BF PATH INTEGRALS FOR ELLIPTIC CURVES AND p-ADIC L-FUNCTIONS

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ABSTRACT. We prove an arithmetic path integral formula for the inverse p-adic absolute values of the p-adic L-functions of elliptic curves over the rational numbers with good ordinary reduction at an odd prime p based on the Iwasawa main conjecture and Mazur's control theorem. This is an elliptic curve analogue of [2].

CONTENTS

1. Introduction	1
1.1. The statement of the main theorem	1
1.2. Open question	4
1.3. Acknowledgement	4
2. The BF-functional for elliptic curves	4
3. Isotypic components of the BF-functional	6
4. The proof of the main theorem	8
References	10

1. INTRODUCTION

The arithmetic BF theory for number fields and abelian varieties was introduced in [3] to show the philosophy of arithmetic gauge theory which indicates that the path integral of the physical theory is closely related to the L-function of the relevant number theory. The arithmetic BF theory for cyclotomic fields led to [2], which proves an arithmetic path integral formula for the inverse p-adic absolute values of Kubota-Leopoldt p-adic L-functions at roots of unity (a precise connection between Kubota-Leopoldt p-adic L-function and the arithmetic BF path integral of cyclotomic fields up to p-adic units); the formula in [2] adds a small step toward such philosophy. Then a natural question is to prove a similar path integral formula for the p-adic L-function of elliptic curves with ordinary good reduction at p; the aim of this article is to resolve this question.

1.1. The statement of the main theorem. Let E/Q be an elliptic curve with semistable reduction at all places. Let p be an odd prime where E has good ordinary reduction. For $n \ge 0$, denote

$$K_n := \mathbb{Q}(\zeta_{p^{n+1}}), \quad X_n := \operatorname{Spec} \mathbb{Z}[\zeta_{p^{n+1}}]$$

where $\zeta_{p^{n+1}}$ is a primitive p^{n+1} -th root of unity. Also, we simply denote $K:=K_0$ so that

$$\Gamma := \operatorname{Gal}(K_{\infty}/K) \cong \mathbb{Z}_{p}.$$

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Denote $\Gamma_n \subseteq \Gamma$ the subgroup of index p^n . On the other hand, let

$$\omega: \operatorname{Gal}(K/\mathbb{Q}) \longrightarrow \mathbb{Z}_p^{\times} (\cong \operatorname{Gal}(K_{\infty}/\mathbb{Q}))$$

be the Teichmüller character and let $(\cdot)_r$ be the ω^r -isotypic component of a Γ -module with $Gal(K/\mathbb{Q}) \cong \mathbb{F}_p^{\times}$ -action. Then $\mathbb{Q}_n := K_{n,0} \subseteq K_n$ is the subfield fixed under ω so that we have the following diagram of field extensions:



Note that $\Gamma/\Gamma_n \cong \mathbb{Z}/p^n\mathbb{Z}$. We also denote

$$Y_n := \operatorname{Spec} \mathcal{O}_{\mathbb{Q}_n}.$$

Denote \mathcal{E} the Néron model of E over \mathbb{Z} . For $m \ge 1$ we use the following notation:

$$\mathfrak{F}^{\mathfrak{m}}(\operatorname{Spec} \mathcal{O}) := \operatorname{H}^{1}_{\operatorname{fopf}}(\operatorname{Spec} \mathcal{O}, \mathcal{E}[\mathfrak{p}^{\mathfrak{m}}]) \times \operatorname{H}^{1}_{\operatorname{fopf}}(\operatorname{Spec} \mathcal{O}, \mathcal{E}[\mathfrak{p}^{\mathfrak{m}}])$$

where \mathcal{O} is the ring of integers of a number field and we view $\mathcal{E}[p^m]$ as sheaves in the flat topology. Denote $\mathcal{E}^0 \subseteq \mathcal{E}$ the identity component and $\Phi_{\mathcal{E}}$ the group of connected components. Then, as in [3, p. 1305], we have an exact sequence of fppf sheaves:

$$0 \longrightarrow \mathcal{E}^{0} \longrightarrow \mathcal{E} \longrightarrow \Phi_{\mathcal{E}} \longrightarrow 0$$

Note that if $\mathbb{Z} \hookrightarrow \mathcal{O}$ is ramified only at $p \in \mathbb{Z}$, then $\mathcal{E} \otimes_{\mathbb{Z}} \mathcal{O}$ is the Néron model of E over \mathcal{O} and the order¹ of $\Phi_{\mathcal{E} \otimes_{\mathbb{Z}} \mathcal{O}}$ is the same as the order of $\Phi_{\mathcal{E}}$ (Lemma 2.1).

