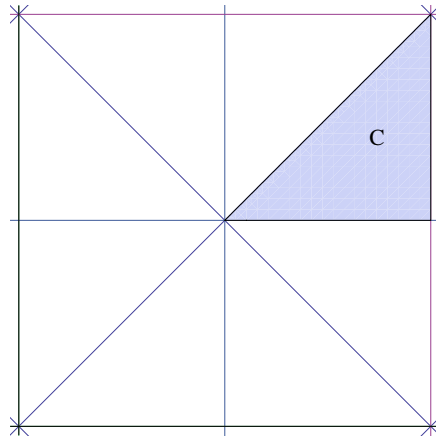
$$\begin{aligned} \delta = (\mathbf{a}, n) & : \delta(x_1 \mathbf{e}_1^\vee + x_2 \mathbf{e}_2^\vee) = x_1 - x_2 + n = 0 \\ \delta = (\mathbf{b}, n) & : 2x_2 + n = 0 \\ \delta = (\mathbf{a} + \mathbf{b}, n) & : x_1 + x_2 + n = 0 \\ \delta = (2\mathbf{a} + \mathbf{b}, n) & : 2x_1 + n = 0 \end{aligned}$$



A connected component C of the set

$$A - \bigcup_{\delta \in \Phi_{\text{aff}}} \delta^{-1}(0)$$

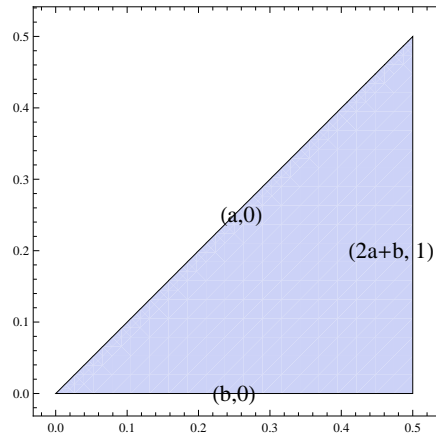
is called a chamber, which is a polytope.



Define the subset $\Delta_{\text{aff}}(C)$ of Φ_{aff} by

$$\Delta_{\text{aff}}(C) = \{\delta \in \Phi_{\text{aff}} : \delta/2 \notin \Phi_{\text{aff}} \text{ and } \delta^{-1}(0) \cap \partial C \neq \emptyset\}.$$

For example, if C is chosen as follows



then

$$\Delta_{\text{aff}}(C) = \{(a, 0), (b, 0), (2a + b, 1)\}.$$

$\Delta_{\text{aff}}(C)$ is displayed by the affine Dynkin diagram:

$$\begin{array}{ccc} \circ & \rightleftarrows & \circ \\ 2a+b & & a \quad b \end{array}$$

There is the homomorphism $\nu : S_{\mathfrak{k}} \longrightarrow X_*(S) \otimes_{\mathbf{Z}} \mathbf{R}$ so that

$$h^{-1}X_{(c,n)}h = X_{(c, \langle c, \nu(h) \rangle + n)}$$

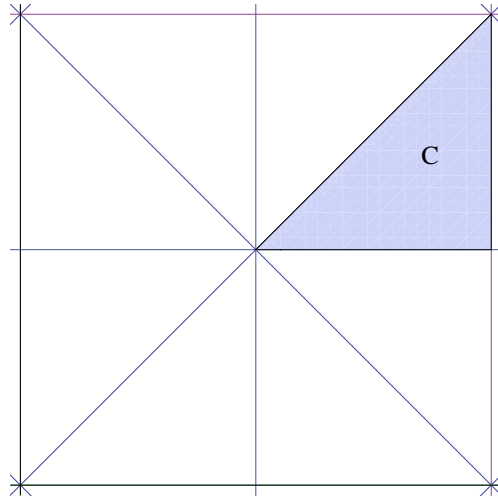
holds for every $(c, n) \in \Phi_{\text{aff}}$ and $h \in S_{\mathfrak{k}}$. Precisely, ν is given by

$$\nu(h(s, t)) = -\text{ord}_{\mathfrak{p}}(s)\mathbf{e}_1^{\vee} - \text{ord}_{\mathfrak{p}}(t)\mathbf{e}_2^{\vee}.$$

The kernel of ν is the group S_{\circ} of \circ rational points of S . The translation of A induced by $\nu(h)$ defines the action of $S_{\mathfrak{k}}$ on A .

