

# Applications of pseudodifferential operators of symbol $\exp S_{\rho,\delta}^\kappa$ to the Cauchy problem

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## Abstract

In this note we apply the calculus of pseudodifferential operators with symbols of type  $\exp(S_{\rho,\delta}^\kappa)$  given in [8], slightly less precise but much easier to apply than that of [7], to the Cauchy problem for non-effectively hyperbolic operators recovering the results obtained in [2, 3].

## 1 Preliminaries

Denote the metric defining the class  $S_{\rho,\delta}$  by  $g_{\rho,\delta}$

$$(g_{\rho,\delta})_X(Y) = \langle \xi \rangle_M^{2\delta} |y|^2 + \langle \xi \rangle_M^{-2\rho} |\eta|^2, \quad X = (x, \xi), Y = (y, \eta) \in \mathbb{R}^n.$$

where  $\langle \xi \rangle_M = (M^2 + |\xi|^2)^{1/2}$ .

**Definition 1.1.** A positive function  $m(x, \xi)$  is called  $g_{\rho,\delta}$  admissible weight if there are positive constants  $C, N$  independent of  $M$  such that with  $g = g_{\rho,\delta}$

$$(1.1) \quad m(X) \leq C m(Y) (1 + \max \{g_X(X - Y), g_Y(X - Y)\})^N, \quad X, Y \in \mathbb{R}^{2n}.$$

For simplicity denote  $g_{1/2,1/2}$  by  $g_{1/2}$ ;

$$(g_{1/2})_X(Y) = \langle \xi \rangle_M |y|^2 + \langle \xi \rangle_M^{-1} |\eta|^2, \quad X = (x, \xi), Y = (y, \eta)$$

and write  $S_{1/2}(m) = S_{1/2,1/2}(m)$ . In what follows we assume

$$0 \leq \delta \leq 1/2 \leq \rho \leq 1 \quad (\implies g_{\rho,\delta} \leq g_{1/2}) \quad \text{and} \quad \delta < \rho.$$

Let  $m > 0$  be  $g_{\rho,\delta}$  admissible and  $m \in S_{\rho,\delta}(m)$ . Since  $m^{-1} \in S_{\rho,\delta}(m)$  and  $m^{-1}$  is  $g_{\rho,\delta}$  admissible we have  $m \# m^{-1} = 1 - r$  with  $r \in S_{\rho,\delta}(\langle \xi \rangle_M^{-2(\rho-\delta)}) \subset S_{1/2}(M^{-2(\rho-\delta)})$  hence there is  $M_0 > 0$  such that  $\sum_{j=1}^{\infty} r \#^j$  converges to  $k \in S_{1/2}(1)$  satisfying  $(1 - r) \# (1 + k) = (1 + k) \# (1 - r) = 1$  for  $M \geq M_0$ .

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**Lemma 1.1.** *Assume that  $w_\alpha$ ,  $\alpha \in \mathbb{N}^{2n}$  are  $g_{1/2}$  admissible weights which satisfy  $w_\alpha w_\beta \lesssim w_{\alpha+\beta}$  for all  $\alpha, \beta \in \mathbb{N}^{2n}$ . Assume that  $\partial_X^\alpha r \in S_{1/2}(w_\alpha)$  for  $|\alpha| \leq N$  then we have  $\partial_X^\alpha k \in S_{1/2}(w_\alpha)$  for  $|\alpha| \leq N$ .*

*Proof.* Note that  $k$  satisfies  $k = r + r\#k$ . Since  $r\#k \in S_{1/2}(w_0)$  it is clear that  $k \in S_{1/2}(w_0)$ . Suppose that  $\partial_X^\alpha k \in S_{1/2}(w_\alpha)$  for  $|\alpha| \leq l < N$ . Let  $|\beta| = l + 1$  then we have

$$\partial_X^\beta k = \partial_X^\beta r + \sum_{\beta' + \beta'' = \beta, |\beta''| \leq l} C_{\beta' \beta''} (\partial_X^{\beta'} r) \# (\partial_X^{\beta''} k) + r \# \partial_X^\beta k$$

where  $\sum \cdots \in S_{1/2}(\sum w_{\beta'} w_{\beta''}) \subset S_{1/2}(w_\beta)$ . Thus it follows that

$$(1 - r) \# (\partial_X^\beta k) \in S_{1/2}(w_\beta)$$

from which we have  $\partial_X^\beta k = (1 + k) \# S_{1/2}(w_\beta) \subset S_{1/2}(w_\beta)$ .  $\square$

**Corollary 1.1.** *If  $m$  is  $g_{\rho, \delta}$  admissible weight such that  $m \in S_{\rho, \delta}(m)$  there exist  $M_0 > 0$  and  $k \in S_{\rho, \delta}(M^{-2(\rho-\delta)})$  ( $M > M_0$ ) such that*

$$m \# m^{-1} \# (1 + k) = 1, \quad (1 + k) \# m \# m^{-1} = 1, \quad m^{-1} \# (1 + k) \# m = 1.$$

*Proof.* Since  $r \in S_{\rho, \delta}(M^{-2(\rho-\delta)})$  hence

$$\partial_X^\alpha r \in S_{\rho, \delta}(M^{-2(\rho-\delta)} \langle \xi \rangle_M^{\epsilon(\alpha)}) \subset S_{1/2}(M^{-2(\rho-\delta)} \langle \xi \rangle_M^{\epsilon(\alpha)}), \quad \alpha \in \mathbb{N}^{2n}$$

where  $\epsilon(\alpha) = \delta|\alpha_x| - \rho|\alpha_\xi|$  with  $\alpha = (\alpha_x, \alpha_\xi) \in \mathbb{N}^{2n}$ . Thanks to Lemma 1.1 we have  $\partial_X^\alpha k \in S_{1/2}(M^{-2(\rho-\delta)} \langle \xi \rangle_M^{\epsilon(\alpha)})$  for all  $\alpha \in \mathbb{N}^{2n}$  which implies that  $k \in S_{\rho, \delta}(M^{-2(\rho-\delta)})$ .  $\square$

**Lemma 1.2.** *Let  $m_i$  ( $i = 1, 2$ ) be  $g_{\rho, \delta}$  admissible weights such that  $m_i \in S_{\rho, \delta}(m_i)$ . If  $a \in S_{1/2}(m_1 m_2)$  or  $a \in S_{1/2}(m_1)$  there are  $C > 0, M_0 > 0$  such that the followings hold for  $M > M_0$*

$$\begin{aligned} |(\text{op}(a)u, v)| &\leq C \|\text{op}(m_1)u\| \|\text{op}(m_2)v\|, \\ \|\text{op}(a)u\| &\leq C \|\text{op}(m_1)u\|. \end{aligned}$$

*Proof.* Note that  $m_i^{-1}$  are  $g_{\rho, \delta}$  admissible. Write

$$a = m_2 \# (1 + k_2) \# m_2^{-1} \# a \# m_1^{-1} \# (1 + k_1) \# m_1 = m_2 \# r \# m_1$$

where  $r = (1 + k_2) \# m_2^{-1} \# a \# m_1^{-1} \# (1 + k_1) \in S_{1/2}(1)$  then the proof is clear. For the second assertion it is enough to write  $a = a \# m_1^{-1} \# (1 + k_1) \# m_1 = r \# m_1$  with  $r = a \# (1 + k_1) \# m_1^{-1} \in S_{1/2}(1)$ .  $\square$

**Lemma 1.3.** *Let  $m \in S_{1/2}(m)$  be  $g_{1/2}$  admissible weight. If  $a$  is  $g_{\rho,\delta}$  admissible weight satisfying  $a \in S_{\rho,\delta}(a)$  and  $a \geq cm$  with some  $c > 0$  then there exist  $C > 0, M_0 > 0$  such that*

$$C\|\text{op}(a)u\| \geq \|\text{op}(m)u\|, \quad M \geq M_0.$$

*Let  $m \in S_{\rho,\delta}(m)$  be  $g_{\rho,\delta}$  admissible weight. If  $a \in S_{\rho,\delta}(m)$  satisfies  $a \geq cm$  with some  $c > 0$  then there exist  $C > 0, M_0 > 0$  such that*

$$C(\text{op}(a)u, u) \geq \|\text{op}(\sqrt{m})u\|^2, \quad M \geq M_0.$$

*Proof.* Write  $m = m\#a^{-1}\#(1+k)\#a$  where  $m\#a^{-1}\#(1+k) \in S_{1/2}(1)$  for  $a^{-1}$  is  $g_{1/2}$  admissible. This proves the first assertion. Turn to the next assertion. Since  $cm \leq a \leq Cm$  it is clear that  $a$  is  $g_{\rho,\delta}$  admissible weight hence so is  $\sqrt{a}$ . Since  $a = \sqrt{a}\#\sqrt{a} + r$  with  $r \in S_{\rho,\delta}(M^{-2(\rho-\delta)}a)$  one can write  $r = a^{1/2}\#(1+k)\#a^{-1/2}\#r\#a^{-1/2}\#(1+\tilde{k})\#a^{1/2}$  where  $(1+k)\#a^{-1/2}\#r\#a^{-1/2}\#(1+\tilde{k}) \in S_{\rho,\delta}(M^{-2(\rho-\delta)})$ . Thus

$$|(\text{op}(r)u, u)| \leq CM^{-2(\rho-\delta)}\|\text{op}(\sqrt{a})u\|^2.$$

Since  $(\text{op}(a)u, u) = \|\text{op}(\sqrt{a})u\|^2 + (\text{op}(r)u, u)$  it follows that

$$(\text{op}(a)u, u) \geq (1 - CM^{-2(\rho-\delta)})\|\text{op}(\sqrt{a})u\|^2 \geq \|\text{op}(\sqrt{m})u\|^2/C, \quad M \geq M_0$$

where the last inequality follows from the first assertion.  $\square$

## 2 Applications to the Cauchy problem

### 2.1 Some special weights

Let  $\phi_1(x, \xi) \in S_{1,0}^{(s)}(1)$  and define  $w(x, \xi)$  by

$$w(x, \xi) = (\phi_1^{2m}(x, \xi) + \langle \xi \rangle_M^{-l})^{1/2l}, \quad l, m \in \mathbb{N}, \quad l \leq m.$$

Let  $\phi_2(x) \in G^s(\mathbb{R}^n)$  and define

$$r(x, \xi) = \sqrt{\phi_2^2(x) + w^2(x, \xi)}.$$

Introduce two more metrics. Let

$$\begin{aligned} \bar{g}_X(Y) &= \varrho^{-2}|y|^2 + w^{-2l/m}\langle \xi \rangle_M^{-2}|\eta|^2, \quad \varrho^{-1} = r^{-1} + w^{-l/m}, \\ \underline{g}_X(Y) &= w^{-2l/m}|y|^2 + w^{-2l/m}\langle \xi \rangle_M^{-2}|\eta|^2, \quad Y = (y, \eta) \in \mathbb{R}^{2n}. \end{aligned}$$

**Lemma 2.1.** *There exist  $C > 0, A > 0$  such that*

$$(2.1) \quad |\partial_x^\beta \partial_\xi^\alpha w| \leq CA^{|\alpha+\beta|} |\alpha + \beta|!^s w w^{-l|\alpha+\beta|/m} \langle \xi \rangle_M^{-|\alpha|}$$

that is  $w \in S^{(s)}(w, g)$ . In particular we have  $w \in S_{\rho, \delta}^{(s)}(w)$  with

$$(2.2) \quad \rho = 1 - l/2m, \quad \delta = l/2m \quad (\text{hence } \rho + \delta = 1).$$

Moreover  $w$  is  $g_{\rho, \delta}$  admissible.

*Proof.* We only show that  $w$  is  $g_{\rho, \delta}$  admissible. Thanks to (2.1) we have  $|\partial_x^\beta \partial_\xi^\alpha w^{l/m}| \leq C \langle \xi \rangle_M^{-|\alpha|}$  for  $|\alpha + \beta| = 1$ . Then

$$|w^{l/m}(X + Y) - w^{l/m}(X)| \leq C(|y| + \langle \xi + \theta \eta \rangle_M^{-1} |\eta|) \quad |\theta| < 1.$$

Write  $g = g_{\rho, \delta}$ . If  $|\eta| \leq \langle \xi \rangle_M / 2$  so that  $\langle \xi + \theta \eta \rangle_M \approx \langle \xi \rangle_M$  the right-hand side is bounded by  $C(|y| + \langle \xi \rangle_M^{-1} |\eta|) \leq C \langle \xi \rangle_M^{-\delta} g_X^{1/2}(Y) \leq C w^{l/m}(X) g_X^{1/2}(Y)$ . If  $|\eta| \geq \langle \xi \rangle_M / 2$  then  $g_X(Y) \geq \langle \xi \rangle_M^{2\delta} / 4$ . Therefore  $w^{l/m}(X + Y) \leq C \leq C' \langle \xi \rangle_M^{-\delta} g_X^{1/2}(Y) \leq C' w^{l/m}(X) g_X^{1/2}(Y)$  hence  $w^{l/m}$  is  $g_{\rho, \delta}$  admissible and so is  $w = (w^{l/m})^{m/l}$ .  $\square$

Since  $w^{-l/m} \leq \langle \xi \rangle_M^{l/2m} = \langle \xi \rangle_M^\delta$  and  $w^{-l/m} \langle \xi \rangle_M^{-1} \leq \langle \xi \rangle_M^{\delta-1} = \langle \xi \rangle_M^{-\rho}$  and  $w^{-l/m} \leq \varrho^{-1} \lesssim w^{-1} \lesssim \langle \xi \rangle_M^{1/2}$  it is clear that

$$g \leq \bar{g}, \quad g \leq g_{\rho, \delta}, \quad \bar{g} \leq g_{\rho, 1/2}.$$

**Lemma 2.2.** *We have*

$$|\partial_x^\beta \partial_\xi^\alpha r| \leq CA^{|\alpha+\beta|} |\alpha + \beta|!^s r \varrho^{-|\beta|} w^{-l|\alpha|/m} \langle \xi \rangle_M^{-|\alpha|}$$

that is  $r \in S^{(s)}(r, \bar{g})$ , hence  $r \in S_{\rho, 1/2}^{(s)}(r)$ . Moreover  $r$  is  $g_{\rho, 1/2}$  admissible.