We briefly recall the definition of the Tate-Shafarevich group ${\rm III}(K_n,E)$ for each $n\in\mathbb{N}\cup\{\infty\}$:

$$\operatorname{III}(\mathsf{K}_{\mathfrak{n}},\mathsf{E}) := \ker \left(\operatorname{H}^{1}(\mathsf{K}_{\mathfrak{n}},\mathsf{E}(\overline{\mathsf{K}}_{\mathfrak{n}})) \longrightarrow \prod_{\nu} \operatorname{H}^{1}(\mathsf{K}_{\mathfrak{n},\nu},\mathsf{E}(\overline{\mathsf{K}}_{\mathfrak{n},\nu})) \right)$$

where v runs over all primes of K_n . The Selmer group for each $n \in \mathbb{N} \cup \{\infty\}$ is defined so that it fits into the following exact sequence:

$$0 \longrightarrow E(K_n) \otimes_{\mathbb{Z}} \mathbb{Q}/\mathbb{Z} \longrightarrow Sel(K_n, E) \longrightarrow III(K_n, E) \longrightarrow 0 .$$

We refer to [6, chapter 2] for detailed definition. Then we make the following assumptions:

- the Selmer group $Sel(K_n, E)$ is finite,
- the order of $\Phi_{\mathcal{E}}$ is relatively prime to p, and
- E[p] is irreducible as a $Gal(\overline{\mathbb{Q}}/\mathbb{Q})$ -representation.

¹Given a Néron model \mathcal{A} over \mathcal{O} of an abelian variety A over $Frac(\mathcal{O})$, we have

$$\Phi_{\mathcal{A}} = \bigoplus_{s \in \text{Spec } \mathcal{O}} i_{s*} \Phi_{\mathcal{A}}$$

where each Φ_{A_s} is a finite étale group of connected component of A_s over the residue field $\kappa(s)$ (see [4, Proposition B.2] for example). Then the order of Φ_A is defined to be the sum of orders of Φ_{A_s} .

Note that by the first assumption, $III(K_n, E)$ becomes finite (Lemma 2.2) and hence

$$\operatorname{III}(\mathsf{K}_n,\mathsf{E})[p^m] = \operatorname{III}(\mathsf{K}_n,\mathsf{E})[p^{2m}]$$
 for all sufficiently large m.

The second assumption is needed to prove a correspondence between the flat cohomology and the Selmer group (Lemma 2.1). The third assumption is needed for the integrality of the p-adic L-function of E (i.e. $g_E(t) \in \mathbb{Z}_p[[t]]$; see (1.2)).

With the first two assumptions, one can define a 3-dimensional arithmetic BF theory [3]. The input data of such theory consists of

- (spacetime) the scheme $Y_n = \text{Spec}(\mathcal{O}_{\mathbb{Q}_n})$
- (space of fields) the space $\mathcal{F}^{\mathfrak{m}}(\tilde{Y}_n)$
- (action functional) an arithmetic BF-functional (see (2.1) and (3.1)):

$$\mathrm{BF}:\mathfrak{F}^{\mathfrak{m}}(Y_{\mathfrak{n}})\longrightarrow \frac{1}{\mathfrak{p}^{\mathfrak{m}}}\mathbb{Z}/\mathbb{Z}$$

for each $n \ge 0$ and $m \ge 1$.

Then the output of the theory is the following arithmetic path integral:

$$\sum_{(b)\in\mathcal{F}^{\mathfrak{m}}(Y_n)}\exp(2\pi iBF(a,b)).$$

For the reason that the above sum is called an 'arithmetic path integral', we refer to [2, Section 1.3]. By Lemma 2.1, this sum becomes finite if we assume that $Sel(Q_n, E[p^{\infty}])$ is finite. This follows from our finite assumption on $Sel(K_n, E)$ by Lemma 2.2. Since we will define and use the BF-functional over X_n , we have assumed that $Sel(K_n, E)$ is finite. In section 3, we will explain how to get the path integral over Y_n from the path integral over X_n .

Now we briefly review the p-adic L-function of E. Since E has good ordinary reduction at p, there exists a power series

(1.2)
$$g_{\mathsf{E}}(\mathsf{t}) \in \mathbb{Z}_p[[\mathsf{t}]] \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$$

(a

which represents the p-adic L-function $L_p(E/Q, s)$ of an elliptic curve (see [11] and [6, p. 459]). Under the assumption that E[p] is an irreducible $Gal(\overline{Q}/Q)$ -module, $g_E(t) \in \mathbb{Z}_p[[t]]$ holds. Let $\alpha_p, \beta_p \in \overline{Q}$ be defined by $\alpha_p + \beta_p = \alpha_p$ and $\alpha_p \beta_p = p$, where $\alpha_p = 1 + p - \tilde{E}(\mathbb{F}_p)$. Then p does not divide α_p , which means p splits in $Q(\alpha_p, \beta_p)$. Let $\tau(\chi) \in \overline{Q}$ be the Gauss sum for a Dirichlet character χ . By the modularity of E/Q, the L-value $L(E/Q, \chi, 1)$ is defined and $L(E/Q, \chi, 1)/\Omega_E$ is known to be algebraic by a theorem of Shimura, where $\Omega_E = \int_{E(\mathbb{R})} \frac{dx}{y}$. Let us fix a topological generator $\gamma_0 \in Gal(\mathbb{Q}_\infty/\mathbb{Q})$. If χ is viewed as a faithful character of $Gal(\mathbb{Q}_n/\mathbb{Q})$ with $n \ge 1$, then the conductor of χ is p^{n+1} and $\zeta = \chi(\gamma_0)$ is a p^n -th root of unity. Now the interpolation property of $g_E(t)$ is given by

