

**RECONSTRUCTION OF FIELDS FROM  
ABSOLUTE GALOIS GROUPS**

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**Part I: Local Fields**

*A joint work with Ivan Fesenko*

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**Part II: Global Aspects**

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**Problem:**  $K, K_0$  fields,  $K_0$  local

$$G_K \cong G_{K_0} \implies K = ??$$

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(0)  $K_0 = \mathbb{C}$

$$G_K \cong G_{\mathbb{C}} \iff K \text{ separably closed}$$

(the fundamental theorem of algebra)

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(1)  $K_0 = \mathbb{R}$

$$G_K \cong G_{\mathbb{R}} \iff K \text{ real closed}$$

*E. Artin – O. Schreier 1927*

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(2)  $G_K \cong G_{K_0}, [K_0 : \mathbb{Q}_p] < \infty$

$\iff K$   $p$ -adically closed

$\xleftrightarrow{\text{def}}$   $\exists$  henselian valuation  $v$  on  $K$  such that

$$|\bar{K}_v| < \infty, \text{ char } \bar{K}_v = p,$$

$$0 \rightarrow \mathbb{Z} \rightarrow \cdot, v \rightarrow \Delta \rightarrow 0 \quad \text{exact}$$

$v(p)$  divisible

*Neukirch '69, Pop '88, '95, E. ('95;  $p \neq 2$ ), Koenigsmann ('95; all  $p$ )*

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(3)  $G_K \cong G_{\mathbb{F}_q((t))}$ ,  $q = p^m \iff K = ??$

Expect:  $\exists$  henselian valuation  $v$  on  $K$  with

- value group  $v$  “close” to  $\mathbb{Z}$  and
  - residue field  $\bar{K}_v$  “close” to  $\mathbb{F}_q$
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**Example** (Koch '67):

$$G_{\mathbb{F}_q((t))} \cong \hat{F}_{\mathfrak{N}_0}(p) \rtimes \langle \sigma, \tau \mid \sigma\tau\sigma^{-1} = \tau^q \rangle_{\text{profinite}}$$

↑

universal action

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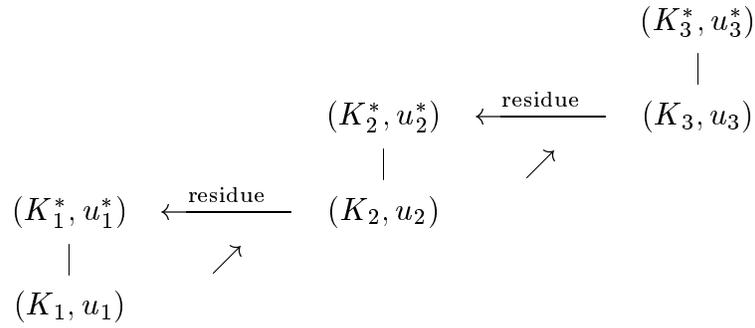
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**Example (A):** Construct inductively  $(K_r, u_r)$  as follows:

$(K_1, u_1)$  = henselization of  $\mathbb{F}_q(t_0)$  at  $(t_0)$

$(K_r^*, u_r^*)$  = maximal totally tamely ramified extension of  
 $(K_r, u_r)$

$(K_{r+1}, u_{r+1})$  = henselization of  $K_r^*(t_r)$  at  $(t_r)$



$$\implies G_{K_r} \cong G_{\mathbb{F}_q((t))}$$

$u_r$  henselian,  $u_r \cong \mathbb{Z}$

$(\overline{K_{r+1}})_{u_{r+1}} = K_r^*$  non-perfect!

$$G_{K_r^*} \cong \hat{F}_{\mathbb{N}_0}(p) \rtimes \hat{\mathbb{Z}} \not\cong \hat{\mathbb{Z}}$$


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**Example (B):**

Take  $(K_r, u_r)$  as in Example (A)

Set  $w_r^* = u_1^* \circ u_2^* \circ \cdots \circ u_{r-1}^* \circ u_r^*$

$$w_r = \text{Res}_{K_r}(w_r^*) .$$

$\implies (K_r, w_r)$  henselian

$$(\overline{K_r})_{w_r} = \mathbb{F}_q ,$$

$$, w_r/l \cong \begin{cases} \mathbb{Z}/l & \text{for } l \neq p \text{ prime} \\ (\mathbb{Z}/p)^r & \text{for } l = p \text{ prime} \end{cases}$$

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**Example (C):** *Examples in characteristic 0 [E., '95]*

$F$  = arbitrary field of characteristic  $p$

$E = (W(F_{\text{ins}}))$

$\exists$  split epimorphism  $G_E \rightarrow G_{F_{\text{ins}}} \cong G_F$

(Kuhlmann–Pank–Roquette)

$K$  = fixed field of the image of a section

$\implies \text{char } K = 0, \quad G_K \cong G_F$

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**Example (D):**

*Fields of Norms (Fontaine – Winterberger)*

$E$  = finite extension of  $\mathbb{Q}_p$  with residue field  $\mathbb{F}_q$

$K$  = arithmetically profinite extension of  $E$

$\implies G_K \cong G_{\mathbb{F}_q((t))}$ .