*Proof.* We only show that  $r$  is  $g_{\rho, 1/2}$  admissible. It suffices to show that  $r^2 = \phi_2^2(x) + w^2$  is  $g_{\rho, 1/2}$  admissible. Since  $w^2$  is  $g_{\rho, \delta}$  admissible by Lemma 2.1 hence  $g_{\rho, 1/2}$  admissible because  $g_{\rho, \delta} \leq g_{\rho, 1/2}$ . With  $g = g_{\rho, 1/2}$  note that  $|\phi_2(X + Y) - \phi_2(X)| \leq C|y| \leq C \langle \xi \rangle_M^{-1/2} g_X^{1/2}(Y) \leq C w(X) g_X^{1/2}(Y)$  thus

$$\phi_2^2(X + Y) \leq C(\phi_2^2(X) + w^2(X))(1 + g_X(Y)) \leq C r^2(X)(1 + g_X(Y))$$

from which we conclude the assertion.  $\square$

Let us define

$$\begin{aligned}\phi(x, \xi) &= i\{\log(\phi_2(x) - iw(x, \xi)) - \log(\phi_2(x) + iw(x, \xi))\} \\ &= 2 \arg(\phi_2(x) + iw(x, \xi)).\end{aligned}$$

**Lemma 2.3.** *We have  $\phi \in S^{(s)}(\phi, \bar{g})$  hence  $\phi \in S_{\rho, 1/2}^{(s)}(\phi)$  and  $\phi$  is  $g_{\rho, 1/2}$  admissible. In particular  $\partial_x^\beta \partial_\xi^\alpha \phi \in S^{(s)}(wr^{-1} \varrho^{-|\beta|} w^{-l|\alpha|/m}, \bar{g})$  for  $|\alpha + \beta| = 1$ .*

*Proof.* For  $|\alpha + \beta| = 1$  one has

$$(2.3) \quad \partial_x^\beta \partial_\xi^\alpha \phi = -2r^{-2}(x, \xi)[w(x, \xi) \partial_x^\beta \partial_\xi^\alpha \phi_2(x) - \phi_2(x) \partial_x^\beta \partial_\xi^\alpha w(x, \xi)]$$

where  $\phi_2(x) \partial_x^\beta \partial_\xi^\alpha w \in S^{(s)}(rw^{1-l|\alpha+\beta|/m} \langle \xi \rangle_M^{-|\alpha|}, \bar{g})$  in view of Lemma 2.1, thus the last assertion is clear from Lemma 2.2. Since there is  $c > 0$  such that

$$(2.4) \quad \phi = 2 \arg(\phi_2 + iw) = 2 \arctan \frac{w}{r} \geq c \frac{w}{r}$$

thanks to Lemmas 2.1 and 2.2 it follows that

$$\begin{aligned}w/r^2 &\in S^{(s)}(w/r^2, \bar{g}) \subset S^{(s)}(r^{-1}\phi, \bar{g}), \\ \phi_2 \partial_x^\beta \partial_\xi^\alpha w/r^2 &\in S^{(s)}(w^{1-l|\alpha+\beta|/m} \langle \xi \rangle_M^{-|\alpha|}/r, \bar{g}) \subset S^{(s)}(w^{-l|\alpha+\beta|/m} \langle \xi \rangle_M^{-|\alpha|} \phi, \bar{g})\end{aligned}$$

which together with (2.3) shows  $\phi \in S^{(s)}(\phi, \bar{g})$ . Next, we show that  $\phi$  is  $g_{\rho, 1/2}$  admissible. In view of (2.3) we have

$$\begin{aligned}|\phi(X + Y) - \phi(X)| &\leq C \left( \frac{w}{r^2} + \frac{w^{1-l/m}}{r} \right) \Big|_{(X+\theta Y)} |y| \\ &\quad + C \left( \frac{w^{1-l/m}}{r} \right) \Big|_{(X+\theta Y)} \langle \xi + \theta \eta \rangle_M^{-1} |\eta|, \quad |\theta| < 1.\end{aligned}$$

Denoting  $g = g_{\rho, 1/2}$ , if  $|\eta| \leq \langle \xi \rangle_M / 2$  so that  $g_X \approx g_{X+\theta Y}$  then recalling that  $w$  and  $r$  are  $g$  admissible one can find  $N$  such that

$$\begin{aligned}w(X + \theta Y)/r^2(X + \theta Y) &\leq C(w(X)/r^2(X))(1 + g_X(Y))^N, \\ w^{1-l/m}(X + \theta Y)/r(X + \theta Y) &\leq C(w^{1-l/m}(X)/r(X))(1 + g_X(Y))^N\end{aligned}$$

from which together with (2.4) it follows that

$$\begin{aligned}|\phi(X + Y) - \phi(X)| &\leq C\phi(X)(\langle \xi \rangle_M^{1/2} |y| + \langle \xi \rangle_M^{-(1-l/2m)} |\eta|)(1 + g_X(Y))^N \\ &\leq C'\phi(X)(1 + g_X(Y))^{N+1/2}\end{aligned}$$

since  $r(X) \geq w(X) \geq \langle \xi \rangle_M^{-1/2}$ . If  $|\eta| \geq \langle \xi \rangle_M/2$  so that  $g_X(Y) \geq \langle \xi \rangle_M^{l/m}/4$  noting that  $\phi(X) \geq c\langle \xi \rangle_M^{-1/2}$  in view of (2.4) we have

$$\phi(X + Y) \leq 2\pi \leq C\langle \xi \rangle_M^{-1/2}(1 + g_X(Y))^{m/2l} \leq C\phi(X)(1 + g_X(Y))^{m/2l}$$

thus the proof is complete.  $\square$

**Lemma 2.4.** *One can write*

$$\begin{aligned} \partial_x^\beta \partial_\xi^\alpha \phi &= A_{\alpha\beta} + \phi_2 B_{\alpha\beta}, \quad |\alpha + \beta| \geq 1, \\ A_{\alpha\beta} &\in S^{(s)}(wr^{-2} \varrho^{-|\beta|+1} w^{-|\alpha|l/m} \langle \xi \rangle_M^{-|\alpha|}, \bar{g}), \\ B_{\alpha\beta} &\in S^{(s)}(r^{-2} w^{1-|\alpha+\beta|l/m} \langle \xi \rangle_M^{-|\alpha|}, \bar{g}). \end{aligned}$$

*Proof.* Let  $|\alpha' + \beta'| = 1$  and  $\alpha = \alpha' + \alpha''$ ,  $\beta = \beta' + \beta''$  then from (2.3) we see

$$\partial_x^\beta \partial_\xi^\alpha \phi = -2\partial_x^{\beta''} \partial_\xi^{\alpha''} (wr^{-2} \partial_x^{\beta'} \partial_\xi^{\alpha'} \phi_2) + 2\partial_x^{\beta''} \partial_\xi^{\alpha''} (\phi_2 r^{-2} \partial_x^{\beta'} \partial_\xi^{\alpha'} w).$$

Since  $wr^{-2} \in S^{(s)}(wr^{-2}, \bar{g})$  the first term is  $A_{\alpha\beta}$ . Consider the second term. Note that  $\partial_x^e (\phi_2 r^{-2}) \in S^{(s)}(r^{-2}, \bar{g})$  for  $|e| = 1$  and  $\partial_x^{\beta'} \partial_\xi^{\alpha'} w \in S^{(s)}(w^{1-l/m} \langle \xi \rangle_M^{-|\alpha'|}, \bar{g})$  then if at least one derivative with respect to  $x$  falls on  $\phi_2 r^{-2}$  which yields  $A_{\alpha\beta}$  otherwise this term will be  $\phi_2 B_{\alpha\beta}$ .  $\square$

## 2.2 Operators to be considered; non-effectively hyperbolic operators

Consider

$$P = -D_0^2 + 2BD_0 + Q, \quad B = \text{op}(\phi_1 \langle \xi \rangle_M), \quad Q = \text{op}(\phi_2^2 \langle \xi \rangle_M^2)$$

where  $\phi_i \in S_{1,0}^{(s)}(1)$  are real valued and  $\phi_2 = \phi_2(x)$  is independent of  $\xi$ . Assume that there exist  $c > 0$  and  $c_{ij} \in S_{1,0}^{(s)}(1)$  such that

$$(2.5) \quad \{\xi_0, \phi_i\} = \sum_{j=1}^2 c_{ij} \phi_j, \quad \langle \xi \rangle_M \{\phi_1, \phi_2\} \geq c > 0.$$

This is the general form for the case that  $\text{Ker } F^2 \cap \text{Im } F^2 \neq \{0\}$  on the double characteristic manifold which is assumed to be smooth and of codimension

3 where  $F$  denotes the Hamilton map of  $P$ . If there exists no bicharacteristics falling on the double characteristic manifold tangentially then the first condition in (2.5) can be strengthened to

$$(2.6) \quad \{\xi_0, \phi_1\} = \sum_{j=1}^2 c_{1j} \phi_j, \quad \{\xi_0, \phi_2\} = c_{21} \phi_1^2 + c_{22} \phi_2.$$

$P_{mod} = -D_0^2 + 2D_1D_0 + x_1^2D_2^2$  is the model operator for the case (2.6), which is one of three normal forms of quadratic hyperbolic operators ([5, Section 21.5]). The fundamental solution for  $P_{mod}$  is constructed in [6] (see also [4, Chapter 7, p.211]) and proved solvability of the Cauchy problem for  $P_{mod} + SD_2$ ,  $S \in \mathbb{C}$  in the Gevrey class 4 using the explicit formulas (although energy estimates giving Gevrey class 4 result was not obtained, see [6, p.159]).

Let

$$w := (\phi_1^{2m} + \langle \xi \rangle_M^{-l})^{1/2l}, \quad r := \sqrt{\phi_2^2(x) + w^2(x, \xi)}$$

be given in Section 2.1 where  $w \in S_{\rho, \delta}^{(s)}(w)$  with  $\rho = 1 - l/2m$  and  $\delta = l/2m$  is  $g_{\rho, \delta}$  admissible by Lemma 2.1 and  $r \in S_{\rho, 1/2}^{(s)}(r)$  is  $g_{\rho, 1/2}$  admissible by Lemma 2.2. In what follows we consider two cases;

$$(2.7) \quad (m, l) = (3, 2) \text{ in case (2.5), } (m, l) = (2, 1) \text{ in case (2.6).}$$

Note that

$$\rho = 2/3, \delta = 1/3 \text{ if } (m, l) = (3, 2), \quad \rho = 3/4, \delta = 1/4 \text{ if } (m, l) = (2, 1).$$

Take  $\kappa_1$  such that

$$(2.8) \quad \delta < \kappa_1 < 1/2$$

and consider

$$e^{-\gamma \langle D \rangle_M^{\kappa_1} x_0} P e^{\gamma \langle D \rangle_M^{\kappa_1} x_0}$$

where  $\gamma > 0$  is a positive parameter and will be fixed eventually such that

$$(2.9) \quad \gamma = M^{\epsilon^*}, \quad \epsilon^* > 0, \quad \kappa_1 + \epsilon^* < 1/2.$$

Since  $\gamma \langle \xi \rangle_M^{\kappa_1} \leq \langle \xi \rangle_M^{\kappa_1 + \epsilon^*}$  one can regard  $\gamma \langle \xi \rangle_M^{\kappa_1} \in S_{1,0}^{(s)}(\langle \xi \rangle_M^{\kappa_1 + \epsilon^*})$ . Since  $\langle \xi \rangle_M^l \in S_{1,0}^{(s)}(\langle \xi \rangle_M^l)$  ( $l \in \mathbb{R}$ ) and  $\phi_i \in S_{1,0}^{(s)}(1)$  and it is easy to see that