Let N be the normalizer of S in G . The Weyl group $W = N/S = N_{\mathfrak{k}}/S_{\mathfrak{k}}$ of Φ acts on A by reflections as usual. The affine Weyl group $W_{\text{aff}} = N_{\mathfrak{k}}/S_{\circ}$ is isomorphic with $S_{\mathfrak{k}}/S_{\circ} \times W$. Both $N_{\mathfrak{k}}$ and W_{aff} act on A by affine transformations.

Remark In our case, the closed chamber $\overline{C} = C \cup \partial C$ of A is a fundamental domain of $A/N_{\mathfrak{k}} = A/W_{\text{aff}}$. This is not true in general.



For $\delta \in \Delta_{\text{aff}}(C)$, w_{δ} denotes the orthogonal reflection of A with respect to the affine hyperplane $\delta^{-1}(0)$. The set $W_{\text{aff}}(C) = \{w_{\delta}\}_{\delta \in \Delta_{\text{aff}}(C)}$ is a subset of W_{aff} .

2.5 Tits system

For a subset $F \subset \overline{C}$ and a root $\mathfrak{c} \in \Phi$, we set

$$n_F(\mathfrak{c}) = \inf\{n \in \mathbf{Z} : \langle \mathfrak{c}, \mathbf{x} \rangle + n \geq 0 \text{ for all } \mathbf{x} \in F\}.$$

Define unipotent subgroups X_F^+ and X_F^- of $G_{\mathfrak{k}}$ by

$$\begin{aligned} X_F^+ &= \prod_{0 < \mathfrak{c} \in \Phi} X_{(\mathfrak{c}, n_F(\mathfrak{c}))} = \prod_{0 < \mathfrak{c} \in \Phi} u_{\mathfrak{c}}(\mathfrak{p}^{n_F(\mathfrak{c})}), \\ X_F^- &= \prod_{0 > \mathfrak{c} \in \Phi} X_{(\mathfrak{c}, n_F(\mathfrak{c}))} = \prod_{0 > \mathfrak{c} \in \Phi} u_{\mathfrak{c}}(\mathfrak{p}^{n_F(\mathfrak{c})}). \end{aligned}$$

If $F = C$, then the product

$$B_C = X_C^- \cdot S_{\mathfrak{o}} \cdot X_C^+$$

is a subgroup of $G_{\mathfrak{k}}$, which is called the Iwahori subgroup of $G_{\mathfrak{k}}$ corresponding to C . The following is a fundamental result due to Iwahori–Matsumoto.

Theorem 2.1. *The quadruple $(G_{\mathfrak{k}}, B_C, N_{\mathfrak{k}}, W_{\text{aff}}(C))$ is a Tits system, i.e., this satisfies*

- (T1) $B_C \cup N_{\mathfrak{k}}$ generates $G_{\mathfrak{k}}$ and $B_C \cap N_{\mathfrak{k}} = S_{\mathfrak{o}}$ is a normal subgroup of $N_{\mathfrak{k}}$,
- (T2) $W_{\text{aff}}(C)$ generates W_{aff} and every element in $W_{\text{aff}}(C)$ is of order 2,
- (T3) $\mathfrak{s}B_C\mathfrak{s} \neq B_C$ for each $\mathfrak{s} \in W_{\text{aff}}(C)$,
- (T4) $\mathfrak{s}B_C\mathfrak{w} \subset B_C\mathfrak{w}B_C \cup B_C\mathfrak{sw}B_C$ for each $\mathfrak{s} \in W_{\text{aff}}(C)$ and $\mathfrak{w} \in W_{\text{aff}}$.

As a consequence of the theory of Tits systems, we obtain the following double coset decomposition of $G_{\mathfrak{k}}$:

$$G_{\mathfrak{k}} = \bigsqcup_{\mathfrak{w} \in W_{\text{aff}}} B_C\mathfrak{w}B_C \quad (\text{Bruhat decomposition})$$

For $\mathbf{x} \in \overline{C}$, $W_{\text{aff}}^{\mathbf{x}}$ stands for the stabilizer of \mathbf{x} in W_{aff} . Then

$$G_{\mathfrak{k}}^{\mathbf{x}} = \bigsqcup_{\mathfrak{w} \in W_{\text{aff}}^{\mathbf{x}}} B_C\mathfrak{w}B_C$$

is a subgroup of $G_{\mathfrak{k}}$.