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**THEOREM 1:**

Suppose:  $G_K \cong G_{\mathbb{F}_q((t))}$  .

Then there exists a henselian valuation  $v$  on  $K$  s.t.:

- (1)  $\forall l \neq p$  prime:  $\mathcal{O}_{K_v}/l \cong \mathbb{Z}/l$
  - (2)  $\text{char } \bar{K}_v = p$
  - (3)  $G_{\bar{K}_v}(p') \cong \hat{\mathbb{Z}}(p') (= \prod_{l \neq p} \mathbb{Z}_l)$
  - (4)  $\text{Syl}_p(G_{\bar{K}_v})$  is a *non-trivial* free pro- $p$  group of rank  $\leq |\bar{K}_v|$
  - (5)  $\text{char } K = 0 \implies \mathcal{O}_{K_v}/p = 0$  and  $\bar{K}_v$  is perfect
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## Construction of valuations from $K$ -theory

*Jacob '81, Ware '81, Arason–Elman–Jacob '87,  
Hwang–Jacob '95, E. '99*

Alternative approaches: *Bogomolov '92, Koenigsmann '95*

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**Theorem:** Suppose:  $E$  field,  $l \neq \text{char } E$  prime,  
 $\langle -1, (E^\times)^l \rangle \leq T \leq E^\times$  ;  
(a)  $\forall x \in E \setminus T \forall y \in T \setminus (E^\times)^l: \{x, y\} \neq 0$  in  $K_2^M(E)$   
(b)  $\forall x, y \in E^\times: x, y$   $\mathbb{F}_l$ -linearly independent mod  $T$   
 $\implies \{x, y\} \neq 0$  in  $K_2^M(E)$ .

Then:  $\exists$  valuation  $v$  on  $E$  such that:

- $\text{char } \bar{E}_v \neq l$
  - $\dim_{\mathbb{F}_p}(\bar{E}_v/l) \geq \dim_{\mathbb{F}_l}(E^\times/T) - 1$
  - either  $\dim_{\mathbb{F}_l}(\bar{E}_v/l) = \dim_{\mathbb{F}_l}(E^\times/T)$  or  $\bar{E}_v \neq \bar{E}_v^l$ .
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**Corollary:** Suppose :

$E$  a field,  $l \neq \text{char } E$  prime,  $-1 \in (E^\times)^l$ , and

$\bigwedge^2(E^\times/l) \xrightarrow{\sim} K_2^M(E)/l$  naturally.

Then  $\exists$  valuation  $v$  on  $E$  such that:

- $\text{char } \bar{E}_v \neq l$
- $\dim_{\mathbb{F}_l}(\bar{E}_v/l) \geq \dim_{\mathbb{F}_l}(E^\times/l) - 1$
- either  $\dim_{\mathbb{F}_l}(\bar{E}_v/l) = \dim_{\mathbb{F}_l}(E^\times/l)$  or  $\bar{E}_v \neq \bar{E}_v^l$

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**The construction of  $v$ :**

One chooses  $T \leq H \leq E^\times$  appropriately

(in the Corollary:  $T = (E^\times)^l$  )

$$O^- = \{x \notin H \mid 1 - x \in T\}$$

$$O^+ = \{x \in H \mid xO^- \subseteq O^-\}$$

$O = O^- \cup O^+$  is a valuation ring with the desired properties!

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**In our case:**

Suppose  $\sigma: G_K \xrightarrow{\sim} G_{\mathbb{F}_q((t))}$ ,  $q = p^m$ .

Take  $l \neq p$  prime and  $E_l/K$  finite and separable with  $\mu_l \subseteq E_l, E'_l$  ( $\mu_4 \subseteq E_l, E'_l$  if  $l = 2$ ).

Then:  $G_{E_l}(l) \cong \langle \sigma, \tau \mid \sigma\tau\sigma^{-1} = \tau^q \rangle_{\text{pro-}l}$

$$\implies H^1(G_{E_l}(l), \mathbb{Z}/l) \cong (\mathbb{Z}/l)^2$$

$$H^2(G_{E_l}(l), \mathbb{Z}/l) \cong \bigwedge^2 H^1(G_{E_l}(l), \mathbb{Z}/l) \text{ (via } \cup \text{)}$$

$$\implies E_l^\times/l \cong (\mathbb{Z}/l)^2 \quad (\text{Kummer theory})$$

$$K_2^M(E_l)/l \cong \bigwedge^2(E_l^\times/l) \quad (\text{Merkur'ev-Suslin})$$

$$\implies \exists \text{ valuation } u_l \text{ on } E_l \text{ such that } \text{char}(\overline{E_l})_{u_l} \neq l,$$

$$\dim_{\mathbb{F}_l}(\overline{E_l})_{u_l}/l = 1, \quad (\overline{E_l})_{u_l} \neq (\overline{E_l})_{u_l}^l.$$

$$\implies u_l \text{ is henselian}$$

$$\implies v_l = \text{Res}_K u_l \text{ is henselian}$$

$$\implies O_v = \bigcap_{l \neq p} O_{v_l} \text{ is henselian and}$$

$$\forall l \neq p : \text{char } \overline{K}_v \neq l \text{ and } \dim_{\mathbb{F}_l}(\overline{K}_v)/l = 1$$

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**Proposition** (*E.*, '95):

Suppose:  $(E, u)$  valued field

$l \neq \text{char } E, l$  prime (or  $l = 2, \sqrt{-1} \in E$ )

$\bar{E}_u \neq \bar{E}_u^l$

$\sup_{[F:E] < \infty} \text{rank } G_F(l) < \infty$  .