$(\sigma\partial_X)^\alpha(\partial_X^{\alpha_0}\phi_i\partial_X^{\alpha_1}\langle\xi\rangle_M^{\kappa_1+\epsilon^*}\dots\partial_X^{\alpha_k}\langle\xi\rangle_M^{\kappa_1+\epsilon^*}) \in S_{1,0}^{(s)}(\langle\xi\rangle_M^{k(\kappa_1+\epsilon^*)-|\alpha|})$ ,  $|\alpha| \geq k$ , thanks to [8, Theorem 2.1] we have

$$\begin{aligned} e^{-\gamma\langle\xi\rangle_M^{\kappa_1}x_0}\#(\phi_1\langle\xi\rangle_M)\#e^{\gamma\langle\xi\rangle_M^{\kappa_1}x_0} &= \phi_1\langle\xi\rangle_M + i\gamma x_0\{\langle\xi\rangle_M^{\kappa_1}, \phi_1\}\langle\xi\rangle_M \\ &+ S_{1,0}^{(s)}(1) + S_{0,0}^{(s)}(e^{-c\langle\xi\rangle_M^{1/s}}) = \phi_1\langle\xi\rangle_M + i\gamma x_0 b_1 + b_2 + r^b \end{aligned}$$

with  $b_1 = \{\langle\xi\rangle_M^{\kappa_1}, \phi_1\}\langle\xi\rangle_M$ ,  $b_2 \in S_{1,0}^{(s)}(1)$  and  $r^b \in S_{0,0}^{(s)}(e^{-c\langle\xi\rangle_M^{1/s}})$  and

$$\begin{aligned} e^{-\gamma\langle\xi\rangle_M^{\kappa_1}x_0}\#(\phi_2^2\langle\xi\rangle_M^2)\#e^{\gamma\langle\xi\rangle_M^{\kappa_1}x_0} &= \phi_2^2\langle\xi\rangle_M^2 + 2i\gamma x_0\{\langle\xi\rangle_M^{\kappa_1}, \phi_2\}\phi_2\langle\xi\rangle_M^2 \\ &+ S_{1,0}^{(s)}(\langle\xi\rangle_M) + S_{0,0}^{(s)}(e^{-c\langle\xi\rangle_M^{1/s}}) = \phi_2^2\langle\xi\rangle_M^2 + 2i\gamma x_0 q_1 + q_2 + r^q \end{aligned}$$

with  $q_1 = \{\langle\xi\rangle_M^{\kappa_1}, \phi_2\}\phi_2\langle\xi\rangle_M^2$ ,  $q_2 \in S_{1,0}^{(s)}(\langle\xi\rangle_M)$  and  $r^q \in S_{0,0}^{(s)}(e^{-c\langle\xi\rangle_M^{1/s}})$ . Therefore it follows that

$$\begin{aligned} e^{-\gamma\langle D\rangle_M^{\kappa_1}x_0}P e^{\gamma\langle D\rangle_M^{\kappa_1}x_0} &= -(D_0 - i\gamma\langle D\rangle_M^{\kappa_1})^2 \\ &+ 2\text{op}(\phi_1\langle\xi\rangle_M + i\gamma x_0 b_1 + b_2 + r^b)(D_0 - i\gamma\langle D\rangle_M^{\kappa_1}) \\ &+ \text{op}(\phi_2^2\langle\xi\rangle_M^2 + 2i\gamma x_0 q_1 + q_2 + r^q). \end{aligned}$$

Let us denote

$$(2.10) \quad \nu = 2 - 2l/m, \quad \psi := 1 - \sqrt{1 - w^\nu} = w^\nu / (1 + \sqrt{1 - w^\nu})$$

where  $w$  is assumed to be  $|w| \leq c < 1$  without loss of generality. From Lemma 2.1 it follows that

$$(2.11) \quad |\partial_x^\beta \partial_\xi^\alpha \psi| \leq CA^{|\alpha+\beta|} |\alpha + \beta|!^s w^\nu w^{-l|\alpha+\beta|/m} \langle\xi\rangle_M^{-|\alpha|}.$$

In particular  $\psi \in S_{\rho,\delta}^{(s)}(w^\nu)$ . Noting that  $\psi^2 - 2\psi + w^\nu = 0$  it is clear that

$$\begin{aligned} -\xi_0^2 + 2\phi_1\langle\xi\rangle_M\xi_0 + \phi_2^2\langle\xi\rangle_M^2 &= -(\xi_0 + \phi_1\psi\langle\xi\rangle_M)(\xi_0 - \phi_1\psi\langle\xi\rangle_M) \\ &+ 2\phi_1\langle\xi\rangle_M(\xi_0 - \phi_1\psi\langle\xi\rangle_M) + \phi_2^2\langle\xi\rangle_M^2 + \phi_1^2 w^\nu \langle\xi\rangle_M^2 \end{aligned}$$

here we note that  $\phi_1^2 w^\nu \geq \phi_1^2 |\phi_1|^{\nu m/l} = |\phi_1|^{2m/l} = |\phi_1|^{1/\delta}$ . Replacing  $\xi_0$  by  $\xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1}$  we have

**Lemma 2.5.** *One can write*

$$\begin{aligned} &-(\xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1})^2 + 2\phi_1\langle\xi\rangle_M(\xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1}) + \phi_2^2\langle\xi\rangle_M^2 \\ &= -(\xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1} + \phi_1\psi\langle\xi\rangle_M)(\xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1} - \phi_1\psi\langle\xi\rangle_M) \\ &+ 2\phi_1\langle\xi\rangle_M(\xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1} - \phi_1\psi\langle\xi\rangle_M) + \phi_2^2\langle\xi\rangle_M^2 + \phi_1^2 w^\nu \langle\xi\rangle_M^2. \end{aligned}$$



Since  $|\phi_1| \lesssim w^{l/m}$  it is clear from Lemma 2.1

**Lemma 2.6.** *We have  $\phi_1\psi \in S_{\rho,\delta}^{(s)}(w^{\nu+l/m})$ .*

In view of Lemma 2.6 and  $\phi_1 \in S_{\rho,\delta}^{(s)}(w^{l/m})$  into account, an application of [8, Theorem 2.3] proves

$$\begin{aligned} & (\xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1} + \phi_1\psi\langle\xi\rangle_M)\#(\xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1} - \phi_1\psi\langle\xi\rangle_M) \\ &= (\xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1} + \phi_1\psi\langle\xi\rangle_M)(\xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1} - \phi_1\psi\langle\xi\rangle_M) \\ & \quad + S_{\rho,\delta}^{(s)}(\langle\xi\rangle_M) + S_{0,0}^{(s/(1-\delta))}(e^{-c\langle\xi\rangle_M^{(1-\delta)/s}}), \\ (\phi_1\langle\xi\rangle_M)\#(\xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1} - \phi_1\psi\langle\xi\rangle_M) &= \phi_1\langle\xi\rangle_M(\xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1} - \phi_1\psi\langle\xi\rangle_M) \\ & \quad + S_{\rho,\delta}^{(s)}(\langle\xi\rangle_M) + S_{0,0}^{(s/(1-\delta))}(e^{-c\langle\xi\rangle_M^{(1-\delta)/s}}) \end{aligned}$$

where (2.9) is taken into account.

**Lemma 2.7.** *One can write  $e^{-\gamma\langle\xi\rangle_M^{\kappa_1}x_0}\#p\#e^{\gamma\langle\xi\rangle_M^{\kappa_1}x_0}$  as*

$$\begin{aligned} & -(\xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1} + \phi_1\psi\langle\xi\rangle_M)\#(\xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1} - \phi_1\psi\langle\xi\rangle_M) \\ & \quad + 2(\phi_1\langle\xi\rangle_M + i\gamma x_0 b_1)\#(\xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1} - \phi_1\psi\langle\xi\rangle_M) \\ & \quad + \phi_2^2\langle\xi\rangle_M^2 + \phi_1^2 w^\nu \langle\xi\rangle_M^2 + 2i\gamma x_0 Q_1 + r_1 + \tilde{r} \\ & \quad + 2(b_2 + r^b)\#(\xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1} - \phi_1\psi\langle\xi\rangle_M) + 2(b_2 + r^b)\#(\phi_1\psi\langle\xi\rangle_M) \end{aligned}$$

where  $Q_1 = q_1 + b_1\phi_1\psi\langle\xi\rangle_M$  and  $r_1 \in S_{\rho,\delta}^{(s)}(\langle\xi\rangle_M)$ ,  $\tilde{r} \in S_{0,0}^{(s/(1-\delta))}(e^{-c\langle\xi\rangle_M^{(1-\delta)/s}})$ .

*Proof.* From [8, Theorem 2.3] it follows that  $\gamma b_1\#(\phi_1\psi\langle\xi\rangle_M) = \gamma b_1\phi_1\psi\langle\xi\rangle_M + S_{\rho,\delta}^{(s)}(\langle\xi\rangle_M) + S_{0,0}^{(s/(1-\delta))}(e^{-c\langle\xi\rangle_M^{s/(1-\delta)}})$  since  $b_1 \in S_{1,0}^{(s)}(\langle\xi\rangle_M^{\kappa_1})$ . Then it suffices to apply Lemma 2.5.  $\square$

Let us denote

$$\begin{aligned} \Lambda &= \xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1} - \phi_1\psi\langle\xi\rangle_M, \quad M = \xi_0 - i\gamma\langle\xi\rangle_M^{\kappa_1} + \phi_1\psi\langle\xi\rangle_M, \\ Q &= \phi_2^2\langle\xi\rangle_M^2 + \phi_1^2 w^\nu \langle\xi\rangle_M^2 + 2i\gamma x_0 Q_1, \\ R &= r_1 + \tilde{r} + 2(b_2 + r^b)\#(\phi_1\psi\langle\xi\rangle_M) \end{aligned}$$

such that one has

$$\begin{aligned} e^{-\gamma\langle\xi\rangle_M^{\kappa_1}x_0}\#p\#e^{\gamma\langle\xi\rangle_M^{\kappa_1}x_0} &= -M\#\Lambda \\ &+ 2(\phi_1\langle\xi\rangle_M + i\gamma x_0 b_1)\#\Lambda + Q + R + 2(b_2 + r^b)\#\Lambda. \end{aligned}$$

Take  $\kappa_2 > 0$  such that

$$(2.12) \quad \kappa_1 + \kappa_2 = 1/2$$

and define  $\phi$  by

$$(2.13) \quad \phi = -i\langle \xi \rangle_M^{\kappa_2} \{ \log(\phi_2(x) - iw(x, \xi)) - \log(\phi_2(x) + iw(x, \xi)) \}.$$

Here we remark that  $\kappa_2 = 1/2 - \kappa_1 < 1/2 - \delta = \rho - 1/2$  and  $\phi \in S_{\rho, 1/2}^{(s)}(\langle \xi \rangle_M^{\kappa_2})$  by Lemma 2.3 so that one can apply the calculus prepared in [8] if  $s > 1$  is enough close to 1. Consider  $e^\phi \# p \# e^{-\phi}$ . In what follows

$\epsilon, \epsilon', \epsilon''$  denote positive constants which may change line by line.

From [8, Corollary 2.1] it follows that  $e^\phi \# e^{-\phi} = 1 - r$  with  $r \in S_{\rho, 1/2}(\langle \xi \rangle_M^{-2\epsilon'})$ . Since  $r \in S_{1/2}(M^{-2\epsilon'})$  there exists  $k \in S_{1/2}(1)$  such that  $(1 + k) \# (1 - r) = (1 - r) \# (1 + k) = 1$  hence  $e^\phi \# e^{-\phi} \# (1 + k) = (1 + k) \# e^\phi \# e^{-\phi} = 1$ . Since there is  $\tilde{k} \in S_{1/2}(1)$  such that  $(1 + \tilde{k}) \# e^{-\phi} \# e^\phi = 1$  it follows that

$$(2.14) \quad e^{-\phi} \# (1 + k) \# e^\phi = 1.$$

Thanks to Corollary 1.1 we have  $k \in S_{\rho, 1/2}(M^{-2\epsilon'})$

### 2.3 Conjugation by $\text{op}(e^{\pm\phi})$

Consider  $J_1 = e^\phi \# (b_2 + r^b) \# \Lambda \# e^{-\phi} \# (1 + k)$  with  $k$  in (2.14). Then one can write

$$\begin{aligned} J_1 &= e^\phi \# (b_2 + r^b) \# e^{-\phi} \# (1 + k) \# e^\phi \# \Lambda \# e^{-\phi} \# (1 + k) \\ &= e^\phi \# (b_2 + r^b) \# e^{-\phi} \# (1 + k) \# \tilde{\Lambda}, \quad \tilde{\Lambda} = e^\phi \# \Lambda \# e^{-\phi} \# (1 + k) \end{aligned}$$

Choosing  $s > 1$  suitably close to 1 it can be assumed that  $1/2 \geq \rho - 1/2 > s\kappa_2$  then [8, Proposition 2.1] and [8, Corollary 2.2] show that

$$\tilde{F}_1 = e^\phi \# (b_2 + r^b) \# e^{-\phi} \# (1 + k) \in S_{\rho, 1/2}(1).$$

Similarly  $\tilde{F}_2 = e^\phi \# (\phi_1 \psi \langle \xi \rangle_M) \# e^{-\phi} \# (1 + k) \in S_{\rho, 1/2}(\langle \xi \rangle_M)$  and hence

$$e^\phi \# ((b_2 + r^b) \# (\phi_1 \psi \langle \xi \rangle_M)) \# e^{-\phi} \# (1 + k) = \tilde{F}_1 \# \tilde{F}_2 \in S_{\rho, 1/2}(\langle \xi \rangle_M).$$

