

# $j$ -LINE COVERS AND $\theta$ -CHARACTERISTICS

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Consider covers  $\phi : X \rightarrow \mathbb{P}_z^1$  with  $r$  branch with this equivalence:  $\phi : X \rightarrow \mathbb{P}_z^1$  is  *$D$ -equivalent* to  $\phi' : X' \rightarrow \mathbb{P}_z^1$  if there is a smooth deformation from  $\phi$  to  $\phi'$  through  $r$  branch point covers.

Deformation means there is a total family  $\Phi : \mathcal{T} \rightarrow S \times \mathbb{P}_z^1$  with  $\text{pr}_S : S \times \mathbb{P}_z^1 \rightarrow S$  and  $\text{pr}_z : S \times \mathbb{P}_z^1 \rightarrow \mathbb{P}_z^1$  projection maps. Further:

- $\mathcal{T}$  and  $\text{pr}_S \circ \Phi$  are smooth;  $\Phi$  is finite.
- For  $s \in S$ , a fiber  $\text{pr} \circ \Phi_s : \mathcal{T}_s \rightarrow s \times \mathbb{P}_z^1$  is an  $r$  branch point cover.
- For  $s, s' \in S$ ,  $\mathcal{T}_s$  (resp.  $\mathcal{T}_{s'}$ ) is inner (or absolute, reduced) equivalent to  $\phi$  (resp.  $\phi'$ ).

## MOTIVATION

Take all curves, and covers over  $\mathbb{C}$ . If  $G_{\mathbb{Q}}$  action occurs, assume everything defined over  $\bar{\mathbb{Q}}$ . Let  $\phi : X \rightarrow \mathbb{P}_z^1$  be a cover. Call it a  $(G, \mathbf{C})$  cover (or in the *Nielsen class*  $\text{Ni}(G, \mathbf{C})$ ) if  $G$  is the group of its Galois closure  $\hat{\phi} : \hat{X} \rightarrow \mathbb{P}_z^1$  and its local monodromy groups are in the set of *conjugacy classes*  $\mathbf{C}$ .

Problem! Given  $(G, \mathbf{C})$ :

- Explain the geometric significance of  $D$ -equivalence classes of  $(G, \mathbf{C})$  covers.
- Find fields of moduli and  $G_{\mathbb{Q}}$  orbits of such classes.

## MAIN DEFINITION

Up to  $D$ -equivalence may assume two covers have the same branch points. For

$$\mathbf{g} = (g_1, \dots, g_r) \in G^r, \quad \Pi(\mathbf{g}) \stackrel{\text{def}}{=} g_1 \cdots g_r.$$

Then,  $\mathbf{g} \in \text{Ni}(G, \mathbf{C})$  means:

$$\mathbf{g} \in \mathbf{C}, \quad \langle \mathbf{g} \rangle = G \text{ and } \Pi(\mathbf{g}) = 1.$$

Two  $D$ -equivalent covers are in the same Nielsen class  $\text{Ni}(G, \mathbf{C})$  computed from classical generators on the  $r$ -punctured sphere.

Given  $(G, \mathbf{C})$ , consider  $\psi_H : H \twoheadrightarrow G$ , and a choice of conjugacy classes  $\mathbf{C}_H$ :  $r$  conjugacy classes lifting to  $H$  those of  $\mathbf{C}$ .

For  $\mathbf{g} \in \text{Ni}(G, \mathbf{C})$ , consider the  $(H, \mathbf{C}_H)$ -lifting invariant:  $s_{H, \mathbf{C}_H}(\mathbf{g}) =$

$$\{\Pi(\mathbf{g}^*) \mid \mathbf{g}^* = \mathbf{g} \text{ mod } \ker(\psi_H), \mathbf{g}^* \in \mathbf{C}_H, \langle \mathbf{g}^* \rangle = H\}.$$

## MAIN TOOL

Use  $\mathcal{Y}_g$  for the collection of  $s_{H, \mathbf{C}_H}(\mathbf{g})$  running over all  $(H, \mathbf{C}_H)$  to separate  $D$ -inequivalent elements in  $\text{Ni}(G, \mathbf{C})$ . Recall the *Hurwitz monodromy group*  $H_r$  from [Fri99, Talk 2].