2.6 Building

Since G_k does not act on A , we need to build an enlargement of A on which G_k acts. Since \overline{C} is a fundamental domain of A/W_{aff} , the apartment A is identified with the quotient space

$$(W_{\text{aff}} \times \overline{C}) / \sim,$$

where $(\mathbf{w}, \mathbf{x}) \sim (\mathbf{w}', \mathbf{x}')$ if $\mathbf{x} = \mathbf{x}'$ and $\mathbf{w}^{-1}\mathbf{w}' \in W_{\text{aff}}^{\mathbf{x}}$. We extend the equivalent relation \sim to $G_k \times \overline{C}$ by

$$(g, \mathbf{x}) \sim (g', \mathbf{x}') \text{ if } \mathbf{x} = \mathbf{x}' \text{ and } g^{-1}g' \in G_k^{\mathbf{x}}.$$

Then the quotient space

$$\mathcal{B} = \mathcal{B}(G_k) = (G_k \times \overline{C}) / \sim$$

gives the building of G_k . Let $n_{\mathbf{w}}$ be an arbitrary representative in N_k of $\mathbf{w} \in W_{\text{aff}}$. Then, by the map $(\mathbf{w}, \mathbf{x}) \mapsto (n_{\mathbf{w}}, \mathbf{x})$, the apartment $A = (W_{\text{aff}} \times \overline{C}) / \sim$ is embedded in \mathcal{B} . The group G_k acts on \mathcal{B} by $g(h, \mathbf{x}) = (gh, \mathbf{x})$.

Theorem 2.2 (Tits, §2.1). *The building \mathcal{B} is uniquely characterized as a G_k -set satisfying the following properties:*

- $\mathcal{B} = \bigcup_{g \in G_k} gA$,
- N_k stabilizes A and operates on it by the same way defined as in §2.4,
- for any $\delta \in \Phi_{\text{aff}}$, the root subgroup X_{δ} fixes $\delta^{-1}([0, \infty))$ pointwise.

Remark For every $\mathbf{x} \in A$, there is an $n \in N_k$ such that $n\mathbf{x} \in \overline{C}$. Then we define $G_k^{\mathbf{x}}$ by $n^{-1}G_k n$. Another definition of \mathcal{B} is given by

$$\mathcal{B} = (G_k \times A) / \sim,$$

where

$$(g, \mathbf{x}) \sim (g', \mathbf{x}') \text{ if } \exists n \in N_k \text{ such that } \mathbf{x}' = n\mathbf{x} \text{ and } g^{-1}g'n \in G_k^{\mathbf{x}}$$

This definition does not need to assume that \overline{C} is a fundamental domain of A/W_{aff} .

2.7 Stabilizers

For a subset $F \subset \mathcal{B}$, the pointwise stabilizer of F in G_k is denoted by G_k^F , i.e.,

$$G_k^F = \{g \in G_k : g\mathbf{x} = \mathbf{x} \text{ for all } \mathbf{x} \in F\}.$$

The structure of G_k^F is determined by

Theorem 2.3 (Bruhat–Tits Proposition 2.4.13, Tits §3.1.1). *Let $F \subset \mathcal{B}$ be a bounded subset.*

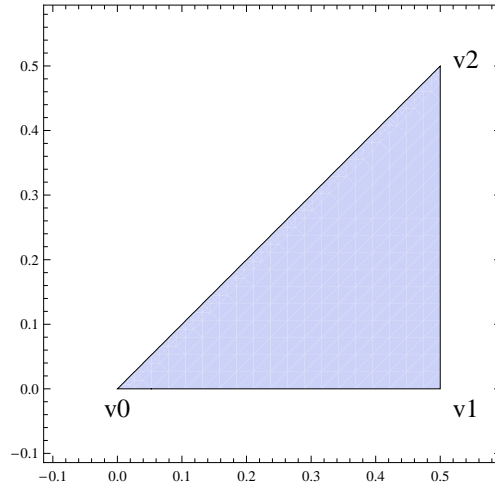
- (1) *If F^\dagger denotes the closed convex closure of F in \mathcal{B} , then $G_k^F = G_k^{F^\dagger}$.*
- (2) *If $F \subset \overline{C}$ and N_k^F denotes the pointwise stabilizer of F in N_k , then*

$$G_k^F = X_F^- \cdot N_k^F \cdot X_F^+.$$

If $F = \{\mathbf{x}\} \subset \overline{C}$ is a one point, then $G_k^{\{\mathbf{x}\}}$ coincides with $G_k^{\mathbf{x}}$ defined in §2.5, i.e.,

$$G_k^{\{\mathbf{x}\}} = G_k^{\mathbf{x}} = B_C \cdot W_{\text{aff}}^{\mathbf{x}} \cdot B_C$$

We write $\mathbf{v}_0, \mathbf{v}_1, \mathbf{v}_2$ for vertices of a chamber C as follows.