Let  $\tilde{s} = s/(1 - \delta)$  and  $\tilde{\kappa} = (1 - \delta)/s$ . Noting  $\tilde{s}\kappa_2 = s\kappa_2/(1 - \delta) < (\rho - 1/2)/(1 - \delta) = (1/2 - \delta)/(1 - \delta) \leq 1/2$  and  $\tilde{\kappa} = (1 - \delta)/s = \rho/s > \kappa_2$  one

can apply [8, Proposition 2.1] to obtain  $e^\phi \# \tilde{r} \# e^{-\phi} \# (1+k) \in S_{\rho,1/2}(\langle \xi \rangle_M^{-N})$ . Thus

$$\tilde{R} = e^\phi \# R \# e^{-\phi} \# (1+k) \in S_{\rho,1/2}(\langle \xi \rangle_M).$$

We summarize what we have proved as follows:

$$(2.15) \quad \begin{aligned} e^\phi \# e^{-\gamma \langle \xi \rangle_M^{\kappa_1} x_0} \# p \# e^{\gamma \langle \xi \rangle_M^{\kappa_1} x_0} \# e^{-\phi} \# (1+k) &= -\tilde{M} \# \tilde{\Lambda} \\ &+ 2e^\phi \# (\phi_1 \langle \xi \rangle_M + i\gamma x_0 b_1) \# e^{-\phi} \# (1+k) \# \tilde{\Lambda} \\ &+ e^\phi \# (\phi_2^2 \langle \xi \rangle_M^2 + \phi_1^2 w^\nu \langle \xi \rangle_M^2 + 2i\gamma x_0 Q_1) \\ &\# e^{-\phi} \# (1+k) + S_{\rho,1/2}(\langle \xi \rangle_M) + S_{\rho,1/2}(1) \# \tilde{\Lambda}. \end{aligned}$$

**Lemma 2.8.** *Assume  $q \in S^{(s)}(\omega, \underline{g})$  with  $g_{\rho,\delta}$  admissible  $\omega$ . Then we have*

$$\begin{aligned} (\sigma \partial_X)^\alpha (\partial_X^{\alpha^0} q \partial_X^{\alpha^1} \phi(X)) &\in S_{\rho,1/2}(\omega w^{\nu/2} r^{-2} \langle \xi \rangle_M^{-1+\kappa_2-\epsilon'}) \\ + S_{\rho,1/2}(\omega w^{1/2-l/m} r^{-1} \langle \xi \rangle_M^{-1+(\kappa_2+\delta)/2-\epsilon'}) &\cap S_{\rho,1/2}(\omega w^{-l/m} r^{-1} \langle \xi \rangle_M^{-1+\kappa_2-\epsilon'}) \end{aligned}$$

for  $\alpha = \alpha^0 + \alpha^1$ ,  $|\alpha| \geq 2$  and  $|\alpha^1| \geq 1$ .

*Proof.* Thanks to Lemma 2.4 one can write

$$(\sigma \partial_X)^\alpha (\partial_X^{\alpha^0} q \partial_X^{\alpha^1} \phi) = A_\alpha + \phi_2 B_\alpha, \quad \alpha = \alpha^0 + \alpha^1$$

where  $A_\alpha$  which is in  $S^{(s)}(\omega r^{-2} \varrho^{-|\alpha|+1} w^{1-|\alpha|l/m} \langle \xi \rangle_M^{\kappa_2-|\alpha|}, \bar{g})$  and  $\phi_2 B_\alpha$  which belongs to  $S(\omega r^{-1} w^{1-2|\alpha|l/m} \langle \xi \rangle_M^{\kappa_2-|\alpha|}, \bar{g})$ . Note that

$$\begin{aligned} r^{-2} \varrho^{-|\alpha|+1} w^{1-|\alpha|l/m} &\lesssim w^{\nu/2} r^{-2} w^{-(|\alpha|-1)l/m} \sum_{j=0}^{|\alpha|-1} r^{-j} w^{-(|\alpha|-1-j)l/m} \\ &\lesssim w^{\nu/2} r^{-2} \sum_{j=0}^{|\alpha|-1} w^{-j} w^{-2(|\alpha|-1-j/2)l/m} \leq w^{\nu/2} r^{-2} \sum_{j=0}^{|\alpha|-1} \langle \xi \rangle_M^{2\delta(|\alpha|-1-j/2)+j/2} \end{aligned}$$

and  $\kappa_2 - |\alpha| + 2\delta(|\alpha| - 1 - j/2) + j/2 = \kappa_2 - 1 - (|\alpha| - j/2 - 1)(\rho - \delta)$  which is less than or equal to  $\kappa_2 - 1 - (\rho - \delta)/2$  for  $|\alpha| \geq 2$ . This proves that  $A_\alpha \in S_{\rho,1/2}(\omega w^{\nu/2} r^{-2} \langle \xi \rangle_M^{\kappa_2-1-\epsilon'})$ . Turn to  $\phi_2 B_\alpha$ . Note that

$$\begin{aligned} r^{-1} w^{1-2|\alpha|l/m} &\leq w^{1/2-l/m} r^{-1} w^{1/2-(2|\alpha|-1)l/m} \\ &\leq w^{1/2-l/m} r^{-1} \langle \xi \rangle_M^{\max\{\delta(2|\alpha|-1)-1/4, 0\}} \end{aligned}$$

and  $\kappa_2 - |\alpha| + \delta(2|\alpha| - 1) - 1/4 = -1 - (|\alpha| - 1)(\rho - \delta) + \delta + \kappa_2 - 1/4 < -1 + (\delta + \kappa_2)/2$  for  $\delta + \kappa_2 < 1/2$  thus  $\phi_2 B_\alpha \in S_{\rho,1/2}(\omega w^{1/2-l/m} r^{-1} \langle \xi \rangle_M^{-1+(\delta+\kappa_2)/2-\epsilon'})$ . It

is also easy to see that  $r^{-1}w^{1-2|\alpha|/m} \leq w^{-l/m}r^{-1}\langle \xi \rangle_M^{\max\{\delta(2|\alpha|-1)-1/2, 0\}}$  and  $\kappa_2 - |\alpha| + \delta(2|\alpha| - 1) - 1/2 = -1 - (|\alpha| - 1)(\rho - \delta) + \delta - 1/2 + \kappa_2 < -1 + \kappa_2$  so that  $\phi_2 B_\alpha \in S_{\rho, 1/2}(w^{-l/m}r^{-1}\langle \xi \rangle_M^{-1+\kappa_2-\epsilon'})$ .  $\square$

**Lemma 2.9.** *If  $q \in S^{(s)}(w^{\mu+l/m}\langle \xi \rangle_M^p, \underline{g})$  with  $\mu > 0$  one can write*

$$\begin{aligned} e^\phi \# q \# e^{-\phi} &= q + i\{q, \phi\} + \tilde{q}_1 + \tilde{q}_2, \\ \tilde{q}_1 &\in S_{\rho, 1/2}(w^{1+\mu}r^{-2}\langle \xi \rangle_M^{p-1+\kappa_2-\epsilon'}), \\ \tilde{q}_2 &\in S_{\rho, 1/2}(w^{\mu+1/2}r^{-1}\langle \xi \rangle_M^{p-1+(\delta+\kappa_2)/2-\epsilon'}) \cap S_{\rho, 1/2}(w^\mu r^{-1}\langle \xi \rangle_M^{p-1+\kappa_2-\epsilon'}). \end{aligned}$$

*Proof.* Since  $q \in S_{\rho, 1/2}^{(s)}(w^{\mu+l/m}\langle \xi \rangle_M^p)$  and  $\phi \in S_{\rho, 1/2}^{(s)}(\langle \xi \rangle_M^{\kappa_2})$  one can apply [8, Theorem 2.1]. It leads us to study

$$(2.16) \quad (\sigma \partial_X)^\alpha (\partial_X^{\alpha^0} q(X) \partial_X^{\alpha^1} \phi(X) \cdots \partial_X^{\alpha^k} \phi(X))$$

where  $\alpha^0 + \alpha^1 + \cdots + \alpha^k = \alpha$ ,  $|\alpha^j| \geq 1$  ( $1 \leq j \leq k$ ). Write

$$\alpha = \tilde{\alpha} + \hat{\alpha}, \quad \tilde{\alpha} = \alpha^0 + \tilde{\alpha}^1 + \cdots + \tilde{\alpha}^k, \quad \tilde{\alpha}^j = (\tilde{\alpha}_x^j, \alpha_\xi^j), \quad |\tilde{\alpha}^j| = 1$$

and  $\epsilon(\beta) = 1/2|\beta_x| - \rho|\beta_\xi|$  for  $\beta = (\beta_x, \beta_\xi)$ . It follows from Lemma 2.3 that

$$\partial_X^{\alpha^0} q \partial_X^{\alpha^1} \phi \cdots \partial_X^{\alpha^k} \phi \in S^{(s)}(w^{\mu+l/m+k-(l/m)|\tilde{\alpha}_\xi|} \varrho^{-|\tilde{\alpha}_x|} r^{-k} \langle \xi \rangle_M^{p+k\kappa_2-|\tilde{\alpha}_\xi|+\epsilon(\hat{\alpha})}, \bar{g})$$

and hence

$$\begin{aligned} (\sigma D_X)^\alpha (\partial_X^{\alpha^0} q \partial_X^{\alpha^1} \phi \cdots \partial_X^{\alpha^k} \phi) &\in S^{(s)}(w^{\mu+l/m+k-(l/m)|\tilde{\alpha}|} r^{-k} \varrho^{-|\tilde{\alpha}|} \\ &\times \langle \xi \rangle_M^{p+k\kappa_2-|\tilde{\alpha}|-(\rho-1/2)|\hat{\alpha}|}, \bar{g}). \end{aligned}$$

We assume  $k \geq 2$ . If  $r \leq w^{l/m}$  hence  $\varrho^{-1} \leq 2r^{-1}$  one has (recall  $r^{-1} \leq w^{-1}$ )  $w^{-|\alpha^0|/m} \varrho^{-|\alpha^0|} \langle \xi \rangle_M^{-|\alpha^0|} \leq \langle \xi \rangle_M^{-(1/2-\delta)|\alpha^0|}$  and

$$\begin{aligned} w^{\mu+l/m+k-(l/m)k} r^{-k} \varrho^{-k} &\lesssim w^{\mu+1} r^{-2} w^{-1+l/m+k-(l/m)k} r^{-2k+2} \\ &\leq (w^{\mu+1} r^{-2}) w^{-(k-1)(1+l/m)} \leq (w^{\mu+1} r^{-2}) \langle \xi \rangle_M^{(k-1)(1/2+\delta)}. \end{aligned}$$

Since  $(k-1)(1/2+\delta) - (k-1) + k\kappa_2 = -(k-1)(1/2-\delta-\kappa_2) + \kappa_2 < \kappa_2$  for  $\kappa_2 + \delta < 1/2$  we have

$$(2.17) \quad (\sigma D_X)^\alpha (\partial_X^{\alpha^0} q \partial_X^{\alpha^1} \phi \cdots \partial_X^{\alpha^k} \phi) \in S_{\rho, 1/2}^{(s)}(w^{\mu+1} r^{-2} \langle \xi \rangle_M^{p-1+\kappa_2-\epsilon'}, k \geq 2).$$

If  $r \geq w^{l/m}$  and hence  $\varrho^{-1} \leq 2w^{-l/m}$  we have  $w^{-|\alpha^0|l/m}\varrho^{-|\alpha^0|}\langle\xi\rangle_M^{-|\alpha^0|} \leq \langle\xi\rangle_M^{-(1/2-\delta)|\alpha^0|}$  and that

$$(2.18) \quad \begin{aligned} w^{\mu+l/m+k-(l/m)k}r^{-k}\varrho^{-k} &\lesssim w^{\mu+1}r^{-2}w^{-1+k-3(k-1)(l/m)} \\ &\leq (w^{\mu+1}r^{-2})\langle\xi\rangle_M^{\max\{-(k-1)/2+3(k-1)\delta,0\}}. \end{aligned}$$

Note that  $-(k-1)/2 + 3(k-1)\delta + 1 - k + k\kappa_2 = \kappa_2 - 3(k-1)(\rho - \delta - \kappa_2)/2 - (k-1)\kappa_2/2 < \kappa_2$  then one has (2.17) again.