Then  $u$  is henselian .

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**Proposition** (*Endler–Engler '77*):

Suppose:  $v, v'$  valuations on a field  $K$

$v$  henselian

$\bar{K}_{v'}$  not algebraically closed .

Then either  $O_v \subseteq O_{v'}$  or  $O_v \subseteq O_{v'}$  .

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**Claim:**  $\text{char } \bar{K}_v = p$

*Key Fact:*

The (first) ramification group  $V$  of  $G_{\mathbb{F}_q((t))}$  intersects every non-trivial normal closed subgroup of  $G_{\mathbb{F}_q((t))}$ .

Let  $T = G_{K_{v,\text{ur}}}$  and take  $l \neq p$ ,  $\text{char } \bar{K}_v$  prime.

Then:  $\text{Syl}_l(T) \cong \mathbb{Z}_l$

$\implies T \neq 1$  and is normal in  $G_K$

$\implies \sigma(T) \neq 1$  and is normal in  $G_{\mathbb{F}_q((t))}$

$\implies \sigma(T) \cap V \neq 1$  and is normal in  $V (\cong \hat{F}_{\mathbb{N}_0}(p))$

$\implies \sigma(T) \cap V$  non-abelian, pro- $p$

$\implies \text{Syl}_p(T)$  non-abelian

$\implies \text{char } \bar{K}_v = p \quad \square$

## II. FINITELY GENERATED FIELDS

### Grothendieck's anabelian conjecture - 0-dim case:

**Theorem** (*Pop*):

Let  $K, K'$  be finitely generated infinite fields.

Let  $\sigma: G_K \xrightarrow{\sim} G_{K'}$ .

Then there is a unique  $\varphi: \tilde{K}' \xrightarrow{\sim} \tilde{K}$  inducing  $\sigma$ .

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- $K, K'$  global – Neukirch '69, Ikeda '77, Iwasawa

*Uchida '77+*

- $K, K'$  of transcendence degree 1 over  $\mathbb{Q}$  – *Pop '90,*

*Spiess '96*

- $K, K'$  arbitrary – *Pop '95 +*
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**Definition:**

$$\dim(K) = \begin{cases} \text{tr.deg}(K/\mathbb{F}_p) & \text{if char } K = p > 0 \\ \text{tr.deg}(K/\mathbb{Q}) + 1 & \text{if char } K = 0 \end{cases}$$

A valuation  $v$  on  $K$  is *1-defectless* if

$$\dim(K) = \dim(\bar{K}_v) + 1 \quad .$$

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**The Local Correspondence:**

Let  $L, L'$  be separable extensions of  $K, K'$ , respectively, with  $\sigma(G_L) = G_{L'}$ .

Then:

$L$  is a henselization of  $K$  with  
respect to a 1-defectless valuation

$\Updownarrow$

$L'$  is a henselization of  $K'$  with  
respect to a 1-defectless valuation

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**Earlier Approaches:**

Hasse Principles + Model Theory

(Brauer–Hasse–Noether, Tate–Lichtenbaum–Saito,

Kato – Jannsen)

An “algebraic” proof ??

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**Definition:** Let  $L$  be a field of dimension  $d$  and  $p \neq \text{char } L$  a prime number.  $L$  is  **$p$ -divisorial** if there exist  $L \subseteq E \subseteq M \subseteq L_{\text{sep}}$  such that:

- (1)  $M/L$  is Galois
- (2)  $\text{Syl}_p(G_M) \cong \mathbb{Z}_p$
- (3)  $_p(G_L) = d + 1$
- (4) Either  $d = 1$  or  $\text{Gal}(M/L)$  has no normal pro-solvable closed subgroups  $\neq 1$
- (5)  $\forall F/E$  finite separable:

$$H^1(G_F, \mathbb{Z}/p) \cong (\mathbb{Z}/p)^{d+1}$$

$$H^2(G_F, \mathbb{Z}/p) \cong \bigwedge^2 H^1(G_F, \mathbb{Z}/p) \text{ via } \cup .$$

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**Theorem 2:**

Suppose :

$K$  finitely generated field,  
 $L/K$  separable algebraic extension,  
 $p \neq \text{char } K$ .

TCAE :

- (a)  $L$  is a henselization of  $K$  with respect to a 1-defectless valuation
- (b)  $L$  is a minimal  $p$ -divisorial separable algebraic extension of  $K$ .

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*Condition (b) is Galois-theoretic !*

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