$$g_{\mathsf{E}}(0) = \frac{(1 - \beta_{\mathsf{p}} \mathsf{p}^{-1})^2 \mathsf{L}(\mathsf{E}/\mathbb{Q}, 1)}{\Omega_{\mathsf{E}}}$$
$$g_{\mathsf{E}}(\zeta - 1) = \frac{(\beta_{\mathsf{p}})^{n+1} \mathsf{L}(\mathsf{E}/\mathbb{Q}, \chi, 1)}{\tau(\chi) \Omega_{\mathsf{E}}}$$

for $n \ge 1$. Sometimes $g_E(t)$ is called an analytic p-adic L-function of E, while there is the notion of an algebraic p-adic L-function of E defined by the generator of the characteristic ideal of the Pontrygin dual of the Selmer group $Sel(\mathbb{Q}_{\infty}, \mathbb{E}[p^{\infty}])$ which is a torsion $\mathbb{Z}_p[[t]]$ -module.² The Iwasawa main conjecture [6, Conjecture

²The Selmer groups for each $n \in \mathbb{N} \cup \{\infty\}$ fit into the following exact sequences:

$$\longrightarrow \mathsf{E}(\mathbb{Q}_n)[p^{\infty}] \otimes_{\mathbb{Z}} \mathbb{Q}_p / \mathbb{Z}_p \longrightarrow \mathsf{Sel}(\mathbb{Q}_n, \mathsf{E}[p^{\infty}]) \longrightarrow \mathrm{III}(\mathbb{Q}_n, \mathsf{E})[p^{\infty}] \longrightarrow \emptyset.$$

We refer to [6, chapter 2] for detailed definition.

4.16], which is now a theorem by Skinner-Urban [13], asserts that they are the same.

Let $|\cdot|_p$ be the p-adic absolute value on the algebraic closure $\overline{\mathbb{Q}}_p$ normalized by $|p|_p = p^{-1}$. Now we can state our main theorem.

Theorem 1.1. For each $v \in Y_n$, let $c_v^{(p)}(E)$ be the highest power of p dividing the Tamagawa factor $c_v(E)$ for E at v. Then the following arithmetic path integral formula holds.

$$\left| \prod_{\zeta^{p^{n}}=1} g_{\mathsf{E}}(\zeta-1) \right|_{p}^{-1}$$

=
$$\frac{\left| \widetilde{\mathsf{E}}(\mathbb{F}_{p})[p^{\infty}] \right|^{2}}{\left| \mathsf{E}(\mathbb{Q}_{n})[p^{\infty}] \right|} \cdot \prod_{\substack{\nu \in Y_{n} \\ \nu \not = \nu, \nu \mid N_{\mathsf{E}}}} c_{\nu}^{(p)}(\mathsf{E}) \cdot \lim_{m \to \infty} \sum_{(a,b) \in \mathcal{F}^{m}(Y_{n})} \exp(2\pi i BF(a,b))$$

where \tilde{E} is the reduction of E at p, and N_E is the conductor of E.

We prove this theorem in section 4. For the proof, we first derive a path integral formula (Lemma 4.1) in a more general context, using Mazur's control theorem [6, Theorem 4.1] and the Iwasawa main conjecture. Then we analyze the "error term" of Mazur's control theorem following the method of [5].

1.2. **Open question.** An interesting open question is to enlarge the space of fields $\mathcal{F}^{\mathfrak{m}}(Y_{\mathfrak{n}})$ or modify the BF-functional so that we can obtain a path integral formula for the L-value $\prod_{\zeta p^{\mathfrak{n}}=1} g_{\mathsf{E}}(\zeta-1)$ itself, which amounts to remove the p-adic absolute value from the formula in Theorem 1.1 incorporating p-adic unit information.

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2. The BF-functional for elliptic curves

We now recall the definition of the BF-functional in [3, p.1303]. By [10, Corollary 3.4], we have a perfect pairing³:

$$\cup: H^{1}_{fppf}(X_{n}, \mathcal{E}[p^{m}]) \times H^{2}_{fppf}(X_{n}, \mathcal{E}[p^{m}]) \longrightarrow H^{3}_{fppf}(X_{n}, \mathbb{G}_{m})[p^{m}]$$

together with an isomorphism as in [10, p. 252]:

$$\operatorname{inv}: \mathrm{H}^{3}_{\operatorname{fopf}}(X_{n}, \mathbb{G}_{\mathfrak{m}}) \xrightarrow{\sim} \mathbb{Q}/\mathbb{Z}$$

which restricts to

inv:
$$\mathrm{H}^{3}_{\mathrm{fppf}}(\mathrm{X}_{n}, \mathbb{G}_{\mathfrak{m}})[p^{\mathfrak{m}}] \xrightarrow{\sim} \frac{1}{p^{\mathfrak{m}}} \mathbb{Z}/\mathbb{Z}$$
.