Let  $k = 1$  and consider  $(\sigma\partial_X)^\alpha(\partial_X^{\alpha^0}q\partial_X^{\alpha^1}\phi)$ . Since  $\sum_{|\alpha|=1}(\sigma\partial_X)^\alpha\partial_X^\alpha\phi = 0$  the sum over  $|\alpha| = 1$  yields  $i\{q, \phi\}$ . Therefore it remains to study the sum over  $|\alpha| \geq 2$  to which one can apply Lemma 2.8 with  $\omega = w^{\mu+l/m}\langle\xi\rangle_M^p$  to end the proof.  $\square$

**Lemma 2.10.** *One can write*

$$e^{-\phi}\#e^\phi = 1 - r, \quad r \in S_{\rho,1/2}(w^{\nu/2}r^{-2}\langle\xi\rangle_M^{-1+\kappa_2-\epsilon'}).$$

*Proof.* Since  $\sum_{|\alpha|=l}(\sigma D_X)^\alpha\partial_X^\alpha\phi = 0$  for  $l \geq 1$  it is enough to consider the terms corresponding to  $k \geq 2$ . Then we obtain the assertion from (2.17).  $\square$

**Corollary 2.1.** *We have  $k \in S_{\rho,1/2}(w^{\nu/2}r^{-2}\langle\xi\rangle_M^{-1+\kappa_2-\epsilon'}) \cap S_{\rho,1/2}(M^{-2\epsilon'})$ .*

*Proof.* The assertion follows immediately from  $k = r + r\#k$ .  $\square$

**Lemma 2.11.** *We have  $\partial_{x_0}\phi_2 \in S_{\rho,1/2}^{(s)}(w^\nu + r)$  and  $\partial_{x_0}w \in S_{\rho,\delta}^{(s)}(w + rw^{\nu/2})$ .*

*Moreover we have  $\partial_{x_0}\phi \in S_{\rho,1/2}^{(s)}(r^{-1}w^{\nu/2}\langle\xi\rangle_M^{\kappa_2}) \cap S_{\rho,1/2}^{(s)}(\langle\xi\rangle_M^\delta)$ .*

*Proof.* Recall that  $\partial_{x_0}\phi_2 = \{\xi_0, \phi_2\} = c_{21}\phi_1^{\sigma_{21}} + c_{22}\phi_2$  where  $\sigma_{21} = 1$  or 2 according to the case  $(m, l) = (3, 2)$  or  $(2, 1)$ . Since  $\phi_2 \in S_{\rho,1/2}^{(s)}(r)$  and  $\phi_1 \in S_{\rho,1/2}^{(s)}(w^{l/m})$  it is clear that  $\{\xi_0, \phi_2\} \in S^{(s)}(w^\nu + r)$  because  $\sigma_{21}l/m = 2 - 2l/m = \nu$ . Noting that  $\{\xi_0, w\} = (m/l)(\phi_1^{2m-1}/w^{2l-1})\{\xi_0, \phi_1\}$  and  $\{\xi_0, \phi_1\} = c_{11}\phi_1 + c_{12}\phi_2$  it is clear that  $\{\xi_0, w\} \in S_{\rho,1/2}^{(s)}(w + rw^{\nu/2})$ . Recall that

$$i\partial_{x_0}\phi = i\{\xi_0, \phi\} = -2\frac{\langle\xi\rangle_M^{\kappa_2}w}{r^2}\{\xi_0, \phi_2\} + 2\frac{\phi_2\langle\xi\rangle_M^{\kappa_2}}{r^2}\{\xi_0, w\}$$

where it is clear

$$wr^{-2}\{\xi_0, \phi_2\}\langle\xi\rangle_M^{\kappa_2} \in S_{\rho,1/2}^{(s)}((r^{-2}w^{1+\nu} + r^{-1}w)\langle\xi\rangle_M^{\kappa_2}) \subset S_{\rho,1/2}^{(s)}(r^{-1}w^\nu\langle\xi\rangle_M^{\kappa_2})$$

for  $\nu \leq 1$  which is also in  $S_{\rho,1/2}^{(s)}(\langle \xi \rangle_M^\delta)$  because  $w^{\nu-1} \langle \xi \rangle_M^{\kappa_2} \leq \langle \xi \rangle_M^{2\delta+\kappa_2-1/2} = \langle \xi \rangle_M^{2\delta-\kappa_1} \leq \langle \xi \rangle_M^\delta$ . On the other hand, one has

$$\phi_2 r^{-2} \langle \xi \rangle_M^{\kappa_2} \{\xi_0, w\} \in S_{\rho,1/2}^{(s)}((r^{-1}w + w^{\nu/2}) \langle \xi \rangle_M^{\kappa_2})$$

which is also in  $S_{\rho,1/2}^{(s)}(r^{-1}w^{\nu/2} \langle \xi \rangle_M^{\kappa_2}) \cap S_{\rho,1/2}^{(s)}(\langle \xi \rangle_M^\delta)$  because  $\kappa_2 \leq \delta$  (it is clear since  $\delta = 1/4$  or  $1/3$ ) from which we conclude the proof.  $\square$

**Lemma 2.12.** *Assume  $q \in S^{(s)}(w^2 \langle \xi \rangle_M^2, \underline{g})$  and  $\partial_{x_0} q \in S^{(s)}(w^{2-l/m} \langle \xi \rangle_M^2, \underline{g})$ . Then one can write*

$$e^{-\phi} \# q \# e^\phi = q + \tilde{q}, \quad \tilde{q} \in S_{\rho,1/2}(w^{\nu/2} \langle \xi \rangle_M^{1+\kappa_2})$$

where  $\partial_{x_0} \tilde{q} \in S_{\rho,1/2}(r^2 \langle \xi \rangle_M^{2+\delta-\epsilon'})$ .

*Proof.* The fact  $\tilde{q} \in S_{\rho,1/2}(w^{\nu/2} \langle \xi \rangle_M^{1+\kappa_2})$  follows from Lemma 2.9 with  $\mu = 2 - l/m$  and Lemma 2.3. Consider

$$(2.19) \quad (\sigma \partial_X)^\alpha (\partial_{x_0} (\partial_X^{\alpha_0} q(X) \partial_X^{\alpha_1} \phi(X) \cdots \partial_X^{\alpha_k} \phi(X))).$$

Note that  $\partial_{x_0} \partial_x^\beta \partial_\xi^\alpha \phi \in S^{(s)}(w^{\nu/2} w^{-|\alpha|l/m} r^{-1} \langle \xi \rangle_M^{\kappa_2-|\alpha|} \varrho^{-|\beta|}, \bar{g})$  by Lemma 2.11 it is clear that (2.19) belongs to

$$S^{(s)}(w^{2+k-(|\bar{\alpha}|+1)(l/m)} r^{-k} \varrho^{-|\bar{\alpha}|} \langle \xi \rangle_M^{2+k\kappa_2-|\bar{\alpha}|}, \bar{g}).$$

If  $r \leq w^{l/m}$  hence  $\varrho^{-1} \leq 2r^{-1}$  it follows that  $w^{2+k-(k+1)(l/m)} r^{-k} \varrho^{-k} \lesssim r^2 w^{-k-(k+1)l/m} \leq r^2 \langle \xi \rangle_M^{k/2+(k+1)\delta}$ . Since  $k/2 + (k+1)\delta - k - k\kappa_2 = \delta - k(1 - 2\delta - 2\kappa_2)/2 < \delta$ . This proves that (2.19) belongs to  $S_{\rho,1/2}(r^2 \langle \xi \rangle_M^{2+\delta-\epsilon'})$ . If  $r \geq w^{l/m}$  hence  $\varrho^{-1} \leq 2w^{-l/m}$  we have  $w^{2+k-(k+1)(l/m)} r^{-k} \varrho^{-k} \lesssim r^2 w^{k-(3k+1)l/m} \leq r^2 \langle \xi \rangle_M^{\max\{(3k+1)\delta-k/2, 0\}}$ . Since  $(3k+1)\delta - k/2 - k + k\kappa_2 = \delta - 3k(1 - 2\delta - 2\kappa_2/3)/2 < \delta$  we have the same result in this case.  $\square$

**Lemma 2.13.** *Assume  $q \in S^{(s)}(r^2 \langle \xi \rangle_M^2, \bar{g})$  and that  $q$  satisfies  $\partial_x^\beta \partial_\xi^\alpha q \in S^{(s)}(r^{1+|\alpha|} \langle \xi \rangle_M^{2-|\alpha|}, \bar{g})$  for  $|\alpha| + |\beta| = 1$ . Then one can write*

$$e^{-\phi} \# q \# e^\phi = q + \tilde{q}, \quad \tilde{q} \in S_{\rho,1/2}(w^{\nu/2} \langle \xi \rangle_M^{1+\kappa_2}).$$

Moreover if  $\partial_{x_0} q \in S^{(s)}(r^{1+\nu} \langle \xi \rangle_M^2, \bar{g})$  then  $\partial_{x_0} \tilde{q} \in S_{\rho,1/2}(r^2 \langle \xi \rangle_M^{2+\delta-\epsilon'})$ .

*Proof.* From a repetition of the proof of Lemma 2.9 it follows that (2.16) is in  $S^{(s)}(r^2 w^{k-(l/m)|\bar{\alpha}|} r^{-k} \varrho^{-|\bar{\alpha}|} \langle \xi \rangle_M^{2+k\kappa_2-|\bar{\alpha}|}, \bar{g})$ . If  $r \leq w^{l/m}$  hence  $\varrho^{-1} \leq 2r^{-1}$  one has  $r^2 w^{k-k(l/m)} r^{-2k} \leq w^{-k+2-kl/m} \leq w^{\nu/2} \langle \xi \rangle_M^{(k-1)\delta+(k-1)/2}$ . Since  $(k-1)\delta + (k-1)/2 - k + 1 + k\kappa_2 = \kappa_2 - (k-1)(1/2 - \delta - \kappa_2) \leq \kappa_2$  we see that (2.16) belongs to  $S_{\rho,1/2}(w^{\nu/2} \langle \xi \rangle_M^{1+\kappa_2})$ . If  $r \geq w^{l/m}$  hence  $\varrho^{-1} \leq 2w^{-l/m}$  and  $k \geq 2$  we have  $r^2 w^{k-k(l/m)} r^{-k} \varrho^{-k} \lesssim w^{\nu/2} w^{k-1-3(k-1)l/m} \leq w^{\nu/2} \langle \xi \rangle_M^{\max\{3(k-1)\delta-(k-1)/2, 0\}}$ . The same arguments as before shows that  $3(k-1)\delta - (k-1)/2 + 1 - k + k\kappa_2 \leq \kappa_2$  then this belongs to  $S_{\rho,1/2}(w^{\nu/2} \langle \xi \rangle_M^{1+\kappa_2})$ . Assume  $k = 1$  and consider (2.16). Since  $\sum_{|\alpha|=l} (\sigma \partial_X)^\alpha \partial^\alpha \phi / \alpha! = 0$  for  $l \geq 1$  it suffices to consider the case either  $|\alpha^0| \neq 0$  or  $|\alpha^0| = 0$  and at least one derivative falls on  $q$ . This shows that for  $\partial_X^{\alpha^0 + \alpha'} q \partial_X^{\alpha^1 + \alpha''} \phi$  we can obtain a better by  $w^{l/m}$  estimate if  $\alpha_\xi^0 + \alpha'_\xi \neq 0$  and we obtain a better by  $r^{-1} \varrho$  estimate if  $\alpha_x^0 + \alpha'_x \neq 0$ . This proves, taking  $rw^{l/m} \lesssim \varrho$  into account, that

$$(\sigma \partial_X)^\alpha (\partial_X^{\alpha^0} q \partial_X^{\alpha^1} \phi) \in S^{(s)}(w^{1-|\alpha|l/m} \varrho^{-|\alpha|+1} \langle \xi \rangle_M^{\kappa_2+2-|\alpha|}, \bar{g}).$$

Then we conclude the assertion by repeating similar arguments in the proof of Lemma 2.8. The proof of the last assertion is just a repetition of that of Lemma 2.12.  $\square$

Thanks to [8, Corollary 2.1] we see that  $\partial_{x_0} r = \partial_{x_0} (e^\phi \# e^{-\phi}) \in S_{\rho,1/2}^{(s)}(\langle \xi \rangle_M^\delta)$  from Lemma 2.11 then applying Corollary 1.1 we have

$$(2.20) \quad \partial_{x_0} k \in S_{\rho,1/2}^{(s)}(\langle \xi \rangle_M^\delta).$$

**Lemma 2.14.** *One can choose  $\epsilon^* > 0$  in (2.9) such that one has*

$$\tilde{\Lambda} = \xi_0 - i\gamma \langle \xi \rangle_M^{\kappa_1} + S_{\rho,1/2}(\langle \xi \rangle_M^{\kappa_1}).$$

*Proof.* Recall  $\tilde{\Lambda} = e^\phi \# (\xi_0 - i\gamma \langle \xi \rangle_M^{\kappa_1} - \phi_1 \psi \langle \xi \rangle_M) \# e^{-\phi} \# (1+k)$ . First consider  $e^\phi \# \xi_0 \# e^{-\phi} \# (1+k)$  which is

$$\xi_0 + e^\phi \# (i(\partial_{x_0} \phi) e^{-\phi}) \# (1+k) + e^\phi \# e^{-\phi} \# (-i\partial_{x_0} k).$$

Thanks to Lemma 2.11 an application of [8, Theorem 2.2] proves that the last two terms belong to  $S_{\rho,1/2}(\langle \xi \rangle_M^\delta)$ . Since  $\phi \in S_{\rho,1/2}^{(s)}(\phi)$  in view of Lemma 2.3 it follows from [8, Corollary 2.2] that  $e^\phi \# \langle \xi \rangle_M^{\kappa_1} \# e^{-\phi} = \langle \xi \rangle_M^{\kappa_1} + S_{\rho,1/2}(\langle \xi \rangle_M^{\kappa_1 - \epsilon''})$ . If  $\epsilon^* > 0$  is chosen such that  $0 < \epsilon^* < \epsilon''$  then

$$e^\phi \# \gamma \langle \xi \rangle_M^{\kappa_1} \# e^{-\phi} \# (1+k) = \gamma \langle \xi \rangle_M^{\kappa_1} + S_{\rho,1/2}(\langle \xi \rangle_M^{\kappa_1}).$$