The chamber C has 7 facets:

$$\mathbf{v}_0, \quad \mathbf{v}_1, \quad \mathbf{v}_2, \quad \overline{\mathbf{v}_0\mathbf{v}_1}, \quad \overline{\mathbf{v}_1\mathbf{v}_2}, \quad \overline{\mathbf{v}_2\mathbf{v}_0}, \quad C.$$

The stabilizers of vertices are

$$\begin{aligned}
G_k^{v_0} &= X_{v_0}^- \cdot S_o \cdot W \cdot X_{v_0}^+ = \mathrm{Sp}_4(\mathfrak{o}) \\
G_k^{v_1} &= \begin{pmatrix} 1 & & & \\ \mathfrak{p} & 1 & & \\ \mathfrak{p} & \mathfrak{p} & 1 & \mathfrak{p} \\ \mathfrak{p} & \mathfrak{o} & & 1 \end{pmatrix} \cdot S_o \cdot \begin{pmatrix} 1 & \mathfrak{o} & \mathfrak{p}^{-1} & \mathfrak{o} \\ & 1 & \mathfrak{o} & \mathfrak{o} \\ & & 1 & \\ & & & \mathfrak{o} & 1 \end{pmatrix} \\
G_k^{v_2} &= \begin{pmatrix} 1 & & & \\ \mathfrak{o} & 1 & & \\ \mathfrak{p} & \mathfrak{p} & 1 & \mathfrak{o} \\ \mathfrak{p} & \mathfrak{p} & & 1 \end{pmatrix} \cdot S_o \cdot \{I_4, \mathfrak{w}_0\} \cdot \begin{pmatrix} 1 & \mathfrak{o} & \mathfrak{p}^{-1} & \mathfrak{p}^{-1} \\ & 1 & \mathfrak{p}^{-1} & \mathfrak{p}^{-1} \\ & & 1 & \\ & & & \mathfrak{o} & 1 \end{pmatrix},
\end{aligned}$$

where $\mathfrak{w}_0 = \mathfrak{w}_{(a,0)}$. By Theorem 2.3 (1), we have

$$G_k^{\overline{v_i v_j}} = G_k^{v_i} \cap G_k^{v_j}, \quad G_k^C = G_k^{v_0} \cap G_k^{v_1} \cap G_k^{v_2} = B_C.$$

For each facet F of C , G_k^F has the double coset decomposition:

$$G_k^F = \bigsqcup_{\mathfrak{w} \in W_{\mathrm{aff}}^F} B_C \mathfrak{w} B_C,$$

where W_{aff}^F is the subgroup of W_{aff} generated by $\{\mathfrak{w}_\delta : F \subset \delta^{-1}(0)\}$.

By Theorem 1.3 (4), $G_k^{v_0}, G_k^{v_1}$ and $G_k^{v_2}$ are maximal compact subgroups of G_k and they are not conjugate in G_k each other. Every maximal compact subgroup of G_k is conjugate to one of $G_k^{v_i}$ s.

2.8 Special maximal compact subgroups

Let $\mathbf{x} \in \overline{C}$. We define subsets of $\Phi_{\text{aff}} = \Phi \times \mathbf{Z}$ by

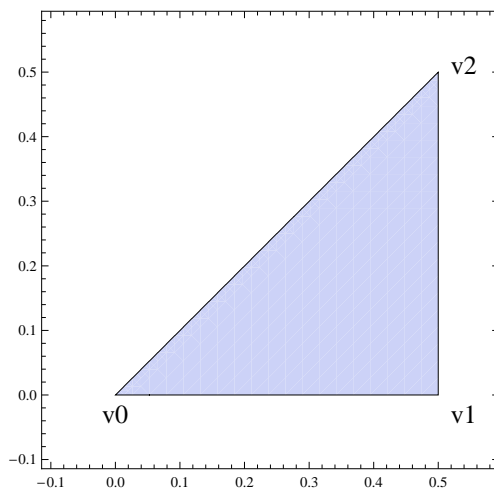
$$\Phi_{\text{aff}}(\mathbf{x}) = \{\delta \in \Phi_{\text{aff}} : \delta(\mathbf{x}) = 0\}, \quad \Phi(\mathbf{x}) = \Phi\text{-part of } \Phi_{\text{aff}}(\mathbf{x})$$

and

$$I_{\mathbf{x}} = \{\delta \in \Delta_{\text{aff}}(C) : \delta(\mathbf{x}) \neq 0\}.$$