Finally, let

$$\delta: H^1_{fppf}(X_n, \mathcal{E}[p^m]) \longrightarrow H^2_{fppf}(X_n, \mathcal{E}[p^m])$$

³By [10, p.220], we have $H^{\bullet}_{fppf,c}(X_{n}, -) \cong H^{\bullet}_{fppf}(X_{n}, -)$.

be the Bockstein map coming from the exact sequence:

$$0 \longrightarrow \mathcal{E}[p^m] \longrightarrow \mathcal{E}[p^{2m}] \xrightarrow{p^m} \mathcal{E}[p^m] \longrightarrow 0 .$$

Combining all these, we define the arithmetic BF-functional as follows:

(2.1)
$$BF: \mathcal{F}^{\mathfrak{m}}(X_n) \longrightarrow \frac{1}{p^{\mathfrak{m}}} \mathbb{Z}/\mathbb{Z} \qquad (a,b) \longmapsto \operatorname{inv}(a \cup \delta b)$$

Lemma 2.1. Let \mathcal{O} be the ring of integers of a number field such that $\mathbb{Z} \hookrightarrow \mathcal{O}$ is ramified only at $p \in \mathbb{Z}$. Assume that the order of $\Phi_{\mathcal{E}}$ is relatively prime to p.

- (1) $\mathcal{E} \otimes_{\mathbb{Z}} \mathcal{O}$ is the Néron model of E over \mathcal{O} .
- (2) The order of $\Phi_{\mathcal{E}\otimes_{\mathbb{Z}}\mathcal{O}}$ is the same as the order of $\Phi_{\mathcal{E}}$.
- (3) We have the following isomorphisms:

$$\mathsf{H}^{\mathsf{I}}_{\mathsf{fppf}}(\operatorname{Spec} \mathcal{O}, \mathcal{E}[p^{\mathfrak{m}}]) \cong \operatorname{Sel}(\operatorname{Frac}(\mathcal{O}), \mathsf{E}[p^{\mathfrak{m}}])$$

$$H^{1}_{fppf}(Spec \mathcal{O}, \mathcal{E})[p^{m}] \cong III(Frac(\mathcal{O}), E)[p^{m}]$$

where $\operatorname{Frac}(\mathcal{O})$ is the field of fractions of \mathcal{O} .

Proof. (1) follows because the étale base change of a Néron model is still a Néron model and our E has good ordinary reduction at p.

(2) Since $\mathbb{Z} \hookrightarrow \mathcal{O}$ is ramified only at $p \in \mathbb{Z}$, the number of connected component may vary at the primes in \mathcal{O} lying over p. Since E has good ordinary reduction at p, our \mathcal{E} is always connected at these primes.

(3) By (1) and (2), the first isomorphism comes from [3, Lemma A.2] and the second from [3, Lemma A.3]. $\hfill \Box$

Lemma 2.2. With the assumptions so far, the following holds.

(1) E(K_n) and III(K_n, E) are finite.
(2) Sel(Q_n, E) is finite. Hence E(Q_n), III(Q_n, E), and Sel(Q_n, E[p[∞]]) become finite.

Proof. For a number field F we have the following exact sequence ([6, chapter 2]):

$$(2.2) \qquad 0 \longrightarrow E(F) \otimes_{\mathbb{Z}} \mathbb{Q}/\mathbb{Z} \longrightarrow Sel(F,E) \longrightarrow III(F,E) \longrightarrow 0 \ .$$

(1) Taking $F = K_n$ in (2.2), we immediately conclude that $III(K_n, E)$ is finite and $E(K_n) \otimes_{\mathbb{Z}} \mathbb{Q}/\mathbb{Z} = 0$, i.e., $E(K_n)$ is a torsion abelian group. Since $E(K_n)$ is a finitely generated abelian group by the Mordell-Weil theorem, we conclude that $E(K_n)$ is finite.

(2) From the inflation-restriction sequence for group cohomology, we get

$$\ker\left(\operatorname{Sel}(\mathbb{Q}_n, \mathsf{E}) \longrightarrow \operatorname{Sel}(\mathsf{K}_n, \mathsf{E})^{\operatorname{Gal}(\mathsf{K}_n/\mathbb{Q}_n)}\right) \subseteq \mathsf{H}^1(\operatorname{Gal}(\mathsf{K}_n/\mathbb{Q}_n), \mathsf{E}(\mathsf{K}_n)).$$

Note that $E(K_n)_{tors} = E(K_n)$ by (1). Therefore, $Sel(Q_n, E)$ is finite, which immediately says that $Sel(Q_n, E[p^{\infty}])$ is finite. By the same argument as in the proof (1), we conclude that $E(Q_n)$ and $III(Q_n, E)$ are finite.

Proposition 2.3. For every n and every sufficiently large m, we have

$$\sum_{(a,b)\in\mathcal{F}^{\mathfrak{m}}(X_n)} \exp(2\pi i BF(a,b)) = |Sel(K_n, E[p^m])| \left| \frac{E(K_n)}{p^m E(K_n)} \right|$$

Proof. The assertion follows from [3, Section 3] by noting that E is self-dual.