**Lemma 1.**  $\mathcal{Y}_g$  is an  $H_r$  invariant:

$$s_{H, \mathbf{C}_H}(\mathbf{g}) = s_{H, \mathbf{C}_H}((\mathbf{g})Q) \text{ for } Q \in H_r,$$

any  $(H, \mathbf{C}_H)$ . It is a  $D$ -equivalence invariant.

**Definition 2.** Call  $\mathbf{g}$  and  $\mathbf{g}'$  in  $\text{Ni}(G, \mathbf{C})$  *Nielsen separated* if the collection  $\mathcal{Y}_g$  differs from  $\mathcal{Y}_{g'}$ .

**Question 3.** Does this *reasonably (practically, significantly)* separate  $D$ -equivalence classes?

## GROUP THEORY THAT WORKS

Here is a situation that produces several  $D$ -equivalence classes ( $H_r$  orbits) in a Nielsen class. Let  $\psi : H \twoheadrightarrow G$  be a Frattini central extension with  $\ker(\psi)$  of order prime to the orders of elements in  $\mathbf{C}$ . Choose  $\mathbf{C}_H$  to be the lifted conjugacy classes of  $\mathbf{C}$  *with the same orders*.

**Lemma 4.** For  $\mathbf{g} \in \text{Ni}(G, \mathbf{C})$ ,  $s_{H, \mathbf{C}_H}(\mathbf{g}) = s(\mathbf{g})$  is a single element. Assume  $\text{Ni}(G, \mathbf{C}) \neq \emptyset$  and

- conjugacy classes in  $\mathbf{C}$  appear *many times*.

Then  $\{s(\mathbf{g}) \mid \mathbf{g} \in \text{Ni}(G, \mathbf{C})\} = \ker(\psi)$ . So, there are at least  $|\ker(\psi)|$  orbits for  $H_r$  on  $\text{Ni}(G, \mathbf{C})$ .

## SMALL $H \rightarrow G$ EXAMPLE

**Example 5.** Let  $n \geq 4$ ,  $A_n = G$ , and  $\mathbf{C} = \mathbf{C}_{3^r}$ :  $r \geq n - 1$  repetitions of conjugacy class of 3-cycles. Take  $\mathbb{Z}/2 \rightarrow \hat{A}_n \rightarrow A_n$  the Spin cover of  $A_n$ . With  $H = \hat{A}_n$ , hypotheses of Lem. 4 hold. For  $\mathbf{g} \in \text{Ni}(A_n, \mathbf{C}_{3^r})$ ,  $s(\mathbf{g}) \in \{\pm 1\}$ .

### Nielsen separation components, Ex. 5 [Fri96]

Genus at  $(n, r)$  of degree  $n$  cover:  $g_{n,r} = r - n + 1$

of the Galois cover:  $\hat{g}_{n,r} = \frac{(r-3)n!}{6}$

$\xrightarrow{g \geq 1}$	$\otimes \oplus$	$\otimes \oplus$	$\xleftarrow{1 \leq g}$
$\xrightarrow{g=0}$	$\otimes$	$\oplus$	$\xleftarrow{0=g}$
$n \geq 4$	$n$ even	$n$ odd	$4 \leq n$

Locations containing symbols  $\otimes$  or  $\oplus$  attach to pair  $(n, r)$ . Labels for rows are by genres of degree  $n$  covers of  $\mathbb{P}_z^1$ . **Each symbol is one component:**  $\otimes$  means lifting invariant is value  $-1$ ;  $\oplus$  that it is  $+1$ .