Then $\Phi(\mathbf{x})$ is a subroot system of Φ . If \mathbf{x} is a point in the interior of C , then $\Phi_{\text{aff}}(\mathbf{x}) = \emptyset$ and $I_{\mathbf{x}} = \Delta_{\text{aff}}(C)$.

The point \mathbf{x} is called special if every element of Φ is proportional to some element of $\Phi(\mathbf{x})$.



For example,

- if $\mathbf{x} = \mathbf{v}_0$, then $\Phi(\mathbf{v}_0) = \Phi$ and $I_{\mathbf{v}_0} = \{(2\mathbf{a} + \mathbf{b}, 1)\}$,
- if $\mathbf{x} = \mathbf{v}_1$, then $\Phi(\mathbf{v}_1) = \{\mathbf{b}, 2\mathbf{a} + \mathbf{b}\}$ and $I_{\mathbf{v}_1} = \{(\mathbf{a}, 0)\}$,
- if $\mathbf{x} = \mathbf{v}_2$, then $\Phi(\mathbf{v}_2) = \Phi$ and $I_{\mathbf{v}_2} = \{(\mathbf{b}, 0)\}$.

Hence both \mathbf{v}_0 and \mathbf{v}_2 are special, but not \mathbf{v}_1 .

The stabilizer of a special point is a special maximal compact subgroup. Both $G_{\mathbf{k}}^{\mathbf{v}_0}$ and $G_{\mathbf{k}}^{\mathbf{v}_2}$ are special maximal compact subgroups, but not $G_{\mathbf{k}}^{\mathbf{v}_1}$.

2.9 \mathfrak{o} -models of G

Let $J : \mathfrak{k}^4 \times \mathfrak{k}^4 \rightarrow \mathfrak{k}$ be the symplectic form defining G , and let e_1, e_2, e'_1, e'_2 be the canonical basis. We define \mathfrak{o} -lattices L_0, L_1, L_2 as follows:

$$\begin{aligned} L_0 &= \mathfrak{o}e_1 + \mathfrak{o}e_2 + \mathfrak{o}e'_1 + \mathfrak{o}e'_2, \\ L_1 &= \mathfrak{o}e_1 + \mathfrak{o}e_2 + \mathfrak{p}e'_1 + \mathfrak{o}e'_2, \\ L_2 &= \mathfrak{o}e_1 + \mathfrak{o}e_2 + \mathfrak{p}e'_1 + \mathfrak{p}e'_2. \end{aligned}$$

The stabilizer of L_i in $G_{\mathfrak{k}}$ is $G_{\mathfrak{k}}^{\mathfrak{v}_i}$ for $i = 0, 1, 2$.

Since $J(L_i, L_i) \subset \mathfrak{o}$, (L_i, J) gives an \mathfrak{o} -structure of the symplectic space (\mathfrak{k}^4, J) , and hence an \mathfrak{o} -model \mathcal{G}^i of G . We have $\mathcal{G}_{\mathfrak{o}}^i = G_{\mathfrak{k}}^{\mathfrak{v}_i}$. One of the main results of Bruhat–Tits theory is:

Theorem 2.4 (Tits §3.4.1). *Let F be a non-empty bounded subset of \mathcal{B} . Then there exists a unique smooth group \mathfrak{o} -scheme \mathcal{G}^F satisfying*

- $\mathcal{G}^F \times_{\mathfrak{o}} \mathfrak{k} = G$,
- $\mathcal{G}_{\mathfrak{o}'}^F = G_{\mathfrak{k}'}^F$ for any unramified extension $\mathfrak{k}'/\mathfrak{k}$.