3. ISOTYPIC COMPONENTS OF THE BF-FUNCTIONAL

The $Gal(K_{\infty}/\mathbb{Q})\text{-}action$ on X_n induces by functoriality a $Gal(K_{\infty}/\mathbb{Q})\text{-}module$ structure on $H^{(*)}_{\text{fppf}}(X_n, \mathcal{E}[p^m])$. Since p - 1 is relatively prime to p, the Gal(K/Q)action on $H^{\bullet}_{\text{fppf}}(X_n, \mathcal{E}[p^m])$ is semisimple. Denote

(3.1)
$$\mathcal{F}_{r}^{\mathfrak{m}}(X_{\mathfrak{n}}) := \mathrm{H}_{\mathrm{fppf}}^{1}(X_{\mathfrak{n}}, \mathcal{E}[\mathfrak{p}^{\mathfrak{m}}])_{r} \times \mathrm{H}_{\mathrm{fppf}}^{1}(X_{\mathfrak{n}}, \mathcal{E}[\mathfrak{p}^{\mathfrak{m}}])_{-r}$$

so that we have

$$\mathcal{F}^{\mathfrak{m}}(X_{\mathfrak{n}}) = \bigoplus_{r=0}^{\mathfrak{p}-2} \mathcal{F}^{\mathfrak{m}}_{r}(X_{\mathfrak{n}}).$$

We will show that $\mathcal{F}_0^m(X_n) = \mathcal{F}^m(Y_n)$. By functoriality, \cup and δ are ω^r -equivariant. Hence they restrict to

$$\cup: H^{1}_{fppf}(X_{n}, \mathcal{E}[p^{m}])_{r} \times H^{2}_{fppf}(X_{n}, \mathcal{E}[p^{m}])_{s} \longrightarrow H^{3}_{fppf}(X_{n}, \mathbb{G}_{m})_{r+s}$$

$$\delta: H^1_{fppf}(X_n, \mathcal{E}[p^m])_r \longrightarrow H^2_{fppf}(X_n, \mathcal{E}[p^m])_r .$$

Since the $Gal(K/\mathbb{Q})$ -action on

$$inv: H^3_{fppf}(X_n, G_m) \xrightarrow{\sim} \frac{1}{p^m} \mathbb{Z}/\mathbb{Z}$$

is trivial, $H^3_{fppf}(X_n, G_m)_{r+s} \neq 0$ if and only if $r+s \equiv 0 \mod p-1$ so the BFfunctional (2.1) splits into

$$\sum_{r=0}^{p-2} BF_r : \bigoplus_{r=0}^{p-2} \mathcal{F}_r^m(X_n) \longrightarrow \frac{1}{p^m} \mathbb{Z}/\mathbb{Z} .$$

Therefore, we have

$$\sum_{(a,b)\in\mathcal{F}^{\mathfrak{m}}(X_{n})}\exp(2\pi iBF(a,b)) = \prod_{r=0}^{p-2}\sum_{(a,b)\in\mathcal{F}^{\mathfrak{m}}_{r}(X_{n})}\exp(2\pi iBF(a,b))$$

Proposition 3.1. For every n and every sufficiently large m, we have

$$\sum_{(a,b)\in\mathcal{F}_r^m(X_n)} \exp(2\pi i BF(a,b)) = |Sel(K_n, E[p^m])_r| \left| \left(\frac{E(K_n)}{p^m E(K_n)} \right)_{-r} \right|$$

Proof. If $\delta b \neq 0$, then the sum over $a \in H^1_{fppf}(X_n, \mathcal{E}[p^m])_{-r}$ becomes

$$\sum_{a} \exp(2\pi i BF(a,b)) = \sum_{a} \exp(2\pi i \cdot inv(a \cup \delta b)) = 0.$$

On the other hand, if $\delta b = 0$, then $exp(2\pi i BF(a, b)) = 1$. Since δ is ω -equivariant,

$$(\ker \delta)_{-r} = \mathsf{H}^{\mathsf{I}}_{\mathsf{fppf}}(\mathsf{X}_n, \mathcal{E}[p^m])_{-r} \cap \ker \delta.$$

Combining these, we get

$$\sum_{(a,b)\in\mathcal{F}_r^m(X_n)} \exp(2\pi i BF(a,b)) = \left| \mathsf{H}^1_{\mathrm{fppf}}(X_n,\mathcal{E}[p^m])_r \right| |(\ker \delta)_{-r}|.$$

For each n and sufficiently large m, we have a factorization coming from [3, p.1304]:



where the surjection fits into the Kummer sequence:

$$0 \longrightarrow \frac{\mathsf{E}(\mathsf{K}_n)}{\mathfrak{p}^{\mathfrak{m}}\mathsf{E}(\mathsf{K}_n)} \longrightarrow \mathsf{H}^1_{\operatorname{fppf}}(\mathsf{X}_n, \mathcal{E}[\mathfrak{p}^{\mathfrak{m}}]) \longrightarrow \mathsf{H}^1_{\operatorname{fppf}}(\mathsf{X}_n, \mathcal{E})[\mathfrak{p}^{\mathfrak{m}}] \longrightarrow 0$$

Consequently,

$$(\ker \delta)_{-r} = \left| \left(\frac{\mathsf{E}(\mathsf{K}_n)}{\mathfrak{p}^m \mathsf{E}(\mathsf{K}_n)} \right)_{-r} \right|$$

The other factor is determined from (3) of Lemma 2.1.