Next, we apply Lemma 2.9 with  $q = \phi_1 \psi \langle \xi \rangle_M \in S^{(s)}(w^{\nu+l/m} \langle \xi \rangle_M, \underline{g})$  to obtain  $e^\phi \# (\phi_1 \psi \langle \xi \rangle_M) \# e^{-\phi} = \phi_1 \psi \langle \xi \rangle_M + S_{\rho,1/2}(w^{\nu-1} \langle \xi \rangle_M^{\kappa_2})$ . Since  $w^{\nu-1} \langle \xi \rangle_M^{\kappa_2} \leq \langle \xi \rangle_M^{2\delta-1/2+\kappa_2} = \langle \xi \rangle_M^{2\delta-\kappa_1} \leq \langle \xi \rangle_M^{\kappa_1}$  we conclude the proof.  $\square$

**Lemma 2.15.** *We have*

$$\begin{aligned} e^\phi \# (\phi_1 \langle \xi \rangle_M + i\gamma x_0 b_1) \# e^{-\phi} \# (1+k) &= \phi_1 \langle \xi \rangle_M + i\{\phi_1 \langle \xi \rangle_M, \phi\} + i\gamma x_0 b_1 \\ &+ S_{\rho,1/2}(M^{-\epsilon'} w r^{-2} \langle \xi \rangle_M^{\kappa_2}) + S_{\rho,1/2}(w^{1/2} r^{-1} \langle \xi \rangle_M^{1/4}) + S_{\rho,1/2}(M^{-\epsilon'} \langle \xi \rangle_M^{\kappa_1}). \end{aligned}$$

*Proof.* Applying Lemma 2.9 with  $q = \phi_1 \langle \xi \rangle_M \in S^{(s)}(w^{l/m} \langle \xi \rangle_M, \underline{g})$  we obtain that  $e^\phi \# (\phi_1 \langle \xi \rangle_M) \# e^{-\phi} = \phi_1 \langle \xi \rangle_M + i\{\phi_1 \langle \xi \rangle_M, \phi\} + S_{\rho,1/2}(M^{-\epsilon'} w r^{-2} \langle \xi \rangle_M^{\kappa_2}) + S_{\rho,1/2}(w^{1/2} r^{-1} \langle \xi \rangle_M^{1/4})$ . Since  $b_1 \in S^{(s)}(\langle \xi \rangle_M^{\kappa_1}, \underline{g})$  it is clear that  $\gamma e^\phi \# b_1 \# e^{-\phi} = \gamma b_1 + S_{\rho,1/2}(\langle \xi \rangle_M^{\kappa_1})$ . From Corollary 2.1 we conclude that

$$\begin{aligned} (\phi_1 \langle \xi \rangle_M + i\{\phi_1 \langle \xi \rangle_M, \phi\}) \# k &\in S_{\rho,1/2}(M^{-\epsilon'} w r^{-2} \langle \xi \rangle_M^{\kappa_2}) \\ &+ S_{\rho,1/2}(M^{-\epsilon'} w^{1/2} r^{-1} \langle \xi \rangle_M^{1/4}) \end{aligned}$$

for  $\{\phi_1 \langle \xi \rangle_M, \phi\} \in S_{\rho,1/2}(w r^{-2} \langle \xi \rangle_M^{\kappa_2}) + S_{\rho,1/2}(w^{1/2} r^{-1} \langle \xi \rangle_M^{1/4})$  and  $(\phi_1 \langle \xi \rangle_M) \# k \in S_{\rho,1/2}(w^{\nu/2} r^{-1} \langle \xi \rangle_M^{\kappa_2 - \epsilon'})$  where  $w^{\nu/2} r^{-1} \leq w^{1/2} r^{-1} \langle \xi \rangle_M^{\delta-1/4+\kappa_2}$  and  $\delta - 1/4 + \kappa_2 < 1/4$ . This proves the assertion  $\square$

**Lemma 2.16.** *There is  $C > 0$  such that*

$$r^2/C \leq \phi_2^2 + \phi_1^2 w^\nu + \langle \xi \rangle_M^{-1} \leq C r^2.$$

*Proof.* It suffices to show  $w^{2l}/C \leq |\phi_1|^{2l} w^{l\nu} + \langle \xi \rangle_M^{-l} \leq C w^{2l}$ . Since  $|\phi_1|^{2l} \langle \xi \rangle_M^{-l\nu/2} \leq C(|\phi_1|^{4l/(2-\nu)} + \langle \xi \rangle_M^{-l})$  and  $4l/(2-\nu) = 2m$  and  $2l + m\nu = 2m$  the assertion follows.  $\square$

**Lemma 2.17.** *One can write*

$$\begin{aligned} e^\phi \# (\phi_2^2 \langle \xi \rangle_M^2 + \phi_1^2 w^\nu \langle \xi \rangle_M^2 + 2i\gamma x_0 Q_1) \# e^{-\phi} \# (1+k) \\ &= \phi_2^2 \langle \xi \rangle_M^2 + \phi_1^2 w^\nu \langle \xi \rangle_M^2 + 2i\gamma x_0 Q_1 + Q' + Q'', \\ Q' &\in S_{\rho,1/2}(w^{\nu/2} \langle \xi \rangle_M^{1+\kappa_2}) \cap S_{\rho,1/2}(M^{-\epsilon'} r^2 \langle \xi \rangle_M^2), \\ Q'' &\in S_{\rho,1/2}(M^{-\epsilon'} r \langle \xi \rangle_M^{1+\kappa_1}) \cap S_{\rho,1/2}(M^{-\epsilon''} r^2 \langle \xi \rangle_M^2) \end{aligned}$$

where  $Q_1 = \{\langle \xi \rangle_M^{\kappa_1}, \phi_1\} \phi_1 \psi \langle \xi \rangle_M^2 + \{\langle \xi \rangle_M^{\kappa_1}, \phi_2\} \phi_2 \langle \xi \rangle_M^2 \in S_{\rho,\delta}(r \langle \xi \rangle_M^{1+\kappa_1})$  is real and  $\partial_{x_0} Q', \partial_{x_0} Q'' \in S_{\rho,1/2}(r^2 \langle \xi \rangle_M^{2+\delta})$ .



*Proof.* Applying Lemma 2.13 with  $q = \phi_2^2 \langle \xi \rangle_M^2 \in S^{(s)}(r^2 \langle \xi \rangle_M^2, \bar{g})$  and [8, Corollary 2.2] with  $p = \phi_2^2 \langle \xi \rangle_M^2$  we obtain  $e^\phi \# (\phi_2^2 \langle \xi \rangle_M^2) \# e^{-\phi} = \phi_2^2 \langle \xi \rangle_M^2 + Q'$  with  $Q' \in S_{\rho,1/2}(w^{\nu/2} \langle \xi \rangle_M^{1+\kappa_2}) \cap S_{\rho,1/2}(M^{-\epsilon'} r^2 \langle \xi \rangle_M^2)$  where  $\partial_{x_0} Q' \in S_{\rho,1/2}(r^2 \langle \xi \rangle_M^{2+\delta})$  in view of Lemma 2.13. One can write  $e^\phi \# (\phi_2^2 \langle \xi \rangle_M^2) \# e^{-\phi} \# (1+k)$  in the same form thanks to Corollary 2.1. With  $q = \phi_1^2 w^\nu \langle \xi \rangle_M^2 \in S^{(s)}(w^2 \langle \xi \rangle_M^2, \underline{g})$  we apply Lemma 2.12 and [8, Corollary 2.2] with  $p = \phi_1^2 w^\nu \langle \xi \rangle_M^2$  hence  $e^\phi \# (\phi_1^2 w^\nu \langle \xi \rangle_M^2) \# e^{-\phi} = \phi_1^2 w^\nu \langle \xi \rangle_M^2 + Q''$  with  $Q'' \in S_{\rho,1/2}(w^{\nu/2} \langle \xi \rangle_M^{1+\kappa_2}) \cap S_{\rho,1/2}(M^{-\epsilon'} r^2 \langle \xi \rangle_M^2)$  where  $\partial_{x_0} Q'' \in S_{\rho,1/2}(r^2 \langle \xi \rangle_M^{2+\delta})$ . From this it follows that  $e^\phi \# (\phi_1^2 w^\nu \langle \xi \rangle_M^2) \# e^{-\phi} \# (1+k)$  has the same form as discussed above. Note that  $\partial_{x_0}(Q' \# (1+k)) \in S_{\rho,1/2}(r^2 \langle \xi \rangle_M^{2+\delta})$  thanks to (2.20).

Turn to  $e^\phi \# Q_1 \# e^{-\phi}$ . Since  $Q_1 \in S_{\delta,1/2}^{(s)}(r \langle \xi \rangle_M^{1+\kappa_1}) \cap S_{\delta,1/2}^{(s)}(r^2 \langle \xi \rangle_M^{2-\epsilon'})$  for  $r^{-1} \leq \langle \xi \rangle_M^{1/2}$  then [8, Corollary 2.2] proves that  $e^\phi \# Q_1 \# e^{-\phi} = Q_1 + Q_1''$  where  $\gamma Q_1'' \in S_{\rho,1/2}(M^{-\epsilon'} r \langle \xi \rangle_M^{1+\kappa_1}) \cap S_{\rho,1/2}(M^{-\epsilon'} r^2 \langle \xi \rangle_M^2)$ . On the other hand from Lemma 2.11 we see that  $\partial_{x_0} Q_1 \in S_{\rho,1/2}^{(s)}((w^\nu + r) \langle \xi \rangle_M^{1+\kappa_1})$  for  $\nu + l/m \geq 1$ . Since  $w^\nu \langle \xi \rangle_M^{1+\kappa_1} \leq r^{1+\nu} \langle \xi \rangle_M^{3/2+\kappa_1} = r^{1+\nu} \langle \xi \rangle_M^{2-\epsilon'}$  and  $r \langle \xi \rangle_M^{1+\kappa_1} \leq r^2 \langle \xi \rangle_M^{2-\epsilon'}$  we have  $\gamma \partial_{x_0} Q_1'' \in S_{\rho,1/2}^{(s)}(r^2 \langle \xi \rangle_M^{2+\delta})$  thanks to Lemma 2.13.  $\square$

We summarize what we have proved in

**Proposition 2.1.** *We have*

$$\begin{aligned}
& e^\phi \# e^{-\gamma \langle \xi \rangle_M^{\kappa_1} x_0} \# p \# e^{\gamma \langle \xi \rangle_M^{\kappa_1} x_0} \# e^{-\phi} \# (1+k) = -\tilde{M} \# \tilde{\Lambda} \\
& + 2 \left( \phi_1 \langle \xi \rangle_M + i \{ \phi_1 \langle \xi \rangle_M, \phi \} + i \gamma x_0 b_1 \right. \\
& + S_{\rho,1/2}(M^{-\epsilon'} w r^2 \langle \xi \rangle_M^{\kappa_2}) + S_{\rho,1/2}(w^{1/2} r^{-1} \langle \xi \rangle_M^{1/4}) \# \tilde{\Lambda} \\
& \quad + \phi_2^2 \langle \xi \rangle_M^2 + \phi_1^2 w^\nu \langle \xi \rangle_M^2 + i \gamma x_0 Q_1 \\
& \quad + S_{\rho,1/2}(M^{-\epsilon'} r \langle \xi \rangle_M^{1+\kappa_1}) \cap S_{\rho,1/2}(M^{-\epsilon''} r^2 \langle \xi \rangle_M^2) \\
& \quad + S_{\rho,1/2}(w^{\nu/2} \langle \xi \rangle_M^{1+\kappa_2}) \cap S_{\rho,1/2}(M^{-\epsilon'} r^2 \langle \xi \rangle_M^2) \\
& \left. + (S_{\rho,1/2}(M^{-\epsilon'} \langle \xi \rangle_M^{\kappa_1}) + S_{\rho,1/2}(1)) \# \tilde{\Lambda} + S_{\rho,1/2}(\langle \xi \rangle_M) \right)
\end{aligned}$$

where  $\tilde{\Lambda}, \tilde{M}$  are given by Lemma 2.14.