We put $\mathcal{G}_{\mathfrak{f}}^i = (\mathcal{G}^i \times_{\mathfrak{o}} \mathfrak{f})_{\mathfrak{f}}$, where $\mathfrak{f} = \mathfrak{o}/\mathfrak{p}$ is the residue field of \mathfrak{k} . It is easy to see that $\mathcal{G}_{\mathfrak{f}}^0 = \mathcal{G}_{\mathfrak{f}}^2 = \mathrm{Sp}_4(\mathfrak{f})$. We determine $\mathcal{G}_{\mathfrak{f}}^1$. Let π be a prime element of \mathfrak{o} . Since $J(L_1, L_1) = \mathfrak{o}$, the bilinear form

$$\bar{J} : L_1/\pi L_1 \times L_1/\pi L_1 \rightarrow \mathfrak{f}.$$

over \mathfrak{f} is defined from J . For $x \in L$, $[x]$ denotes $x \bmod \pi L$. Then $[e_1], [e_2], [\pi e'_1], [e'_2]$ is a basis of $L_1/\pi L_1$ over \mathfrak{f} . Since the radical $R_{\bar{J}}$ of \bar{J} is spanned by $[e_1], [\pi e'_1]$, the automorphism group of $(L_1/\pi L_1, \bar{J})$ is isomorphic with $\mathrm{M}_2(\mathfrak{f}) \rtimes (\mathrm{GL}_2(\mathfrak{f}) \times \mathrm{SL}_2(\mathfrak{f}))$. Hence $\mathcal{G}_{\mathfrak{f}}^1 \cong \mathrm{M}_2(\mathfrak{f}) \rtimes (\mathrm{GL}_2(\mathfrak{f}) \times \mathrm{SL}_2(\mathfrak{f}))$.

3 Maximal compact subgroups of $\mathrm{GSp}_4(\mathbf{k})$

Let GSp_4 be the symplectic group of similitude. There is the following exact sequence:

$$1 \longrightarrow \mathrm{Sp}_4 \longrightarrow \mathrm{GSp}_4 \xrightarrow{\chi} \mathrm{G}_m \longrightarrow 1$$

The similitude character χ has a splitting:

$$s \mapsto d(s) = \begin{pmatrix} s & 0 & 0 & 0 \\ 0 & s & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Therefore, GSp_4 is isomorphic with $\mathrm{Sp}_4 \rtimes d(\mathrm{G}_m)$.

3.1 Bruhat–Tits theory of $\mathrm{GSp}_4(\mathbf{k})$

- In general, the apartment of a connected reductive group H over \mathbf{k} is identified with the apartment of $Z(H) \times [H, H]$, where $Z(H)$ denotes the maximal central \mathbf{k} -split torus of H . Since $Z(\mathrm{GSp}_4)$ is a one-dimensional split torus and $[\mathrm{GSp}_4, \mathrm{GSp}_4] = \mathrm{Sp}_4$, the apartment \tilde{A} of $\mathrm{GSp}_4(\mathbf{k})$ is identified with $\mathbf{R} \times A$.
- $T = S \cdot d(\mathrm{G}_m)$ is a maximal \mathbf{k} -split torus of GSp_4 . The root system of GSp_4 with respect to T is the same as Φ . For $\delta \in \Phi_{\mathrm{aff}}$, the affine function $\delta : A \rightarrow \mathbf{R}$ is trivially extended to \tilde{A} by composition with the projection $\tilde{A} \rightarrow A$. Therefore, $\tilde{C} = \mathbf{R} \times C$ is a chamber of \tilde{A} .
- For a vertex \mathbf{v}_i of C , we denote by $\tilde{\mathbf{v}}_i$ the one-dimensional facet $\mathbf{R} \times \mathbf{v}_i$ of \tilde{C} . Since $\tilde{\mathbf{v}}_i$ is a facet of minimal dimension, its stabilizer $K_i = \mathrm{GSp}_4(\mathbf{k})^{\tilde{\mathbf{v}}_i}$ is a maximal compact subgroup of $\mathrm{GSp}_4(\mathbf{k})$.
- The homomorphism $\nu : S_{\mathbf{k}} \rightarrow \mathbf{X}_*(S) \otimes_{\mathbf{Z}} \mathbf{R}$ is extended to $T_{\mathbf{k}}$ by

$$\nu(d(s)) = -\frac{\mathrm{ord}_{\mathfrak{p}}(s)}{2}(\mathbf{e}_1^{\vee} + \mathbf{e}_2^{\vee}).$$

Then $T_{\mathbf{k}}$ acts on \tilde{A} by the translation: $(r, \mathbf{x}) \mapsto (r, \mathbf{x} + \nu(h))$ for $(r, \mathbf{x}) \in \tilde{A}$ and $h \in T_{\mathbf{k}}$. The facet $\tilde{\mathbf{v}}_0$ transforms to the facet $\tilde{\mathbf{v}}_2$ by the action of $d(\pi^{-1})$. Hence K_0 and K_2 are conjugate in $\mathrm{GSp}_4(\mathbf{k})$.