## REMAINING TOPICS

*Nielsen Separation* uses complicated witnessing pairs  $(H, \mathbf{C}_H)$ . In the last example,  $(H, \mathbf{C}_H)$  witnessing separation is a *small* cover of  $(G, \mathbf{C})$ . Remaining topics relate to *Frattini covers*.

- Relation of  $H = \hat{A}_n$ ,  $G = A_n$  to  $\theta$  functions.
- Using  $\hat{A}_n$  when  $G \leq A_n$ : *Serre's Theorem*.
- Complete  $D$ -equivalence separation for  $\mathbf{C}$  *large*: *Conway-Parker Theorem* ([CP87], [FV91, App]).
- How the universal  $p$ -Frattini cover of  $G$  shows Conway-Parker isn't enough: Infinitely many groups with  $\mathbf{C}$  fixed.

I cover these topics until I run out of time!

## $\theta$ -CHARACTERISTICS

**Definition 6.** Let  $X$  be a compact Riemann surface, and  $\omega$  a meromorphic differential on  $X$ . Suppose  $\{U_\alpha, \phi_\alpha\}_{\alpha \in I}$  is a coordinate chart for  $X$  and  $\phi_\alpha^*(\omega)(z_\alpha) = (f_\alpha(z_\alpha))^2 dz_\alpha$ . Then,  $f_\alpha(z_\alpha) \sqrt{dz_\alpha}$  is a *half-canonical differential*. It defines a (meromorphic) section of some sheaf, attached to a divisor  $(\sqrt{d\omega})$ :  $2(\sqrt{d\omega})$  is in the *canonical class*.

**Example 7.** Let  $\phi : X \rightarrow \mathbb{P}_z^1$  be a cover with *odd order ramification*. Then,  $\sqrt{d\phi}$  is a half-canonical differential. If  $\gamma \in \text{PSL}_2(\mathbb{C})$ ,  $(\sqrt{d(\gamma \circ \phi)})$  is *linearly equivalent* to  $(\sqrt{d\phi})$  on  $X$ .



## APPEARANCE OF $\theta$ -FUNCTIONS

Let  $[D]$  be a  $\theta$ -characteristic. Denote divisor classes of degree  $d$  on  $X$  by  $\text{Pic}^d(X)$ . There is a natural map  $X^{g-1} \rightarrow \text{Pic}^{g-1}(X)$ . Denote its image by  $\Theta_X$ . Then,  $\Theta_X - [D]$  defines a divisor in  $\text{Pic}^0(X)$ : *The  $\Theta$  divisor* attached to  $[D]$ . Let  $L(D) = \{f \in \mathbb{C}(X) \mid (f) + D \geq 0\}$ .

According to  $\dim_{\mathbb{C}} L(D) \bmod 2$ ,  $[D]$  is called *even* or *odd*. If  $[D]$  is odd, the corresponding  $\theta$  function is odd. So it is zero at the origin. The following is a special case of [Ser90b]

Let  $\hat{\phi}_{\mathbf{p}} : \hat{X}_{\mathbf{p}} \rightarrow \mathbb{P}_z^1$  run over equivalence classes of covers parametrized by  $\mathbf{p} \in \mathcal{H}(A_n, \mathbf{C}_{3r})^{\text{in,rd}}$ .

**Theorem 8.**  *$(\sqrt{d\hat{\phi}_{\mathbf{p}}})$  is even if and only if  $\mathbf{p}$  is on the  $\oplus$  component of  $\mathcal{H}(A_n, \mathbf{C}_{3r})^{\text{rd}}$ .*

## A THETA NULL

**Example:** If  $H_r$  orbit on  $\text{Ni}(A_n, \mathbf{C}_{3^r})$  contains *H-M reps.* ([Fri99, Talk 4]), it gives  $\oplus$  component of  $\mathcal{H}(A_n, \mathbf{C}_{3^r})^{\text{rd}}$ . *Moduli map:*

$$\mu_{n,r} : \mathbf{p} \in \mathcal{H}(A_n, \mathbf{C}_{3^r})^{\text{in}} \mapsto [\hat{X}_{\mathbf{p}}] \in \mathcal{M}_{\hat{g}_{n,r}}.$$

Let  $\theta_{\mathbf{p}}$  be the *theta function* (almost canonical) on the *universal cover* of  $\text{Pic}^0(\hat{X}_{\mathbf{p}})$  ( $\mathbf{p}$  on the  $\oplus$  component). Let  $\mathbf{0}$  be the origin of  $\text{Pic}^0(\hat{X}_{\mathbf{p}})$ .