## 2.4 Energy estimates

Recall

$$(2.21) \quad \tilde{\Lambda} = \xi_0 - i \gamma \langle \xi \rangle_M^{\kappa_1} - \lambda, \quad \tilde{M} = \xi_0 - i \gamma \langle \xi \rangle_M^{\kappa_1} - m, \quad \lambda, m \in S_{\rho,1/2}(\langle \xi \rangle_M^{\kappa_1})$$

and denote

$$\begin{aligned}\tilde{B} &= \phi_1 \langle \xi \rangle_M + i \{ \phi_1 \langle \xi \rangle_M, \phi \} + i \gamma x_0 b_1 \\ &+ S_{\rho,1/2}(w^{1/2} r^{-1} \langle \xi \rangle_M^{1/4}) + S_{\rho,1/2}(M^{-\epsilon'} w r^2 \langle \xi \rangle_M^{\kappa_2})\end{aligned}$$

where  $b_1 = \{ \langle \xi \rangle_M^{\kappa_1}, \phi_1 \} \langle \xi \rangle_M \in S_{\rho,1/2}(\langle \xi \rangle_M^{\kappa_1})$  and adding  $\langle \xi \rangle_M$  to the result in Proposition 2.1 we set

$$\begin{aligned}\tilde{Q} &= \phi_2^2 \langle \xi \rangle_M^2 + \phi_1^2 w^\nu \langle \xi \rangle_M^2 + \langle \xi \rangle_M + i \gamma x_0 Q_1 \\ &+ S_{\rho,1/2}(M^{-\epsilon'} r \langle \xi \rangle_M^{1+\kappa_1}) \cap S_{\rho,1/2}(M^{-\epsilon''} r^2 \langle \xi \rangle_M^2) \\ &+ S_{\rho,1/2}(w^{\nu/2} \langle \xi \rangle_M^{1+\kappa_2}) \cap S_{\rho,1/2}(M^{-\epsilon'} r^2 \langle \xi \rangle_M^2)\end{aligned}$$

where  $Q_1 \in S_{\rho,\delta}(r \langle \xi \rangle_M^{1+\kappa_1})$  is real. We also write

$$R = S_{\rho,1/2}(M^{-\epsilon'} \langle \xi \rangle_M^{\kappa_1}) + S_{\rho,1/2}(1)$$

such that

$$\begin{aligned}\text{op}(e^\phi) \text{op}(e^{-\gamma \langle \xi \rangle_M^{\kappa_1} x_0}) \text{op}(p) \text{op}(e^{\gamma \langle \xi \rangle_M^{\kappa_1} x_0}) \text{op}(e^{-\phi}) + \langle D \rangle_M \\ = -\text{op}(\tilde{M}) \text{op}(\tilde{\Lambda}) + 2\text{op}(\tilde{B}) \text{op}(\tilde{\Lambda}) + \text{op}(\tilde{Q}) \\ + \text{op}(R) \text{op}(\tilde{\Lambda}) + \text{op}(\langle \xi \rangle_M).\end{aligned}$$

Denoting  $\text{op}(\tilde{P}) = -\text{op}(\tilde{M}) \text{op}(\tilde{\Lambda}) + 2\text{op}(\tilde{B}) \text{op}(\tilde{\Lambda}) + \text{op}(\tilde{Q})$  we have

**Proposition 2.2.** ([1]) *We have*

$$\begin{aligned}2\text{Im}(\text{op}(\tilde{P})v, \text{op}(\tilde{\Lambda})v) &= \frac{d}{dx_0} (\|\text{op}(\tilde{\Lambda})v\|^2 + (\text{op}(\text{Re } \tilde{Q})v, v) \\ &+ 2\gamma \|\langle D \rangle_M^{\kappa_1/2} \text{op}(\tilde{\Lambda})v\|^2 + 2\gamma \text{Re}(\langle D \rangle_M^{\kappa_1} \text{op}(\text{Re } \tilde{Q})v, v) \\ &+ 2(\text{op}(\text{Im } \tilde{B}) \text{op}(\tilde{\Lambda})v, \text{op}(\tilde{\Lambda})v) + 2(\text{op}(\text{Im } m) \text{op}(\tilde{\Lambda})v, \text{op}(\tilde{\Lambda})v) \\ &+ 2\text{Re}(\text{op}(\tilde{\Lambda})v, \text{op}(\text{Im } \tilde{Q})v) + \text{Im}([D_0 - \text{op}(\text{Re } \lambda), \text{op}(\text{Re } \tilde{Q})]v, v) \\ &+ 2\text{Re}(\text{op}(\text{Re } \tilde{Q})v, \text{op}(\text{Im } \lambda)v).\end{aligned}$$

Since  $m \in S_{\rho,\delta}(\langle \xi \rangle_M^{\kappa_1})$  we have by Lemma 1.2 that

$$|2(\text{op}(\text{Im } m) \text{op}(\tilde{\Lambda})v, \text{op}(\tilde{\Lambda})v)| \leq C \|\langle D \rangle_M^{\kappa_1/2} \text{op}(\tilde{\Lambda})v\|^2.$$

Noting that

$$\text{Re } \tilde{Q} = \phi_2^2 \langle \xi \rangle_M^2 + \phi_1^2 w^\nu \langle \xi \rangle_M^2 + \langle \xi \rangle_M + S_{\rho,1/2}(M^{-\epsilon'} r^2 \langle \xi \rangle_M^2)$$

and Lemma 2.16 it is clear that there is  $c > 0$  and  $M_0$  such that

$$\operatorname{Re} \tilde{Q} \geq c r^2 \langle \xi \rangle_M^2, \quad M \geq M_0.$$

We have  $\langle \xi \rangle_M^{\kappa_1} \# \operatorname{Re} \tilde{Q} = \langle \xi \rangle_M^{\kappa_1} (\phi_2^2 \langle \xi \rangle_M^2 + \phi_1^2 w^\nu \langle \xi \rangle_M^2 + \langle \xi \rangle_M) + S_{\rho,1/2}(M^{-\epsilon'} r^2 \langle \xi \rangle_M^{2+\kappa_1})$  hence there are  $c > 0$  and  $M_0 > 0$  such that

$$\operatorname{Re}(\langle \xi \rangle_M^{\kappa_1} \# \operatorname{Re} \tilde{Q}) \geq c \langle \xi \rangle_M^{\kappa_1+2} r^2, \quad M \geq M_0.$$

Thanks to Lemma 1.3 one has

**Lemma 2.18.** *There exist  $c > 0, M_0 > 0$  such that*

$$(2.22) \quad \begin{aligned} (\operatorname{op}(\operatorname{Re} \tilde{Q})v, v) &\geq c \|\operatorname{op}(r \langle \xi \rangle_M)v\|^2, \quad M \geq M_0, \\ \operatorname{Re}(\langle D \rangle_M^{\kappa_1} \operatorname{op}(\operatorname{Re} \tilde{Q})v, v) &\geq c \|\operatorname{op}(r \langle \xi \rangle_M^{1+\kappa_1/2})v\|^2, \quad M \geq M_0. \end{aligned}$$

Consider

$$\operatorname{Im} \tilde{B} = \{\phi_1 \langle \xi \rangle_M, \phi\} + \gamma x_0 b_1 + S_{\rho,1/2}(w^{1/2} r^{-1} \langle \xi \rangle_M^{1/4}) + S_{\rho,1/2}(M^{-\epsilon'} w r^2 \langle \xi \rangle_M^{\kappa_2}).$$

Note that, taking Lemma 2.1 into account

$$\begin{aligned} \{\phi_1 \langle \xi \rangle_M, \phi\} &= 2wr^{-2} \{\phi_1 \langle \xi \rangle_M, \phi_2\} \langle \xi \rangle_M^{\kappa_2} - 2\phi_2 r^{-2} \{\phi_1, w\} \langle \xi \rangle_M^{1+\kappa_2} \\ + S_{\rho,1/2}(r^{-1} w \langle \xi \rangle_M^{\kappa_2}) &= 2wr^{-2} \{\phi_1, \phi_2\} \langle \xi \rangle_M^{1+\kappa_2} + S_{\rho,1/2}(w^{\nu/2} r^{-1} \langle \xi \rangle_M^{\kappa_2}) \\ &= 2wr^{-2} \{\phi_1, \phi_2\} \langle \xi \rangle_M^{1+\kappa_2} + S_{\rho,1/2}(\sqrt{w} r^{-1} \langle \xi \rangle_M^{1/4}) \end{aligned}$$

for  $w^{1/2-l/m} \langle \xi \rangle_M^{\kappa_2} \leq \langle \xi \rangle_M^{(2\delta-1/2)/2+\kappa_2} \leq \langle \xi \rangle_M^{\kappa_1+\kappa_2-1/4} = \langle \xi \rangle_M^{1/4}$ . Let  $g \in S_{\rho,1/2}(\sqrt{w} r^{-1} \langle \xi \rangle_M^{1/4})$  then from Lemma 1.2 it follows that

$$|(\operatorname{op}(g)w, w)| \leq C\gamma^{1/2} \|\langle D \rangle_M^{\kappa_1/2} w\|^2 + C\gamma^{-1/2} \|\operatorname{op}(\sqrt{w} r^{-1} \langle \xi \rangle_M^{\kappa_2/2})w\|^2$$

and if  $g \in S_{\rho,1/2}(M^{-\epsilon'} w r^{-2} \langle \xi \rangle_M^{\kappa_2})$  then

$$|(\operatorname{op}(g)w, w)| \leq CM^{-\epsilon'} \|\operatorname{op}(r\sqrt{w} \langle \xi \rangle_M^{\kappa_2/2})w\|^2, \quad M \geq M_0.$$

It is also clear that

$$|(\operatorname{op}(\gamma x_0 b_1)w, w)| \leq C\gamma T \|\langle D \rangle_M^{\kappa_1/2} w\|^2, \quad |x_0| \leq T.$$

From the assumption we have  $\{\phi_1, \phi_2\} \langle \xi \rangle_M^{1+\kappa_2} \geq c \langle \xi \rangle_M^{\kappa_2}$  with some  $c > 0$  hence Lemma 1.3 proves that

$$(\operatorname{op}(wr^{-2} \{\phi_1, \phi_2\} \langle \xi \rangle_M^{1+\kappa_2})w, w) \geq c \|\operatorname{op}(\sqrt{w} r^{-1} \langle \xi \rangle_M^{\kappa_2/2})w\|^2$$

which will be applied with  $w = \operatorname{op}(\tilde{\Lambda})v$ . Summarizing we have proved

**Proposition 2.3.** *There exist  $c > 0, C > 0, M_0 > 0$  such that*

$$2(\text{op}(\text{Im } \tilde{B})\text{op}(\tilde{\Lambda})v, \text{op}(\tilde{\Lambda})v) \geq c\|\text{op}(\sqrt{wr}^{-1}\langle \xi \rangle_M^{\kappa_2/2}\text{op}(\tilde{\Lambda})v)\|^2 \\ - C\gamma(\gamma^{-1/2} + T)\|\langle D \rangle_M^{\kappa_1/2}\text{op}(\tilde{\Lambda})v\|^2, \quad M \geq M_0.$$

Recall that

$$\text{Im } \tilde{Q} = \gamma x_0 Q_1 + S_{\rho,1/2}(M^{-\epsilon'} r \langle \xi \rangle_M^{1+\delta}) + S_{\rho,1/2}(w^{\nu/2} \langle \xi \rangle_M^{1+\kappa_2}).$$

Let  $f \in S_{\rho,1/2}(r \langle \xi \rangle_M^{1+\kappa_1})$  then Lemma 1.2 gives

$$|(\text{op}(\tilde{\Lambda})v, \text{op}(f)v)| \leq C(\|\text{op}(r \langle \xi \rangle_M^{1+\kappa_1/2})v\|^2 + \|\langle D \rangle_M^{\kappa_1/2}\text{op}(\tilde{\Lambda})v\|^2).$$

Let  $f \in S_{\rho,1/2}(w^{\nu/2} \langle \xi \rangle_M^{1+\kappa_2})$  then Lemma 1.2 shows ( $\nu/2 = 1 - l/m$ )

$$|(\text{op}(\tilde{\Lambda})v, \text{op}(f)v)| \leq C(M^{-\epsilon''/2}\|\text{op}(\sqrt{wr}^{-1}\langle \xi \rangle_M^{\kappa_2/2})\text{op}(\tilde{\Lambda})v\|^2 \\ + M^{\epsilon''/2}\|\text{op}(rw^{1/2-l/m}\langle \xi \rangle_M^{1+\kappa_2/2})v\|^2) \\ \leq CM^{-\epsilon''/2}(\|\text{op}(\sqrt{wr}^{-1}\langle \xi \rangle_M^{\kappa_2/2})\text{op}(\tilde{\Lambda})v\|^2 + \|\text{op}(r \langle \xi \rangle_M^{1+\kappa_1/2})v\|^2)$$

because  $w^{1/2-l/m} \leq \langle \xi \rangle_M^{\kappa_1-1/4-\epsilon'}$  and  $\kappa_2 + \kappa_1 = 1/2$ . We summarize

**Lemma 2.19.** *There exist  $C > 0, \epsilon > 0, T_0 > 0$  such that*

$$|(\text{op}(\tilde{\Lambda})v, \text{op}(\text{Im } \tilde{Q})v)| \leq C(M^{-\epsilon} + \gamma T)\|\text{op}(r \langle \xi \rangle_M^{1+\kappa_1/2})v\|^2 \\ + CM^{-\epsilon}\|\text{op}(\sqrt{wr}^{-1}\langle \xi \rangle_M^{\kappa_2/2})\text{op}(\tilde{\Lambda})v\|^2 + C(M^{-\epsilon} + \gamma T)\|\langle D \rangle_M^{\kappa_1/2}\text{op}(\tilde{\Lambda})v\|^2$$

for  $|x_0| \leq T$ .