- K_0 is not conjugate to K_1 because that \mathbf{v}_0 is a special point but not \mathbf{v}_1 .

3.2 Conjugacy of stabilizers of facets

The subset $\Delta_{\text{aff}}(\tilde{C}) = \Delta_{\text{aff}}(C)$ of Φ_{aff} is the local Dynkin diagram of $\text{GSp}_4(\mathbf{k})$:

$$\begin{array}{c} \circ \\ 2a+b \end{array} \Longrightarrow \begin{array}{c} \circ \\ a \end{array} \Longleftarrow \begin{array}{c} \circ \\ b \end{array}$$

The local Dynkin diagram does not depend, up to canonical isomorphism, on the choice of the chamber \tilde{C} . The torus $T_{\mathbf{k}}$ acts on the set of chambers in \tilde{A} , and hence on $\Delta_{\text{aff}}(\tilde{C})$. We denote by $\Xi(\text{GSp}_4)$, or simply Ξ , the image of the homomorphism $T_{\mathbf{k}} \rightarrow \text{Aut}(\Delta_{\text{aff}}(\tilde{C}))$

Theorem 3.1 (Tits §2.5). *Ξ is isomorphic with $T_{\mathbf{k}}/T_{\mathbf{o}}S_{\mathbf{k}}Z(\text{GSp}_4)_{\mathbf{k}}$, in particular $\Xi = \text{Aut}(\Delta_{\text{aff}}(\tilde{C}))$.*

For every facet \tilde{F} of the chamber \tilde{C} , we define the subset $I_{\tilde{F}}$ of $\Delta_{\text{aff}}(\tilde{C})$ by

$$I_{\tilde{F}} = \{\delta \in \Delta_{\text{aff}}(\tilde{C}) : \delta|_{\tilde{F}} \neq 0\}$$

Obviously, we have $I_{\tilde{v}_i} = I_{v_i}$.

Theorem 3.2 (Tits §2.5). *Let \tilde{F}_1 and \tilde{F}_2 be facets of \tilde{C} . Then $\text{GSp}_4(\mathbf{k})^{\tilde{F}_1}$ and $\text{GSp}_4(\mathbf{k})^{\tilde{F}_2}$ are conjugate in $\text{GSp}_4(\mathbf{k})$ if and only if $I_{\tilde{F}_1}$ and $I_{\tilde{F}_2}$ are in the same orbit of Ξ .*

4 Conclusions

- $G_k^{v_0}, G_k^{v_1}$ and $G_k^{v_2}$ are representatives of conjugacy classes of maximal compact subgroups of $G_k = \mathrm{Sp}_4(\mathbf{k})$.
- Both $G_k^{v_0}$ and $G_k^{v_2}$ are special maximal compact subgroups of G_k . They satisfy both Iwasawa and Cartan decompositions. The reduction mod \mathfrak{p} of each of them is isomorphic with $\mathrm{Sp}_4(\mathfrak{f})$.
- $G_k^{v_1}$ is not a special maximal compact subgroup. The reduction mod \mathfrak{p} of $G_k^{v_1}$ is isomorphic with $\mathrm{M}_2(\mathfrak{f}) \rtimes (\mathrm{GL}_2(\mathfrak{f}) \times \mathrm{SL}_2(\mathfrak{f}))$.
- $\mathrm{GSp}_4(\mathbf{k})^{\tilde{v}_0}$ and $\mathrm{GSp}_4(\mathbf{k})^{\tilde{v}_1}$ are representatives of conjugacy classes of maximal compact subgroups of $\mathrm{GSp}_4(\mathbf{k})$.
- $\mathrm{GSp}_4(\mathbf{k})^{\tilde{v}_0}$ is a special maximal compact subgroup.
- $\mathrm{GSp}_4(\mathbf{k})^{\tilde{v}_1}$ is not a special maximal compact subgroup.