**Theorem 9.** *If  $\mu_{n,r}$  is generically surjective, then  $\Theta_X - [D_{\mathbf{p}}]$  doesn't contain the origin of  $\text{Pic}^0(\hat{X}_{\mathbf{p}})$  for most  $\mathbf{p}$  in  $\oplus$  component. Also,  $\theta_{\mathbf{p}}(\mathbf{0})$  is locally nonconstant as a function of  $\mathbf{p}$ .*

Yet,  $\mu_{n,r}$  isn't often generically surjective. Let  $\theta_{\mathbf{p}}(\mathbf{0})$  be its value at the origin.

## A VARYING THETA NULL

**Theorem 10 (Tentative).**  $\theta_{\mathbf{p}}(\mathbf{0})$  is locally non-constant.

**Idea!** So,  $\theta_{\mathbf{p}}(\mathbf{0})$  is an *automorphic function* on  $\mathcal{H}(A_n, \mathbf{C}_{3^r})^{\text{rd}}$ . (Case:  $r = 4, n = 5, \mathcal{H}(A_n, \mathbf{C}_{3^r})^{\text{rd}}$  a  $j$ -line cover.)

There is a  $Y \rightarrow \hat{X}_{\mathbf{p}}$  unramified cover with  $Y \rightarrow \mathbb{P}_z^1$  having group  $\tilde{A}_n$ : from an  $A_n$ -equivariant 2-division point on  $\text{Pic}^0(\hat{X}_{\mathbf{p}}) \setminus \{\mathbf{p}\}$ . As  $\mathbf{p}$  approaches an H-M cusp, this cover degenerates. Gives a pole of  $\theta_{\mathbf{p}}(\mathbf{0})$  (inspired by [IN97]).

## SERRE'S LIFTING INVARIANT THEOREM

For  $g$  with disjoint cycle lengths  $s_1, \dots, s_t$ , let  $\omega(g) = \sum (s_i^2 - 1)/8$ .

**Proposition 11 ([Ser90a]).** *Assume  $G \leq A_n$  and entries of  $\mathbf{g} = (g_1, \dots, g_r) \in \text{Ni}(G, \mathbf{C})$  have odd order. Let  $\hat{\mathbf{g}} \in (\hat{A}_n, \mathbf{C})$  lift  $\mathbf{g}$ . Suppose:*

- *Transitivity:  $\langle \mathbf{g} \rangle$  is transitive in  $A_n$ .*
- *Genus 0 condition:  $\sum_{i=1}^r \text{ind}(g_i) = 2(n-1)$ .*

*Then,  $s(\mathbf{g}) \stackrel{\text{def}}{=} \prod_{i=1}^r g_i^* = (-1)^{\sum_{i=1}^r \omega(g_i)}$ .*

### Exercise Lifting Computation:

- $\mathbf{g}_1 = ((1\ 2\ 3), (1\ 3\ 4), (1\ 4\ 3))$ :  $s(\mathbf{g}_1) = -1$ .
- $\mathbf{g}_2 = ((1\ 2\ 3\ 4\ 5), (1\ 3\ 5\ 2\ 4), (3\ 5\ 1), (2\ 4\ 1))$ :  
 $s(\mathbf{g}) = -1$  [BFR99, §5].