Since  $\text{Im } \lambda \in S_{\rho,1/2}(\langle \xi \rangle_M^{\kappa_1})$  and  $\text{Re } \tilde{Q} \in S_{\rho,1/2}(r^2 \langle \xi \rangle_M^2)$  Lemma 1.2 shows

$$|(\text{op}(\text{Re } \tilde{Q})v, \text{op}(\text{Im } \lambda)v)| \leq C\|\text{op}(r \langle \xi \rangle_M^{1+\kappa_1/2})v\|^2.$$

Consider  $[D_0 - \text{op}(\text{Re } \lambda), \text{op}(\text{Re } \tilde{Q})] = -i\text{op}(\partial_{x_0} \text{Re } \tilde{Q}) - \text{op}((\text{Re } \lambda) \# (\text{Re } \tilde{Q}) - (\text{Re } \tilde{Q}) \# (\text{Re } \lambda))$ . Since  $\partial_{x_0} \text{Re } \tilde{Q} \in S_{\rho,1/2}(r^2 \langle \xi \rangle_M^{2+\delta})$  and  $\text{Re } \lambda \in S_{\rho,1/2}(\langle \xi \rangle_M^{\kappa_1})$  and  $\text{Re } \tilde{Q} \in S_{\rho,1/2}(r^2 \langle \xi \rangle_M^2)$  it results from Lemma 1.2 that

$$|([D_0 - \text{op}(\text{Re } \lambda), \text{op}(\text{Re } \tilde{Q})]v, v)| \leq CM^{-\epsilon'}\|\text{op}(r \langle \xi \rangle_M^{1+\kappa_1/2})v\|^2.$$

It remains to estimate the terms

$$|(\text{op}(R)\text{op}(\tilde{\Lambda})v, \text{op}(\tilde{\Lambda})v)|, \quad |(\text{op}(a)v, \text{op}(\tilde{\Lambda})v)|, \quad a \in S_{\rho,1/2}(\langle \xi \rangle_M).$$

If  $f \in S_{\rho,1/2}(M^{-\epsilon'} \langle \xi \rangle_M^{\kappa_1})$  then  $|(\text{op}(f)w, w)| \leq CM^{-\epsilon'} \|\text{op}(\langle \xi \rangle_M^{\kappa_1/2})w\|^2$  is clear by Lemma 1.2. Let  $a \in S_{\rho,1/2}(\langle \xi \rangle_M)$ . Write

$$a(x, \xi) = \frac{ra}{\langle \xi \rangle_M^{\kappa_2/2} \sqrt{w}} \cdot \frac{\langle \xi \rangle_M^{\kappa_2/2} \sqrt{w}}{r}$$

where  $(ra)/(\langle \xi \rangle_M^{\kappa_2/2} \sqrt{w}) \in S_{\rho,1/2}(r \langle \xi \rangle_M^{1+\kappa_1/2})$  for  $(\langle \xi \rangle_M^{\kappa_2/2} \sqrt{w})^{-1} \leq \langle \xi \rangle_M^{-\kappa_2/2+1/4}$  and  $\kappa_1 + \kappa_2 = 1/2$ . Thanks to Lemma 1.2 we have

$$\begin{aligned} |(\text{op}(a)v, \text{op}(\tilde{\Lambda})v)| &\leq C\varepsilon^{-1} \|\text{op}(r \langle \xi \rangle_M^{1+\kappa_1/2})v\|^2 \\ &+ \varepsilon \|\text{op}(\sqrt{w}r^{-1} \langle \xi \rangle_M^{\kappa_2/2})\text{op}(\tilde{\Lambda})v\|^2. \end{aligned}$$

Therefore we have proved that here exist  $c > 0$ ,  $C > 0$  such that

$$\begin{aligned} (2.23) \quad &2|(\text{op}(\tilde{P})v, \text{op}(\tilde{\Lambda})v)| \geq \frac{d}{dx_0} (\|\text{op}(\tilde{\Lambda})v\|^2 + (\text{op}(\text{Re } \tilde{Q})v, v)) \\ &\geq 2\gamma(1 - CT - CM^{-\epsilon} - C\gamma^{-1/2}) \|\text{op}(\langle \xi \rangle_M^{\kappa_1/2})\text{op}(\tilde{\Lambda})v\|^2 \\ &\quad + 2\gamma(c - CT - CM^{-\epsilon} - \gamma^{-1}\varepsilon^{-1}C) \|\text{op}(r \langle \xi \rangle_M^{1+\kappa_1/2})v\|^2 \\ &\quad + (c - M^{-\epsilon} - C\gamma^{-1/2} - \varepsilon) \|\text{op}(\sqrt{w}r^{-1} \langle \xi \rangle_M^{\kappa_2/2})\text{op}(\tilde{\Lambda})v\|^2 \end{aligned}$$

for  $|x_0| \leq T$  and any  $\varepsilon > 0$ . Since  $\gamma = M^{\epsilon^*}$  as mentioned in (2.9) one can take  $M_1$ ,  $\varepsilon > 0$  and  $T > 0$  such that the right-hand side is bounded from below by

$$(2.24) \quad \begin{aligned} &M^{\epsilon^*} \|\text{op}(\langle \xi \rangle_M^{\kappa_1/2})\text{op}(\tilde{\Lambda})v\|^2 + cM^{\epsilon^*} \|\text{op}(r \langle \xi \rangle_M^{1+\kappa_1/2})v\|^2 \\ &+ (c/2) \|\text{op}(\sqrt{w}r^{-1} \langle \xi \rangle_M^{\kappa_2/2})\text{op}(\tilde{\Lambda})v\|^2, \quad |x_0| \leq T, \quad M \geq M_1. \end{aligned}$$

Denote

$$A = D_0 - i\gamma \langle D \rangle_M^{\kappa_1}.$$

Since  $\sqrt{w}r^{-1} \langle \xi \rangle_M^{\kappa_2/2+\kappa_1} = \sqrt{w}r^{-1} \langle \xi \rangle_M^{1/4+\kappa_1/2} \leq r \langle \xi \rangle_M^{1+\kappa_1/2}$  Lemma 1.3 and (2.21) proves that there is  $C > 0$  such that

$$\begin{aligned} \|\text{op}(\sqrt{w}r^{-1} \langle \xi \rangle_M^{\kappa_2/2})\text{op}(\tilde{\Lambda})v\| &\geq \|\text{op}(\sqrt{w}r^{-1} \langle \xi \rangle_M^{\kappa_2/2})Av\| \\ &\quad - C \|\text{op}(r \langle \xi \rangle_M^{1+\kappa_1/2})v\|. \end{aligned}$$

Since  $M^{\kappa_2} \langle \xi \rangle_M^{3\kappa_1/2} \leq \langle \xi \rangle_M^{1/2+\kappa_1/2} \leq r \langle \xi \rangle_M^{1+\kappa_1/2}$  we have similarly

$$\|\text{op}(\langle \xi \rangle_M^{\kappa_1/2})\text{op}(\tilde{\Lambda})v\| \geq \|\text{op}(\langle \xi \rangle_M^{\kappa_1/2})Av\| - CM^{-\kappa_2} \|\text{op}(r \langle \xi \rangle_M^{1+\kappa_1/2})v\|.$$

Then there is  $M_2 > M_1$  such that (2.24) is bounded from below by

$$(2.25) \quad \begin{aligned} & cM^{\epsilon^*} \|\text{op}(\langle \xi \rangle_M^{\kappa_1/2})Av\|^2 + c\|\text{op}(\sqrt{w}r^{-1}\langle \xi \rangle_M^{\kappa_2/2})Av\|^2 \\ & + cM^{\epsilon^*} \|\text{op}(r\langle \xi \rangle_M^{1+\kappa_1/2})v\|^2, \quad |x_0| \leq T, \quad M \geq M_2. \end{aligned}$$

From similar arguments one has

$$(2.26) \quad \begin{aligned} \|Av\| + CM^{-\kappa_2} \|\text{op}(r\langle \xi \rangle_M)v\| &\geq \|\text{op}(\tilde{\Lambda})v\| \\ &\geq \|Av\| - CM^{-\kappa_2} \|\text{op}(r\langle \xi \rangle_M)v\|. \end{aligned}$$

Integrating (2.23) from 0 to  $t$  it follows that

$$\begin{aligned} 2C \int_0^t \|\text{op}(\tilde{P})v\| \|\text{op}(\tilde{\Lambda})v\| dt + C(\|\text{op}(\tilde{\Lambda})v(0)\| + \|\text{op}(r\langle \xi \rangle_M)v(0)\|)^2 \\ \geq (\|\text{op}(\tilde{\Lambda})v(t)\| + \|\text{op}(r\langle \xi \rangle_M)v(t)\|)^2. \end{aligned}$$

With  $E^2(t) = \sup_{0 \leq t_1 \leq t} (\|\text{op}(\tilde{\Lambda})v(t_1)\| + \|\text{op}(r\langle \xi \rangle_M)v(t_1)\|)^2$  we have

$$(E - C \int_0^t \|\text{op}(\tilde{P})v\| dt)^2 \leq C(\|\text{op}(\tilde{\Lambda})v(0)\| + \|\text{op}(r\langle \xi \rangle_M)v(0)\|)^2$$

from which it follows that

$$E \leq C(\|\text{op}(\tilde{\Lambda})v(0)\| + \|\text{op}(r\langle \xi \rangle_M)v(0)\|) + C \int_0^t \|\text{op}(\tilde{P})v\| dt.$$

Therefore taking (2.26) into account we conclude

**Proposition 2.4.** *There exist  $M > 0, C > 0, T > 0$  such that*

$$\begin{aligned} C \left\{ \int_0^t \|\text{op}(\tilde{P})v\| dt + \|Av(0)\| + \|\text{op}(r\langle \xi \rangle_M)v(0)\| \right\} \\ \geq \|Av(t)\| + \|\text{op}(r\langle \xi \rangle_M)v(t)\| \end{aligned}$$

for  $0 \leq t \leq T$ .

**Corollary 2.2.** *There exist  $M > 0, C > 0, T > 0$  such that*

$$C \left\{ \int_0^t \|\text{op}(\tilde{P})v\| dt + \|Av(0)\| + \|\langle D \rangle_M v(0)\| \right\} \geq \|Av(t)\| + \|\langle D \rangle_M^{1/2} v(t)\|$$

for  $0 \leq t \leq T$ .

*Proof.* From  $\langle \xi \rangle_M^{1/2} \leq r \langle \xi \rangle_M \leq C \langle \xi \rangle_M$  the proof is clear from Lemmas 1.2 and 1.3.  $\square$

We now start with

$$\begin{aligned} & 2 \|\text{op}(\langle \xi \rangle_M^{-\kappa_1/2}) \text{op}(\tilde{P})v\| \|\text{op}(\langle \xi \rangle_M^{\kappa_1/2}) \text{op}(\tilde{\Lambda})v\| \\ & \geq \frac{d}{dx_0} (\|\text{op}(\tilde{\Lambda})v\|^2 + (\text{op}(\text{Re } \tilde{Q})v, v)) \geq c \|\text{op}(\langle \xi \rangle_M^{\kappa_1/2}) \text{op}(\tilde{\Lambda})v\|^2 \\ & \quad + c \|\text{op}(r \langle \xi \rangle_M^{1+\kappa_1/2})v\|^2 + c \|\text{op}(r^{-1} \sqrt{w} \langle \xi \rangle_M^{\kappa_2/2}) \text{op}(\tilde{\Lambda})v\|^2. \end{aligned}$$

Then integrating (2.23) in  $t$  and taking (2.25) into account one has

**Proposition 2.5.** *There exist  $M > 0, C > 0, T > 0$  such that*

$$\begin{aligned} & C \left\{ \int_0^t \|\langle D \rangle_M^{-\kappa_1/2} \text{op}(\tilde{P})v\|^2 dt + \|Av(0)\|^2 + \|\text{op}(r \langle \xi \rangle_M)v(0)\|^2 \right\} \\ & \geq \|Av(t)\|^2 + \|\text{op}(r \langle \xi \rangle_M)v(t)\|^2 + \int_0^t \|\langle D \rangle_M^{\kappa_1/2} Av\|^2 dt \\ & \quad + \int_0^t \|\text{op}(\sqrt{w}r^{-1} \langle \xi \rangle_M^{\kappa_2/2})Av\|^2 dt + \int_0^t \|\text{op}(r \langle \xi \rangle_M^{1+\kappa_1/2})v\|^2 dt \end{aligned}$$

for  $0 \leq t \leq T$ .

**Corollary 2.3.** *There exist  $M > 0, C > 0, T > 0$  such that*

$$\begin{aligned} & C \left\{ \int_0^t \|\langle D \rangle_M^{-\kappa_1/2} \text{op}(\tilde{P})v\|^2 dt + \|Av(0)\|^2 + \|\langle D \rangle_M v(0)\|^2 \right\} \geq \|Av(t)\|^2 \\ & \quad + \|\langle D \rangle_M^{1/2} v(t)\|^2 + \int_0^t \|\langle D \rangle_M^{\kappa_1/2} Av\|^2 dt + \int_0^t \|\langle D \rangle_M^{1/2+\kappa_1/2} v\|^2 dt \end{aligned}$$

for  $0 \leq t \leq T$ .

**Remark 2.1.** Here we remark that one can choose  $\kappa_1 (> \delta)$  arbitrarily close to  $\delta = 1/3$  for the case (2.5) and  $\delta = 1/4$  for the case (2.6). This proves that the Cauchy problem for  $P$  is solvable in the Gevrey class less than 3 for the case (2.5) and the Gevrey class less than 4 for the case (2.5) for arbitrary lower order terms ([2, 3]).

**Remark 2.2.** Since  $\kappa_2$  tends to  $\rho - 1/2$  as  $\kappa_1 \downarrow \delta$  for  $\kappa_2 = 1/2 - \kappa_1 < 1/2 - \delta = \rho - 1/2$  the constraint  $\rho - 1/2 > \kappa_2 s$  on  $s$  ([8, (2.1)]) implies that  $s$  must be enough close to 1 in our arguments. Note that Remark 2.1 is available if the coefficients are real analytic for example.

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