We conclude this section by realizing Proposition 3.1 as an arithmetic path integral on Y_n . For the reader's convenience, we begin with a lemma.

Lemma 3.2. Let m be a positive integer.

(1) For each scheme S, the canonical map is an isomorphism:

$$H^{\bullet}_{\acute{e}t}(S, \mathcal{E}[p^m]_S) \xrightarrow{\sim} H^{\bullet}_{fppf}(S, \mathcal{E}[p^m]_S) \ .$$

(2) For each $n \ge 0$, the canonical map is an isomorphism:

$$\mathsf{H}^{\bullet}_{\mathrm{fppf}}\left(\mathsf{Y}_{n}, \mathrm{Res}_{\mathsf{X}_{n}/\mathsf{Y}_{n}}(\mathcal{E}[\mathfrak{p}^{\mathfrak{m}}]_{\mathsf{X}_{n}})\right) \xrightarrow{\sim} \mathsf{H}^{\bullet}_{\mathrm{fppf}}(\mathsf{X}_{n}, \mathcal{E}[\mathfrak{p}^{\mathfrak{m}}]_{\mathsf{X}_{n}})$$

where Res denotes the Weil restriction.

Proof. (1) By [9, Theorem III.3.9], the canonical map for \mathcal{E} :

$$H^{\bullet}_{\acute{e}t}(S, \mathcal{E}_S) \xrightarrow{\sim} H^{\bullet}_{fppf}(S, \mathcal{E}_S)$$

is an isomorphism. Hence the assertion follows from applying the five lemma to the long exact sequence associated to the following exact sequence of fppf sheaves:

$$0 \longrightarrow \mathcal{E}[p^m]_S \longrightarrow \mathcal{E}_S \xrightarrow{p^m} \mathcal{E}_S \longrightarrow 0.$$

(2) By Lemma 2.1 and [1, Proposition 7.6.6], the Weil restriction $\text{Res}_{X_n/Y_n}(\mathcal{E}_{X_n})$ is a Néron model of its generic fiber:

$$(\operatorname{Res}_{X_n/Y_n}(\mathcal{E}_{X_n}))_{\mathbb{Q}_n} \cong \operatorname{Res}_{K_n/\mathbb{Q}_n}(\mathbb{E}_{K_n}).$$

Since K_n/Q_n is separable, the right hand side is an abelian variety over Q_n (cf. [8, p.178]). Hence we have the following commutative square of abelian groups:

where the rows are isomorphisms by [10, Proposition III.0.4d] again, and the left column is an isomorphism by [14, Tag 03QP] because $X_n \to Y_n$ is finite.

On the other hand, by [7, Proposition 3.19], we have

$$\left|\Phi_{\operatorname{Res}_{X_n/Y_n}(\mathcal{E}_{X_n})}\right| = \left|\Phi_{\mathcal{E}_{X_n}}\right|$$

which are relatively prime to p by assumption. Hence the following sequence of fppf sheaves is exact (note that the Weil restriction is left exact):

$$0 \longrightarrow \operatorname{Res}_{X_n/Y_n}(\mathcal{E}[p^m]_{X_n}) \longrightarrow \operatorname{Res}_{X_n/Y_n}(\mathcal{E}_{X_n}) \xrightarrow{p^m} \operatorname{Res}_{X_n/Y_n}(\mathcal{E}_{X_n}) \longrightarrow 0.$$

Therefore the assertion follows from applying the five lemma to the associated cohomology long exact sequence. $\hfill \Box$

Since $(\mathcal{O}_{K_n})_0 = \mathcal{O}_{Q_n}$, the ω^r -isotypic decomposition of \mathcal{O}_{K_n} becomes

$$\mathcal{O}_{K_n} = \mathcal{O}_{Q_n} \oplus \bigoplus_{r=1}^{p-2} (\mathcal{O}_{K_n})_r$$

where each factor is canonically an \mathcal{O}_{O_n} -module. Hence, from Lemma 3.2, we get

$$\begin{split} \mathsf{H}^{\bullet}_{fppf}(\mathsf{X}_{n}, \mathcal{E}[p^{\mathfrak{m}}]) &\cong \mathsf{H}^{\bullet}_{fppf}(\mathsf{X}_{n}, \mathcal{E}[p^{\mathfrak{m}}] \otimes_{\mathbb{Z}} \mathcal{O}_{\mathsf{K}_{n}}) \\ &\cong \mathsf{H}^{\bullet}_{fppf}\left(\mathsf{Y}_{n}, \operatorname{Res}_{\mathsf{X}_{n}/\mathsf{Y}_{n}}(\mathcal{E}[p^{\mathfrak{m}}] \otimes_{\mathbb{Z}} \mathcal{O}_{\mathsf{K}_{n}})\right) \\ &\cong \mathsf{H}^{\bullet}_{fppf}(\mathsf{Y}_{n}, \mathcal{E}[p^{\mathfrak{m}}]) \oplus \bigoplus_{r=1}^{p-2} \mathsf{H}^{\bullet}_{fppf}(\mathsf{Y}_{n}, \mathcal{E}[p^{\mathfrak{m}}] \otimes_{\mathbb{Z}} (\mathcal{O}_{\mathsf{K}_{n}})_{r}) \end{split}$$