## D-EQUIVALENCE CLASSES: $\mathbf{C}$ LARGE

Let  $M_G = H^2(G, \mathbf{C}^*)$  be the *Schur-Multiplier* of  $G$ . Suppose  $C'_1, \dots, C'_t$  are conjugacy classes of  $G$ . Let  $R \rightarrow G$  be a representation cover of  $G$ : *Central Frattini cover* having  $M_G$  as kernel. Choose  $\widehat{C}'_j$  to be a lift of  $C'_j$  to  $R$ . For  $g \in G$  let  $\widehat{g}$  be any lift of  $g$  to  $R$ . Denote the set

$$\{(\widehat{g}_i, \widehat{g}_j) \mid g_i \in \widehat{C}'_i, g_j \in \widehat{C}'_j, 1 \leq i, j \leq t\} \cap M_G$$

by  $R_{\mathbf{C}'}$ . The following is in [FV91, App] based on a preprint of Conway-Parker.

**Theorem 12.** *Given  $(G, \mathbf{C})$ , assume:*

- *Each conjugacy class in  $\mathbf{C}$  is from the list  $C'_1, \dots, C'_t$ .*
- *Each  $C'_i$  appears in  $\mathbf{C}$  many times (how many?).*

*The number of components of  $M_r$  acting on  $N_i(G, \mathbf{C})$  is exactly  $|M/\langle R_{\mathbf{C}'}, M \rangle|$ . Branch cycle lemma computes their fields of definition.*

## UNIVERSAL $p$ -FRATTINI ${}_p\tilde{G}$

Recall the *universal  $p$ -Frattini cover*  ${}_p\tilde{G}$  of  $G$  and its characteristic quotients  $\{G_k\}_{k=0}^{\infty}$  [Fri99, Talk 3,4]. Let  $Sch_k$  be the exponent  $p$  quotient of the Schur multiplier of  $G_k$ .

**Lemma 13.** *Let  $\ker_k$  be the kernel of  ${}_p\tilde{G} \rightarrow G_k$ . A  $G_k$  quotient of  $\ker_k / \ker_{k+1}$  is isomorphic to  $Sch_k$ . Also,  $|Sch_{k+1}| \geq |Sch_k|$ ,  $k \geq 0$ .*

**Example 14 (Use of [CP87]).** Assume

- $C$  is a fixed  $p'$  conjugacy class of  $G$ .
- $G$  has nontrivial  $p$  Schur multiplier.

Let  $\mathbf{C}_r$  be  $r$  repetitions of  $C$ . Then, there is  $r_k$  with  $Ni(G_j, \mathbf{C}_{r_k})$  having *exactly*  $|Sch_j|$  orbits under  $H_{r_k}$ ,  $j \leq k$ .

## COMPONENTS FROM FIXED C

Use assumptions of Example 14.

- What do  $M_r$  orbits of  $\text{Ni}(G_k, \mathbf{C})$  look like if  $r$  is fixed, and  $k$  is large?
- Let  $G_k = {}_2\tilde{A}_5 / \ker_k$  be the  $k$ -th characteristic quotient of  ${}_2\tilde{A}_5$ . What do  $M_4$  orbits of  $\text{Ni}(G_k, \mathbf{C}_{34})$  look like if  $k$  is large.

Recall: When  $r = 4$ , each  $M_4$  orbit is a curve whose closure covers the  $j$ -line. Denote the  $M_4$  orbits on  $\text{Ni}(G_k, \mathbf{C}_{34})$  by  $\text{Ni}(G_k, \mathbf{C}_{34})/M_4$ . The following is from [Fri99, Talk 4].

**Theorem 15.**  $|\text{Ni}(G_0, \mathbf{C}_{34})/M_4| = 1$  and the curve component has genus 0.

Also,  $|\text{Ni}(G_0, \mathbf{C}_{34})/M_4| = 2$  and the curve components have genus 12 and genus 9. Only the genus 12 component has real points.

Web: <http://www.math.uci.edu/~mfried/#ret>, Overview of RET.

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