because taking the Gal(K/Q)-invariant of abelian p-groups is an exact functor. Consequently, (3.1) can be rewritten as

$$\mathfrak{F}_{r}^{\mathfrak{m}}(X_{\mathfrak{n}}) \cong H^{1}_{\mathrm{fppf}}(Y_{\mathfrak{n}}, \mathcal{E}[\mathfrak{p}^{\mathfrak{m}}] \otimes_{\mathbb{Z}} (\mathcal{O}_{K_{\mathfrak{n}}})_{r}) \times H^{1}_{\mathrm{fppf}}(Y_{\mathfrak{n}}, \mathcal{E}[\mathfrak{p}^{\mathfrak{m}}] \otimes_{\mathbb{Z}} (\mathcal{O}_{K_{\mathfrak{n}}})_{-r}).$$

Moreover, we have the corresponding Bockstein map:

$$\delta: H^1_{fppf}(Y_n, \mathcal{E}[p^m] \otimes_{\mathbb{Z}} (\mathcal{O}_{K_n})_{-r}) \longrightarrow H^2_{fppf}(Y_n, \mathcal{E}[p^m] \otimes_{\mathbb{Z}} (\mathcal{O}_{K_n})_{-r}) .$$

Therefore, Proposition 3.1 can be rewritten as an arithmetic path integral on Y_n as desired. In particular, we have $\mathcal{F}_0^m(X_n) = \mathcal{F}^m(Y_n)$ and hence (for sufficiently large m)

(3.2)
$$\sum_{(a,b)\in\mathcal{F}^{\mathfrak{m}}(Y_{n})}\exp(2\pi iBF(a,b)) = |Sel(\mathbb{Q}_{n},\mathbb{E}[p^{\mathfrak{m}}])| \left|\frac{\mathbb{E}(\mathbb{Q}_{n})}{p^{\mathfrak{m}}\mathbb{E}(\mathbb{Q}_{n})}\right|.$$

4. The proof of the main theorem

In this section, we prove Theorem 1.1. We begin with a lemma. Define abelian groups A_n and B_n via the following exact sequence:

$$0 \longrightarrow A_{\mathfrak{n}} \longrightarrow Sel(\mathbb{Q}_{\mathfrak{n}}, \mathsf{E}[p^{\infty}]) \longrightarrow Sel(\mathbb{Q}_{\infty}, \mathsf{E}[p^{\infty}])^{\Gamma_{\mathfrak{n}}} \longrightarrow B_{\mathfrak{n}} \longrightarrow 0 ,$$

where Sel(F, E[p^{∞}]) is the Selmer group over F associated to E[p^{∞}]. By Mazur's control theorem [6, Theorem 4.1], A_n and B_n are finite p-groups whose orders are bounded as $n \to \infty$.

Lemma 4.1. For each $n \ge 0$, the BF functional satisfies the following formula:

$$\left|\prod_{\zeta^{p^n}=1}g_{\mathsf{E}}(\zeta-1)\right|_p^{-1} = \frac{1}{|\mathsf{E}(\mathbb{Q}_n)[p^\infty]|} \frac{|\mathsf{B}_n|}{|\mathsf{A}_n|} \lim_{\mathfrak{m}\to\infty} \sum_{(\mathfrak{a},\mathfrak{b})\in\mathcal{F}^{\mathfrak{m}}(Y_n)} \exp(2\pi i\mathsf{B}\mathsf{F}(\mathfrak{a},\mathfrak{b})).$$

Proof. The proof is based on the Iwasawa main conjecture [6, Conjecture 4.16] and Mazur's control theorem.

Since $E(\mathbb{Q}_n)$ is finite by lemma 2.2, the following composition is an isomorphism for every sufficiently large m:

$$\mathsf{E}(\mathbb{Q}_n)[p^{\infty}] = \mathsf{E}(\mathbb{Q}_n)[p^m] \longrightarrow \mathsf{E}(\mathbb{Q}_n) \longrightarrow \frac{\mathsf{E}(\mathbb{Q}_n)}{p^m \mathsf{E}(\mathbb{Q}_n)} \ .$$

Consequently, for sufficiently large m,

$$\frac{\mathsf{E}(\mathbb{Q}_n)}{\mathfrak{p}^{\mathfrak{m}}\mathsf{E}(\mathbb{Q}_n)}\bigg| = |\mathsf{E}(\mathbb{Q}_n)[\mathfrak{p}^{\infty}]|.$$

Hence (3.2) can be rewritten as

$$\sum_{(a,b)\in\mathcal{F}^{\mathfrak{m}}(Y_n)}\exp(2\pi \mathfrak{i}BF(a,b))=|\mathrm{Sel}(\mathbb{Q}_n,\mathbb{E}[p^m])|\cdot|\mathbb{E}(\mathbb{Q}_n)[p^\infty]|.$$

Denote $(\cdot)^{\vee}$ the Pontryagin dual of abelian groups and let

$$V_n := \operatorname{Sel}(\mathbb{Q}_n, \mathbb{E}[p^\infty])^{\vee}$$

Choose a topological generator $\gamma \in \Gamma$, which gives a topological ring isomorphism

$$\Lambda := \mathbb{Z}_p[[t]] \xrightarrow{\sim} \mathbb{Z}_p[[\Gamma]] \qquad t \longmapsto \gamma - 1$$

Along this isomorphism, we can write

$$\left(\operatorname{Sel}(\mathbb{Q}_{\infty}, \mathbb{E}[p^{\infty}])^{\Gamma_{\mathfrak{n}}}\right)^{\vee} \cong \frac{V_{\infty}}{((t+1)^{p^{\mathfrak{n}}}-1)V_{\infty}}.$$

Note that V_{∞} is a torsion Λ -module by [5, Theorem 1.5]. Moreover, $g_{E}(t) \in \Lambda$ by [6, p. 459], and V_{∞} has no nonzero pseudo-null Λ -submodule by [5, Proposition 4.15]. Applying the structure theorem for Λ -modules [6, Theorem 3.1] to V_{∞} (cf. [12, Lemma 4]) and using [6, Exercise 3.7], we get

$$\left|\frac{V_{\infty}}{((t+1)^{p^n}-1)V_{\infty}}\right| = \left|\frac{\Lambda}{(g_{\mathsf{E}}(t),(t+1)^p-1)}\right| = \mathfrak{u} \cdot \prod_{\zeta^{p^n}=1} g_{\mathsf{E}}(\zeta-1), \quad \mathfrak{u} \in \mathbb{Z}_p^{\times}.$$

This in turn gives the following equalities:

$$\begin{aligned} |\operatorname{Sel}(\mathbb{Q}_n, \mathbb{E}[p^{\infty}])| &= |V_n| \\ &= \frac{|A_n^{\vee}|}{|B_n^{\vee}|} \left| \frac{V_{\infty}}{((t+1)^{p^n}-1)V_{\infty}} \right| = \frac{|A_n|}{|B_n|} \cdot \mathfrak{u} \cdot \prod_{\zeta p^n = 1} g_{\mathbb{E}}(\zeta - 1). \end{aligned}$$

Since $Sel(\mathbb{Q}_n, E[p^{\infty}])$, A_n , and B_n are abelian p-groups, we conclude that

$$\frac{|B_{n}|}{|A_{n}|}|\operatorname{Sel}(\mathbb{Q}_{n}, \mathbb{E}[p^{\infty}])| = \left|\prod_{\zeta^{p^{n}}=1} g_{\mathbb{E}}(\zeta-1)\right|_{p}^{1},$$

._1

which finishes the proof.

Let us return to the proof of Theorem 1.1. It remains to determine $|A_n|$ and $|B_n|$ in Lemma 4.1. We begin with the following commutative diagram with exact rows:

where \mathcal{G}_E is essentially defined to be the cokernel of Sel $(-, E[p^{\infty}]) \hookrightarrow H^1(-, E[p^{\infty}])$. See [5, pp.451–452] for the precise description of this diagram. By our assumptions and the proof of [5, Lemma 3.1], we have

$$|\ker \mathfrak{h}_n| = |\mathsf{E}(\mathbb{Q}_n)[p^\infty]|.$$

From the snake lemma together with [5, Lemma 3.2], we get

$$\frac{|\mathsf{B}_n|}{|\mathsf{A}_n|} = \frac{|\operatorname{coker} \mathsf{s}_n|}{|\operatorname{ker} \mathsf{s}_n|} = \frac{|\operatorname{ker} \mathsf{g}_n|}{|\operatorname{ker} \mathsf{h}_n|} = \frac{|\operatorname{ker} \mathsf{g}_n|}{|\mathsf{E}(\mathsf{Q}_m)[p^\infty]|}.$$

Since $\Gamma_n \cong \mathbb{Z}_p$, we may apply [5, Lemma 4.7] to the above diagram. Using [5, Lemma 3.3], [5, Lemma 3.4], and [5, Proposition 4.8], we get

$$|\ker g_{\mathfrak{n}}| = \left|\widetilde{\mathsf{E}}(\mathbb{F}_{\mathfrak{p}})[\mathfrak{p}^{\infty}]\right|^{2} \cdot \prod_{\substack{\nu \in Y_{\mathfrak{n}} \\ \nu \nmid \mathfrak{p}, \nu \mid N_{\mathsf{E}}}} c_{\nu}^{(\mathfrak{p})}(\mathsf{E}).$$

Note that Q_n/Q is totally ramified at p so the unique prime in Y_n lying over p has the residue field \mathbb{F}_p . This concludes the proof of Theorem 1.1.

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